Crossflow Filtration: EM-31, WP-2.3.6

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EXECUTIVE SUMMARY

In the interest of accelerating waste treatment processing, the DOE has funded studies to better understand filtration with the goal of improving filter fluxes in existing crossflow equipment. The Savannah River National Laboratory (SRNL) performed some of those studies, with a focus on start-up techniques, filter cake development, the application of filter aids (cake forming solid precoats), and body feeds (flux enhancing polymers). This paper discusses the progress of those filter studies.

Crossflow filtration is a key process step in many operating and planned waste treatment facilities to separate undissolved solids from supernate solutions. This separation technology generally has the advantage of self-cleaning through the action of wall shear stress created by the flow of waste slurry through the filter tubes. However, the ability of filter wall self-cleaning depends on the slurry being filtered. Many of the alkaline radioactive wastes are extremely challenging to filtration, e.g., those containing compounds of aluminum and iron, which have particles whose size and morphology reduce permeability.

Unfortunately, low filter flux can be a bottleneck in waste processing facilities such as the Savannah River Integrated Salt Disposition Process and the Hanford Waste Treatment Plant. Any improvement to the filtration rate would lead directly to increased throughput of the entire process. To date increased rates are generally realized by either increasing the crossflow filter feed flow rate, limited by pump capacity, or by increasing filter surface area, limited by space and increasing the required pump load.

SRNL set up both dead-end and crossflow filter tests to better understand filter performance based on filter media structure, flow conditions, filter cleaning, and several different types of filter aids and body feeds. Using non-radioactive simulated wastes, both chemically and physically similar to the actual radioactive wastes, the authors performed several tests to evaluate methods to improve filter performance. With the proper use of filter flow conditions and filter enhancers, filter flow rates can be increased over rates currently realized today.

Experiments that use non-radioactive simulants for actual waste always carry the inherent risk of not eliciting prototypic results; however, they will assist in focusing the scope needed to minimize radioactive testing and thus maximize safety. To that end this investigation has determined:

- Waste simulant SB6 was found to be more challenging to filtration than a SRS Tank 8F simulant.
- Higher solids concentration presents a greater challenge to filtration.
- Filter cake is something that should be properly developed in initial filter operation.
- Backpulsing is not necessary to maintain a good filter flux with salt wastes.
- Scouring a filter without cleaning will lead to improved filter performance.
- The presence of a filter cake can improve the solids separation by an order of magnitude as determined by turbidity.
- A well developed cake with periodic scouring may allow a good filter flux to be maintained for long periods of time.
- Filtrate flux decline is reversible when the concentration of the filtering slurry drops and the filter is scoured.

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NOMENCLATURE

SRNL	Savannah River National Laboratory
°C	Degree Centigrade
cP	Centipoise
COLIWASA	Composite Liquid Waste Sampler
CUF	Cells Ultrafiltration Facility (bench-top crossflow filter used with both radioactively cold and hot wastes)
DAS	Data Acquisition System
DOE	United States Department of Energy
EDL	Engineering Development Laboratory (part of SRNS/SRNL)
ft	Foot
γ	Shear Rate, sec^{-1} (Rheology Parameter)
gpm	Gallons Per Minute
h	Hour (Time)
I.D.	Inside Diameter
in	inch
L	Liter
M	Molar = Moles / Liter
μ	Dynamic Viscosity, cP, (Rheology Parameter)
μ_0	Consistency or Plastic Viscosity, cP, (Rheology Parameter)
nominal	The word "nominal" for a filter rating is a vague term because its meaning is manufacturer dependent. Further, a "nominal" rating does not give an exact size to a filter medium, but rather an approximation to the expected performance of a filter. In the case of Mott, a nominal rated 0.1-μm filter means that approximately 95% of particles greater than 0.1 μm will not pass the filter
O.D.	Outside diameter
Pa	Pascal – Unit of Force
PNNL	Pacific Northwest National Laboratory
PSD	Particle Size Distribution
psi	Pounds Per Square Inch
psid	Pounds Per Square Inch Differential
psig	Pounds Per Square Inch Gauge
RPP-WTP	River Protection Project – Waste Treatment and Immobilization Plant (Hanford DOE)
s	Second (Time)
SRNS	Savannah River Nuclear Solutions – Principal Contractor managing SRS
SRS	Savannah River Site

τ	Shear Stress, dynes/cm^2, (Rheology Parameter)
τ_0	Yield Stress (Shear Stress at Shear Rate = 0), dynes/ cm^2, (Rheology Parameter)
TMP	Transmembrane Pressure (the average pressure drop across the thickness of the filter medium – perpendicular to the slurry flow.)
UDS	Undissolved solids
AV	Axial velocity – average velocity axially through a filter tube

1.0 Introduction

Crossflow filtration is a widely used technology to separate liquids from solids, and the world market is projected to grow to ten billion dollars by 2015 [Flow Control, 2010]. While it is a well established technology, the method of use varies widely, and the efficiency of its separation varies for each different industrial application, especially within production-end product categories, from pharmaceutical to water treatment, hazardous waste treatment, etc. For the DOE Complex the stored radioactive wastes are being prepared for long-term storage and disposal with many technologies, but treatment of much of that waste begins with the separation of suspended solids from the liquid by filtration, including crossflow filtration. Filtration of these wastes can be very challenging. Such poor performance would be a bottleneck for an entire processing cycle. A better understanding of crossflow filtration with such wastes may help to increase filter performance and thus overall waste treatment throughput. This study finds that filter performance of existing hardware can be improved through refined operational methods.

1.1 Filtration Specifics

The two items of focus for crossflow filtration at the Savannah River and Hanford Sites are the filters themselves and the waste to be treated. Details of each are given later, but highlights are described here. Figure 1 is a diagram of a typical crossflow filter arrangement in a horizontal orientation but could be vertical or at some other inclination. The arrows in the center, parallel to the tube, represent the slurry flow or the axial velocity (AV) of the slurry. The arrow perpendicular, and outside the tube wall, represents the liquid, called the filtrate or permeate. The motive force that drives the liquid through the filter wall is the difference in pressure from the slurry to the filtrate and is referred to as the transmembrane pressure (TMP).

Figure 1. Crossflow Filtration – Liquid Separated from Flowing[†] Slurry Tangentially through a Porous Wall and Filter Cake

In the past many different filter media have been used at waste treatment facilities. Currently, two large treatment plants are under construction, the Waste Treatment Plant (WTP) at Hanford and the Salt Waste Processing Facility (SWPF) at Savannah River. For the former, the crossflow

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[†] Figure 1 depicts turbulent flow through a tube, i.e., see Fig. 5.4 in Tennekes and Lumley (1972)

filters are 1/2–inch inside diameter stainless steel tubes, and for the latter, 3/8-inch inside diameter stainless steel tubes. This study determines differing performances between these filters. Both of these filters are made with a 0.1 micron nominal pore rating and of a symmetric sintered metal design. Because the only difference between these two filters is geometry, another tube design was added for comparison. This third tube has an asymmetric design, a 3/8-inch inside diameter, and a 0.1 micron absolute pore rating. While this last tube is still made primarily of sintered stainless steel, the inner tube surface is coated with a 10-micron thick layer of zirconia and it is this multilayer construction that is referred to as asymmetric [Mann et al., 2004]. To elicit a side-by-side performance of all three filter tubes they were placed in parallel in a test facility such that the same test simulant would flow through each at the same time. Because the properties of wastes to be treated can change with time, it is important to remove the issue of aging, which could confound results.

The range of wastes to be treated is large [Shimskey et al., 2011], but in general they usually have high soluble ionic strength, are basic, have small particles, i.e., ~1 micron, and are radioactive. Due to the risk and costs of radioactivity, testing was done with a non-radioactive simulant; however, actual waste testing will be necessary in the future. The selected simulant was made so the chemical and physical properties were both similar to the actual waste. It was important to choose a waste that would be difficult to filter. The waste should contain components that make filtration difficult [Nash et al., 2000], e.g., iron and aluminum oxides and small particle size, and should have some past history of filtration so a comparison could be made. Two candidates that represent SRS wastes were initially selected, Sludge Batch 6 and Tank 8F. Due to limited resources, only one could be tested; therefore, they were both tested in a dead-end filter to make a final selection of one simulant.

1.2 Backpulsing

When dealing with micro or ultra-filtration, one operational issue often considered is how to maintain a filter surface free of cake. The rationale is that a cake-free surface will allow the solid-liquid separation to occur faster. To maintain a surface cake-free or minimize cake buildup, the predominant method is backpulsing. In many cases such as the water treatment industry, backpulsing is absolutely necessary to maintain a high permeate flux [Ramirez et al., 1998]. Some backpulsing frequencies can be quite high; for instance, it could be as high as 1 Hz used by some in the biochemical industry [Levesley and Hoare, 1998] where the backpulse duration lasts only fractions of a second. Some [Mores et al., 2000] state that "one method of reducing membrane fouling is rapid backpulsing" where backpulsing involves the reversal of the permeate flow through the filter membrane for very short periods, and that this "can provide in situ cleaning by removing some of the foulants from the membrane surface or pores." Up to a 30-fold increase with backpulsing over no backpulsing has been realized.

At issue is an ongoing need to keep the filter surface clean, i.e., free of cake. Is this the approach method for all slurries? When waste processing plants were designed to treat stored salt wastes at the Hanford and Savannah River sites, backpulsing was included to help maintain filter fluxes high. Unfortunately, after more than a decade of filter tests with salt wastes backpulsing has not been very effective [Duignan et al., 2002; Duignan, 2003; Daniel et al., 2009]. While much time and effort were invested to design robust flow-reversing systems, results have not been promising.

Along with backpulsing, another method to keep the filter surface clean, or to minimize cake buildup, was to flow the suspension fluid very fast past the filter surface, so the shear stress would strip the cake from the wall (Van Brunt et al., 2000). However, typical axial flowrates

used in operation, e.g., 12-15 ft/s, may not suffice for some suspensions that are viscous or have a strong affinity for the filtering surface. However, if it were possible to increase wall shear beyond what is possible solely from the flow of slurry, a shear rate may be attainable to keep the filter wall clean.

A higher wall shear stress was created with the concept of a rotary microfilter [Herman et al., 2010]. This rotary process spins the filtering surface at a rate such that the filter outer surface moves at more than 60 ft/s. In fact, approximately 70% of the filtering surface is kept completely free of cake. Having a clean filtering surface leads to high filtering fluxes, but a cake-free surface leads to other problems. When the filter is keep clean, either by backpulsing or high shear rate, the surface is always exposed to the smallest particles in a slurry. Specifically, for backpulsing it has been shown that once a cake is lifted off a filter surface the smallest particles are the first to return to the surface, which accelerates depth fouling [Fischer and Raasch, 1986; Lu and Ju, 1989]. Because of this fact, backpulsing was recommended to be kept at a minimum [Duignan, 2003].

1.3 Cake Development

Because of poor filter performances and the ineffectiveness of backpulsing with stored salt wastes [Nash et al., 2000; Duignan, 2003] SRNL test plans were developed to filter without any backpulses. Furthermore, instead of trying to avoid cake buildup, the desire was to actively establish a cake, but one that would be more permeable and lead to better filter fluxes. Of course, the filter membrane itself is a filter, but by the forming of a filter cake on the surface a secondary filter is established [Murkes and Carlsson, 1988]. When forming a cake, it is always important to take into account the nature of the slurries and sludges being filtered [Defrance and Jaffrin, 1999]. From past work [Duignan, 2003] it appeared that waste solids adhered well to the filter surface based on the loss of the backpulse effectiveness in a very short time (hours). In this short time period filter depth fouling was probably unlikely. Unfortunately, there is no direct evidence of surface adhesion or fast depth fouling; therefore, an assumption of good adhesion led to the method of cake development used in this study. In the past, the procedure to start and maintain filtration was to fill the filtration and slurry systems, start filtration with an immediate backpulse, and then periodically backpulse when the filtrate flux became unacceptably low [Duignan, 2003, Duignan et al., 2002, Daniel, 2009]. Depending on the waste stream, the effectiveness of backpulsing dropped at different rates and eventually filtration had to be stopped to chemically clean the filter membrane to remove the depth fouling.

The intention of the present study was to not avoid cake buildup, but to actively establish a cake that would be permeable and act to filter even smaller particles than what the filter membrane was capable of handling. If successful then the developed cake would allow a high filtrate flux. Furthermore, to maintain the high flux, a mechanism of what will be called "scouring" was tried. This is an action of stripping off some of the established cake to remove the smallest particles [Ripperger and Altmann, 2002] by increasing the slurry axial velocity for a short period while no filtration is occurring.

1.4 Scouring

During the test many trials were performed to observe if filtrate flux could be improved while filtering without the need of cleaning. A method that seemed to work the best the authors termed

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"scouring." The intention was that when the filter is operating with an established filter cake, the filter flux would be stopped for a few minutes while slurry flow continued. Then axial velocity of the slurry would be increased by 50 to 80% above the operational velocity. After being held at this higher velocity, the velocity is then returned to the original value while filtrate flow is reestablished very slowly over an approximate 15-minute period. The hope was that scouring would leave a base filter cake, free of the smallest particles, which would return the filter rate to what was initially established at start-up. If successful the further hope was this process of scouring and reestablishing of a high filter flow could be repeated indefinitely.

1.5 <u>Cleaning</u>

Due to depth fouling, eventually the filter performance will drop to a non-productive level or the transmembrane pressure increases beyond what is sustainable. When a filter reaches this point, generally mechanical methods, e.g., backpulsing or high shear rates, become ineffective to recover filtration. Filtration needs to stop and the filters need to be cleaned chemically. Cleaning is obviously dependent on the material causing the fouling.

For salt wastes stored at SRS and Hanford, a series of different chemicals have been used, but the most common is 2 M to 4 M nitric acid or 0.5 M oxalic acid. A benchtop study was performed [Poirier and Fink, 2002] using actual radioactive wastes that included both of these acids and concentrations and found these acids did a similar job of cleaning. The oxalic acid was more effective at dissolving iron, titanium, and silicon, while the nitric did better with aluminum; however, both continued to dissolve all the compounds during the 8-hours of contact time. While both nitric and oxalic acids have been used for years to dissolve waste compounds, the general consensus is that oxalic does a slightly better job [Daniel, 2009; Nash, 1995; Poirier et al., 2003a; Mann et al., 2004] and was used for this test.

1.6 <u>Filter Enhancers</u>

In an attempt to seek other methods to improve filter performance, several filter aids and body feeds were evaluated in a dead-end filter to obtain a good potential filter enhancer for crossflow filtration. Filter aids are substances that coat a filter to improve overall permeability. Body feeds are compounds made to react with a slurry to flocculate solids to hopefully create a more permeable cake. Unfortunately, the enhancer could not be tested in the crossflow due to the lack of time; therefore, it will be tested in the future.

1.7 <u>Literature Review</u>

Before performing work on the experimental work a review was done on past work to better plan the tests. Due to the large amount of work done on filtration at both sites, SRNL (Johnson and Duignan, 2011) and PNNL (Daniel et al., 2010), did separate reviews. The information is lengthy and will not be repeated here but the SRNL findings are:

- The rheology and particle size of the substance being filtered affects the rate of filtration, as shown by the various optimum operating conditions.
- Smaller particles can clog the pores or form a tight cake layer and thus significantly reduce the filtration rate.

- High solids concentrations can reduce the overall filtrate flux, as well as the time it takes for the flux to reach a pseudo-steady-state value.
- The formation of the cake is dependent on several conditions, the most important of which is either a critical filtrate flux or transmembrane pressure. Once that critical state is reached, particle deposition can begin to form the layer.
- The thickness of the cake is determined by the shearing forces of the bulk flow across the filter surface.
- Increasing the axial velocity will increase the filtrate flux.
- Reducing slurry viscosity through diluting, e.g., washing, or increasing temperature, e.g., during leaching, increases the filter flux.
- Increasing the transmembrane pressure will increase filtrate flux, but only until a critical TMP value is reached. That critical value is different for different feed materials and different solids concentrations.
- Filter aids were often found to increase average filter flux for many slurries processed in crossflow filtration.
- Filter coatings were also tested and the hydrophilic polymer membranes seem to provide an improvement.
- Backpulsing produced mixed results. While it was often used successfully to clear (or partially clear) the filter cake from the surface of the membrane, it generally cannot remove the particles trapped in the pores of the filter, and worse, it seems to accelerate depth fouling.
- The volume of filtrate used by backpulsing dislodges a filter cake and the frequency of use reduces a filters effectiveness.
- After irreversible filter fouling from slurry containing metal oxide and hydroxide solids chemically cleaning with acid is necessary. Many chemicals were tested but the best results came with the nitric acid or the oxalic acid.

Considering the findings from the review the planned crossflow filtration tests:
- Determine an optimum set of operating conditions that will lead to a more permeable cake that will sustain a good filtrate flux for long periods of operation and result in a relatively clean filtrate stream.
- Reevaluate the need to backpulse by balancing its positive effect to maintain good filtrate flux and its negative effect of accelerating the irreversible situation of depth fouling.
- Determine if there is another method to maintain a good filtrate flux besides backpulsing.
- Evaluate the effectiveness of filter aids to improve filter performance.

2.0 Experiment

2.1 Filter Enhancers and Dead-End Filter Equipment

Six different substances were tested in the hope that at least one would help to enhance filtration by creating a more permeable filter cake, or filter surface, than without their use. The enhancers can be grouped as Filter Aids, which coat a porous filter surface, or Body Feeds, which flocculate slurry solids. Table 1 lists the filter enhancers tested.

To choose the most promising filter enhancer among the candidates in Table 1 a dead-end filter test was set up, as has been done in the past (Poirier, 2001). The dead-end filter test served two purposes: 1. To choose a challenging slurry waste simulant from two available, which are described later, and 2. to evaluate the effectiveness of the filter enhancers. Figure 2 shows the dead-end filter set up with multiple filters in parallel so that a side by side comparison could be

made with the different simulants at two different solids loadings, e.g., 0.1 wt% or 5 wt%, and with the various filter enhancers.

Table 1. Filter Enhancers Compared for Effect on Filtration

Enhancer Type	Enhancer Symbol	Location	Manufacturer	Particle Size (d_{50}, micron)	Bulk Density (g/ml)
Silicon Cabide	SiC	Filter Aid	Many	20	3.1
Titanium Oxide	TiO_2	Filter Aid	Many	1 to 2	4.2
Activated Carbon	DARCO® S-51HF	Filter Aid	Norit Americas, Inc.	40 to 55	0.51
Activated Carbon	DARCO® S-51FF	Filter Aid	Norit Americas, Inc.	30 to 50	0.51
Flocculant	SUPERFLOC® HX-200	Body Feed	Cytec Industries, Inc.	NA	NA
Flocculant	PEO-Antiscalant	Body Feed	Cytec Industries, Inc.	NA	NA
Note: NA = Not Applicable					

Figure 2. Four Nalgene Dead-End Filter with 0.45-micron Nylon Filters Connected to the same Vacuum Source and Vacuum Gauge

2.2 Crossflow Filter Equipment

Figure 3 is a partial drawing of the as-built test rig and Fig. 4 is a simplified schematic of the test rig that highlights key features. The facility stands approximately 25-feet tall and is serviced by a two-level mezzanine. The test rig is taller than the 24-inch tall filter elements because it originally was used to test a 10-foot tall filter (Steimke, 1994). Several modifications were made in order to install the current three crossflow filters. Most of the test rig was made of 300 series

stainless steel with the majority being 304 stainless steel; however, filter tube housings and the tube runs to and from the housings were made of PVC.

Figure 3. Partial Drawing of the Pilot-Scale Crossflow Ultrafiltration Test Facility

Figure 4. Schematic of the Pilot-Scale Crossflow Ultrafiltration Test Facility

The test rig was made up of three basic flow loops:

1. Slurry loop – contains three 24-in long filters (22.5 in. active length) and their housings, which serve as the primary flow path for circulating slurry. This "loop" was really made of three sub-loops so that the three filters could be controlled separated in order to maintain the same flow conditions in each despite their geometric differences.
2. Filtrate loop – begins at the filter housing, allows the filtrate liquid from each filter to flow to a common header that was directed back to the slurry tank.
3. Cleaning loop – allows the three filters to be cleaned without removing most of the test slurry that remained in the lower portion of the test rig during cleaning.

To circulate slurries in the test rig, two 10 hp Galigher centrifugal pumps were used. The impeller and impeller housing were lined with EPDM to be compatible with both the pH > 14 slurry that was tested and the pH < 1 acid cleaning solutions. The two pumps were used in series for the slurry loop to attain a pressure of greater than 65 psig at 60 gpm.

Two other flow circuits are the recirculation and the backpulse loops:

1. The recirculation loop is part of the slurry loop (by using valve V6) and is used to better control the slurry flow. The recirculation loop helps to increase mixing and to maintain a well mixed slurry.

2. The backpulse loop is normally part of the facility but it was dismantled and therefore not shown. It is mentioned here because backpulse has always been a part of crossflow filtration. However, one goal of this task was to purposely develop a filter cake that would be more permeable than what was possible in the past. The purpose of a backpulse is to remove an established cake to clean the filter surface; therefore, it use was discontinued for this experiment.

Note, that when flowing slurry is split into different streams there is always the question whether all the streams will contain the same slurry. That is, the undissolved solids in the stream may not equally divide when the main stream is split. Both Figs. 3 and 4 show that the main stream is initially split into two streams and then one of those streams is further divided into two more streams. This is done so the 0.5-inch I.D. filter and the two 0.375-inch I.D. filters will all receive the same slurry and can be controlled to have the same axial velocity and transmembrane pressure. Based on the small sizes of the particles of SB6 slurry, being on the average less than 10 microns, a large variance in undissolved solids was not expected among the three streams. The slurry specifications will be further detailed later. Despite this expectation of similar stream makeup, slurry samples were taken before the stream splitters and then immediately downstream of each of the three filter tubes. Table 2 shows that within measurement uncertainty the streams were the same.

The other question to answer is whether slurry flowing from a sample valve accurately represented the slurry contained within the flow loops. Table 2 also compares sample results taken from sampling port V5, which is just before the slurry stream is split, to a sample taken directly from the slurry reservoir, Fig. 3. The results are identical and this means that samples take from a sample port, which are much easier to collect than with a COLIWASA, are accurate representations of the slurry within the flow loop.

Table 2. Error in Solids Due to Slurry Stream Split

Sample Port		wt%	%
Number	Location	wt%	%
Is the slurry in all three loops the same?		UDS (1)	Error (2)
V5	Before Stream Split	3.20	± 12
VA2	Downstream 1/2-Mott Filter	3.05	± 12
VB2	Downstream 3/8-Mott Filter	3.06	± 12
VC2	Downstream 3/8-Pall Filter	3.27	± 12
Is slurry in samples the same as the flow loop?		TS (3)	Error
V5	Before Stream Split	21.33	± 10
COLIWASA (4)	Slurry Reservoir	21.23	± 10
(1) UDS = Undissolved or Suspended Solids			
(2) The Analytical claimed uncertainty is ± 10% but since UDS is determined from two other measurements, i.e., Total Solids and Dissolved Solids, which both have an accuracy of ± 10% then UDS uncertainty is slightly higher.			
(3) TS = Total Solids			
(4) COLIWASA = Composite Liquid Waste Sampler			

2.3 Cross Flow Filters

The main goal of this experimental task was to test and improve the productivity of various crossflow filter elements, including media currently in use at both SRS and Hanford. Their performance characteristics were tested with a challenging simulated waste slurry. The filter units received from the manufacturers are partially shown in Figs. 5 and 6. Once the 24-inch long tubes were installed in their housing the active filter surface length was 22.5 inches. The specifications for the filters are listed in Table 3.

Figure 5. Three Filters Studied, all are 24 inches Long. From Left to Right: 3/8-inch I.D. Pall, 0.1 micron Absolute Rated; 3/8 inch I.D. Mott, and 1/2-inch Mott, both 0.1 micron Nominal Rated Filters

Figure 6. Three Filters Shown Side by Side before Being Trimmed to 24 inches in length and placed in the Filter Housings and Having 22.5 inches of active length

Table 3. Filter Tube Specification

Filter (a)	Actual Inside Diameter inch	1 x Standard Deviation inch	Actual Outside Diameter inch	Medium Design (b)	Primary Material	Active Length in	Filter Surface Pore Rating (d)
1/2-inch Mott	0.487	0.0026	0.653	Symmetric	316L Stainless Steel	22.5	0.1 micron nominal
3/8-inch Mott	0.363	0.0027	0.512	Symmetric	316L Stainless Steel	22.5	0.1 micron nominal
3/8-inch Pall	0.391)	0.0033	0.480	Asymmetric (c)	316L Stainless Steel	22.5	0.1 micron absolute

Notes:
(a) Mott, refers to the Mott Corporation; Pall, to the Pall Corporation.
(b) Symmetric = filter has same material and pore rating throughout, Asymmetric = filter has two or more materials and pore ratings.
(c) Pall filter consists of 10 micron thick inner surface made of Ziconia and stainless steel substrate has a much larger pore rating.
(d) "Nominal" for a filter rating is vague because its meaning is manufacturer dependent. A "nominal" rating does not give an exact size to a filter medium, but rather an approximation to the expected performance of a filter. In the case of Mott, a nominal rated 0.1-micron filter means approximately 95% of particles greater than 0.1 micron will not pass the filter. For the 0.1 micron absolute rating 100% of particles greater than 0.1 micron will not pass the filter. A rough approximation between of the ratings is a 0.1 micron nominal has been equated to 0.7 micron nominal rating (Mann et al., 2004)

Figure 7 shows the tube installed in their housing that directed the separated liquid, filtrate, back to a centralized collection point that either returned to the slurry reservoir tank, when steady-state solids concentration was being used, or into a separate container, when the slurry was to be concentrated. Several aspects of the overall test facility are shown in Figs. 8 to 11.

(a)

(b)

Figure 7. Installed Filter Tubes: (a) Side By Side, (b) Close Up

Figure 8. Operational Side of the Test Facility and Visible are the Computer, Two 10-hp Pumps, Slurry Reservoir to the Right and the Secondary Containment Pan at the Bottom

Figure 9. Looking Down from the 2nd Level the Slurry Reservoir is Visible and Filled with Slurry, the Equipment Visible at Mid-Height Is some of the Measurement Equipment

Figure 10. Slurry Reservoir Filled with the 5 wt% SB6 Slurry with the Filtrate Exiting to the Top of the Slurry

Figure 11. The Cleaning Loop Reservoir and Solutions Were Located on the Top Level and filling was done with the Peristaltic Pump Seen in the Center of the Picture

2.4 Instrumentation

The measurement equipment (see also Appendix A) used for this experiment was:

3   Type E thermocouples with accuracies*: 0.6 to 1.1°C,
6   Differential pressure transducers with accuracies*: 0.02 to 0.12 psid
3   Gauge pressure transducers with accuracies*: 0.04 to 0.06 psig
3   Magnetic flow meters (filtrate) with accuracies*: 0.0005 to 0.0016 gpm
3   Magnetic flow meters for slurry with accuracies*: 0.03 to 0.06 gpm
1   Turbidity meter: ±2% Reading or 0.01 NTU, whichever is greater
*accuracies are a function of the instrument and calibration. The uncertainty introduced through the use of the 16-bit data acquisition system was insignificant (< 0.1% reading) and was not included in the values above.

Measurement Uncertainty

The measurement uncertainties (95% confidence level), for the important calculated quantities were estimated to be:

        Slurry Velocity in a Filter Tube: ± 9 %
        Transmembrane Pressure: ± 1 %
        Filtrate Flux: ± 12 %

2.5 <u>Simulated Waste Slurry</u>

Two waste simulants were obtained for this test: a HM Waste Sludge – Sludge Batch 6 (SB6) and a Purex Waste Sludge – SRS Tank 8F.

In a separate dead-end filter test, for which the results will be described later, the SB6 was found to filter significantly slower than the Tank 8F waste. Therefore, the SB6 was chosen for the crossflow filter test and the properties of the SB6 sludge properties are shown below in Figs. 12-14 and Tables 4-5.

Figure 12. Particle Size Distribution of SB6

$y = 0.7167e^{0.5961x}$

$R^2 = 0.9974$

Figure 13. Sludge Batch 6 (Batch 2) was Used for this Test. Note that 10 dyne/cm2 = 1 Pa

Sludge Yield Stress Dependence on Insoluble Solids

TANK 12

TANK 15

1/24/2009 Sample
(assume IS=8.7%
obtained from mixed sample
on 1/6/2009)

YS = 0.3054 exp(0.5876 x IS wt%)

YS = 0.502 exp(0.2788 x IS wt%)

TANK 8

YS = 0.287 exp(0.1598 x IS wt%)

1/24/2009 Sample
Diluted with Tank 24 liq

Pre-dissolution
sample - 8/2008

1/24/2009 Sample
Diluted with Tank 24 liq

1/24/2009 Sample
Diluted with Tank 24 liq

Post-dissolution
sample - 8/2008

Figure 14. Shown is the Range of Yield Stress of the Waste Stored in SRS Tanks

Note that Fig. 14 indicates the SB6 waste shown in Fig. 13 has a yield stress representative of waste tanks with the highest yield stresses.

Table 4. Sludge Batch 6 Makeup

Component	Calcined Solids, wt%	
	Target	*Actual*
Al	16.181	15.80
Ca	1.147	1.08
Ce	0.085	0.08
Cu	0.085	0.10
Fe	17.743	18.02
K	0.021	0.24
La	0.074	0.08
Mg	0.552	0.55
Mn	5.982	6.31
Na	19.305	17.77
Ni	2.231	2.30
S	0.712	0.28
Si	1.232	1.52
Zn	0.053	0.06
Zr	0.234	0.22
Sum	**66.0**	**64.4**

Table 5. Properties of Sludge Batch 6

	Target	*Actual*
Slurry density g/mL	1.12 ±0.05	1.12
Total Solids, wt %	18.17 ± 2%	16.7
Insoluble Solids, wt %	14 ± 1%	10.4
Anions		
Nitrite, NO_2^-	8807 ±10%	11100
Nitrate, NO_3^-	6096 ±10%	6470
Phosphate, PO_4^{3-}	27 ±25%	<100
Sulfate, SO_4^{2-}	904 ±25%	1060

Notes:
Simulant properties "as-received" were:
Bingham Yield Stress 54.6 Pa
Bingham Consistency = 17.8 cP

The SB6 was mixed with a 5.6 M supernatant to obtain the desired solids loading before testing. Figure 15 shows the viscous sludge before supernate was added to obtain a solids loading of 5 wt%.

Figure 15. SB6 Sludge at 10.4 wt% solids loading before being mixing with the 5.6 M supernate to attain a concentration of 5 wt%

The particle size for the SB6 simulant had a large variation, i.e., from 0.3 to 300 microns, which captured well the ranges expected in the actual wastes [Poirier et al., 2003b; Wells et al., 2007]. In fact, particle size distribution was tri-modal with peaks at approximately 0.8, 8, and 50 microns. It was assumed this range would be very challenging to the filters.

2.6 <u>Highlights of the Test Matrix</u>

The following list shows highlights of the intended test sequence when testing began, or as those that were developed while testing was progressing. The discussion that follows will provide more detail.

- Prepare filter media by soaking in deionized and filtered water
- Determine filter flux with water
- Precondition filters with SB6 slurry to put in used condition
- Clean filters with following sequence:
  - o Flush filters with solely water
  - o Clean filters with 0.5 M oxalic acid
  - o Rinse filter with water until pH ~ 5
- Try several techniques to develop a filter cake with slurry
- Filter slurry at an axial velocity (AV) of (12 ft/s) and a transmembrane pressure (TMP) of (40 psid) at 5 wt% UDS*
- Extended cleaning of filters to understand effectiveness of just using water and longer periods of acid cleaning.
- Filter slurry at an axial velocity of (15 ft/s) and a TMP of (50 psid) at 5 wt% UDS
- Concentrate slurry at an axial velocity of (12 ft/s) and a TMP of (40 psid) from 5 wt% 10 wt% UDS (or to the maximum concentration possible with available slurry).
- Filter slurry at an axial velocity of (12 ft/s) and a TMP of (40 psid) at 10 wt% UDS
- Return slurry back to 5 wt% UDS
- Filter slurry at an axial velocity of (12 ft/s) and a TMP of (40 psid) at 10 wt% UDS
- Clean filters
- Using a filter aid - filter slurry at an axial velocity of (12 ft/s) and a TMP of (40 psid) at 5 wt% UDS
- Clean filters

*UDS = undissolved solids, also referred to a suspended solids

3.0 Results and Discussion

Before the crossflow filtration began two sludge simulants, Sludge Batch 6 (SB6) and SRS Tank 8F, were tested against each other in a dead-end filter for filterability and at two different solids loadings. To these sludges 5.6 M sodium supernatant was added to attain a solids loadings of 0.1 wt% and 5 wt%. Equal amounts of the resulting slurries were placed in four Nalgene dead-end filters, which had 0.45-micron nylon filters. A vacuum was applied to all four filters and when the first filter cup was emptied, which turned out to be the Tank 8F slurry at a solids loading of 0.1 wt%, the test was stopped and each cup was measured for its filtered volume. In normalizing each filtered volume to the fastest Fig. 16 shows a clear distinction between the two slurries. The

SB6 simulant was the most challenging to filtration, as well as the higher solids loading. Therefore, the SB6 slurry was selected at a 5 wt% solids loading.

Figure 16. Dead End Test: T = 21°C, Transmembrane Pressure = 10 psid

3.1 Filter Enhancers

The dead-filter testing was also useful to select the best filter enhancer. The enhancers can be classified into two groups: Filter Aid and Body Feed. A filter aid is generally made of solid particles used to precoat the filter surface to produce a more permeable cake. Starting with new filter, Fig. 17(a), each filter aid was mixed with deionized water and filtered in order to evenly coat a filter surface. Figures 17(b-e) show the Nalgene filter surfaces coated with the filter aids just before adding the slurry to be filtered: Figure 17(b) is silicon carbide, Fig. 17(c) is titanium oxide, Fig. 17(c) is one activated carbon (DARCO[®] S-51HF), and Fig. 17(e) is the other activated carbon (DARCO[®] S-51FF). Finally, the slurry was very gently added to each filter cup so as to not disturb the settled filter aid. In general, the aids appeared to adhere well to the nylon filter surface and were not disturbed by the action of slurry introduction. Once all four slurry cups were filled with equal amount of slurry the vacuum was applied and the filter rate measured.

Figure 17. Filters Coated with Filter Aids: (a) New, (b) SiC, (c) TIO₂, (d) S-51HF, (e) S-51FF (See Table 1)

For the body feeds, PEO and Supefloc HX200 (Saito et al., 1999; Martino et al., 2001; Poirier, 2001) which are chemicals that flocculate the slurry solids, they were added directly to the slurry and then poured into the filter cups to measure filter flux. However, the same vacuum was used as that for the filter aid tests

Several dead-end test combinations were tried, i.e., slurry solid loading combinations, filter aid and body feed concentrations, etc, and the results are shown in Fig. 18. These combinations were also tried with the other slurry, i.e., Tank 8F, and the other solids loading, i.e., 0.1 wt%, but since the slurry SB6 at 5 wt% filtered significantly slower, i.e., the most challenging; therefore, only those results are shown in Fig. 18. Note, that all the results were normalized to the filtration rate of SB6 at 5 wt% with no filter enhancer, which is referred to as SB6-Control. The results indicate that 3 of the 4 filter aids helped to filter faster and that both of the body feeds and the TiO₂ filter aid led to no improvement. Also note that difference concentrations of the filter enhancers were tried. For the filter aids, which are particulates, initially a layer of particles with an arbitrary thickness of three particle diameters was tried. For the activated carbon it was clear during the test the layer did not cover the entire surface; therefore, the thickness was increased by a factor of 10. This is the reason why the last two columns are labeled 10XHf and 10XFF in Fig. 18. The thicker layer was very successful in increasing the filtering rates and for S-51FF the increase was close to 50%. From these tests S-51FF was chosen to be the candidate to try during the filter enhancer phase of crossflow filter testing.

Figure 18. Dead End Test Comparison of Some of the Filter Aids in SB6 Slurry at a 5 wt% Solids Loading: T = 21°C, Transmembrane Pressure = 10 psid, Duration 200 to 300 minutes

3.2 Crossflow Filtration: Cake Development – Long-Term Slurry Flux and 5 wt% UDS, and Scouring

Crossflow testing began with facility shakedown on 9/13/2010 and completed on 11/29/2010. However, the principal test results were obtained over a 12-day period shown in Fig. 19 beginning on 10/29/2010. Prior to this period the three filters were pre-conditioned with the test slurry, which was followed by a pre-acid water rinsing, an acid cleaning, and a post-acid water rinsing. The cleaning was done until the water flux returned to what it was before filtering with slurry. This preconditioning was to try to put the filters in a 'used' condition to avoid the anomaly of new filter performance. Once the three filters were preconditioned with the SB6 slurry and thoroughly cleaned, the test began.

Figure 19. Long Term 12-Day Filtration Test was Done at the Several Conditions Listed for the Three Filter Tubes at 25°C ±2°C

3.2.1 *Region 1: Cake Development Scouring*

To begin the test, the slurry loop was very slowly filled with the test slurry and the filtrate loop shut so the filters would not become challenged prematurely. However, the filter housings did slowly partially fill as liquid separated from the slurry across the porous membranes. This was possible because the air in the filtrate housing was drawn into the slurry, which then percolated through the slurry loop until it was released to the atmosphere from the slurry reservoir. Once the slurry was circulating at a constant, but very slow rate, i.e., the axial filter velocity was less than 0.5 m/s, and air stop leaving the system, then the filtrate valves were opened very slowly over approximately a 15-minute period. Once both the filtrate and slurry loops were filled, the filtrate flow was again stopped. Now the flow conditions to be used for filtering, i.e., axial velocity (AV) = 12 ft/s and a transmembrane pressure (TMP) = 40 psid, were established. (These conditions were used because they had been selected from previous work [Duignan, 2003] as the best for filtration.) Once established and stable, then the filtrate flow was very slowly engaged over an approximate 15-minute period. With the filtrate flow established, the system was allowed to run about 2 hours to allow the filter cake to develop, as noticed by a slight drop in filtration rate. After the cake was established, the filters received the initial 'scouring' for 15 to 20 minutes. The process used to develop the filter cake is listed below [Duignan, 2010]. Refer to Figs 3 and 4 for valve locations.

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Direction for Filter Cake Development Start-Up

1. Circulate rinse water through cleaning loop, then flush out any sample valve of debris, purge the pressure transducer tubes of any solids, finally do a zero check of all pressure transducers and log the zeros.
2. Drain water thoroughly.
3. Set test rig for slurry operation.
4. Filtrate valves, VA3, VB3, and VC3 MUST be closed.
5. Close upstream slurry valves VA1, VB1, and VC1.
6. Open slurry recirculation valve, V6, and turn on pumps to < 20 Hz to allow the slurry to circulate only in the bottom of the test rig and mix it thorough. The slurry should have a smooth uniform appearance when fully mixed.
7. Fully open upstream slurry valves: VA1, VB1, and VC1.
8. Fully open downstream slurry valves: VA2, VB2, and VC2.
9. Slowly begin filling the test rig with slurry by slowly closing valve V6. Move it to 25% closed, 50% closed, 75% closed, then 100% closed.
10. Once slurry and air are not noticeably exiting into the slurry reservoir tank or the slurry flowmeters do not register fluid movement, then increase the slurry pumps just enough to establish a slurry circulation. The filter velocities shall not exceed 5 ft/s.
11. Wait for the slurry circulation to be become steady and air no longer is bubbling through the slurry reservoir.
12. Complete the filling of the filtrate system by very slowly open the filtrate valves, VA3, VB3, and VC3, over a period of 15 minutes to full open.
13. If the filtrate housings are filling too slow or stops filling, then slowly close the downstream slurry valves, VA2, VB2, and VC2 to reduce the axial velocity to below 1 ft/s in order to increase the TMP.
14. After both the filtrate and slurry loops are full shut the filtrate valves.
15. Bring the filter flow conditions to the chosen values, e.g., Axial Velocity of 12 ft/s and TMP = 40 psid. (Note, since there is no filtrate flow there cannot be any significant TMP; however, the system (slurry) pressure should be close to what it would be when the filter is operational. What was used was approximately 10% over TMP; therefore, for 40 psid, then a system pressure of 44 psig was set before opening the filtrate valves.)
16. Now, reopen the filtrate valves, VA3, VB3, and VC3, very slowly over a period of approximately 15 minutes to full open. While opening note the increasing TMP and do not allow the TMP to increase sharply, that is, the pressure should be increased slowly and smoothly during the 15 minutes.
17. Readjust the slurry valves to obtain the chosen flow conditions, e.g., Axial Velocity of 12 ft/s and TMP = 40 psid.
18. Allow the filters to operate for approximately 2 hours and then perform the first scouring.

Scouring is the process of shutting the filtrate flow valve, increasing the slurry axial velocity to 50% to 80% above the original set velocity, allowing the high velocity slurry to flow for approximately 15 to 20 minutes, then returning the velocity back to the original setting, and finally reestablishing filtrate flow over a 15-minute period. This scouring, which was done after two hours, is hard to see in Fig. 19 but it is exactly what was done between Region 1 and Region 2. In fact, it is what was done between Regions 2 and 3, 3 and 4, 5 and 6, noted by the jump in filtrate flux. That is, at no time were the filters cleaned or backpulsed, but only scoured. It can be seen that after each scouring the filtration flux return to approximately the same value, implying that no significant depth fouling had occurred. In fact, over the entire 290 hours (12 days) of continuous filtering the filters never were backpulsed nor cleaned and after each scouring the filter flux always returned to its initial value at time zero. The steps used to perform a scouring are listed below:

Direction for Scour Filters while Running in Steady State
1. Close the filtrate valves, VA3, VB3, and VC3
2. Turn the slurry axial velocity up to 50% to 80% above the standard operating velocity by fully open downstream slurry valves: VA2, VB2, and VC2 and increase the slurry pump speed. (Note, the velocities tried were 18 ft/s and 22 ft/s. The value of 22 ft/s was initially used because this was the highest velocity attainable with the available equipment. The lower value of 18 ft/s was tried to see is it would be just as effective. It was.)
3. Allow the higher axial velocity to scour the filter cake for 15 to 20 minutes.
4. After the scouring period return the slurry axial velocity to its set operating value, e.g., 12 ft/s, by first return the slurry pumps to its original steady-state setting, reopen the downstream slurry valves: VA2, VB2, and VC2 to their original settings.
5. Reopen the filtrate valves, VA3, VB3, and VC3, very slowly over a period of approximately 15 minutes to full open. While opening note the increasing TMP and do not allow the TMP to increase sharply, that is, the pressure should be increased slowly and smoothly during the 15 minutes.
6. Readjust the slurry valves to obtain the chosen flow conditions, e.g., Axial Velocity of 12 ft/s and TMP = 40 psid.

3.2.2 *Region 2: Long-Term Filtration*

Figure 20 is an excerpt of Region 2 from Figure 19. It depicts the better performance of the 0.375-in Mott filter over the 1/2-in Mott and the 0.375-in Pall.

Figure 20. Filter Performance at a Single Set of Flow Conditions at 5 wt% Solids Loading

An interesting feature from the test results was the higher flux of the smaller diameter filter tube. The 30 to 40% higher flux of the small Mott tube over the larger Mott tube was not a surprise as this has been studied previously [Duignan and Lee, 2006] but it was never observed with the same slurry at the same time; this evidence was reassuring. The higher flux is directly related to

the higher wall shear stress for the small tube at the same axial velocity, which can be related to the ratio of filter surface area to flow volume. The 0.375-in I.D. tube has 33% more area to volume than the 0.5-in I.D. tube.

The other interesting aspect of the data in Fig 20 is the very slow rate of decline in the filter flux. The drop in flux is approximately 30% over 5 days, which is a significant improvement to the 80% drops experienced from past works [Duignan, 2003, Daniel, 2009].

Finally, the large difference in flux between the two tubes with the same insider diameter of 0.375 in must be related to the different pore structure. The Mott filter is listed as a 0.1 micron nominal pore and the Pall is a 0.1 micron absolute pore. As mentioned earlier the 0.1-m Mott has been estimated [Poirier et al., 2003a] to be an approximately 0.7 micron absolute; therefore, the Pall had a much tighter pore structure. The smaller pores do a much better job to separate the smallest solid particles, but also result in a lower flux because of a much higher base membrane flow resistance. The question then is: is the much tighter pore needed for these types of wastes? One way to determine this would be to measure the turbidity of the filtrate. Unfortunately, the turbidity of the filtrate of each filter could not be measured because all three streams were joined in a common header, as the filtrate was returned to the slurry reservoir. However, the turbidity of the joined stream was measured and that would tell at least the separation efficiency for the tube with the largest pore openings:

Turbidity (±0.01 NTU)
For Deionized water used throughout testing: 0.26 NTU
From filters using only water: 0.25 NTU
From filter using the 5 wt% SB6 slurry: 0.03 NTU

These data imply that not only does the filter cake act as a secondary filter but it prevents even the smaller particles from passing through the filter than a cake-free filter. Therefore, this means that the more open pore structure is more efficient. Of course, the pore size cannot be allowed to become too big because eventually depth fouling would confound operation.

3.2.3 *Regions 3, 5, and 6: Higher Flow Conditions*

Figure 21 is an excerpt of Regions 3 and 6 from Figure 19 of only the 0.375-in Mott data. Because of the success of a much higher, and longer sustained, filter flow rate than expected, it was of interest to see if higher flow conditions would result in even higher filtrate flux. After several scourings and a return to the same axial velocity and TMP, those values were increased.

At the end of a successful long term run, shown as Region 4 in Fig. 19, and Fig. 22, the flow conditions were increased to an axial velocity of 15 ft/s and a TMP of 50 psid, without scouring. Indeed the filter fluxes increased by about 50%, but this is only about 15% above the starting flux of Region 4. However, after a scouring was done and then a return to the conditions of 15 ft/s and 50 psid, the increase was 100% or about 43% above the starting point of Region 4.

Figure 21. Filter Performances at a Single Set of Flow Conditions at 5 wt% Solids Loading

This 43% can be seen better in Fig. 21 which illustrates the 20+ hours of operation after a scouring that started Regions 3 and 6 with the 0.375-in-m Mott filter. The filtrate flux at an AV of 15 ft/s and a TMP of 50 psid was surprising high (better than 0.12 gpm/ft^2) and remained high for a full 24 hours. With continual scouring this flux may be maintained for a very long time.

Figure 22. Regions 5 and 6 are Expanded to Be Seen Better

3.3 Slurry Concentration from 5 to 8.5 wt% UDS

During actual waste processing the waste solids concentration will not remain constant. Filtration will be a batch operation and liquid removal from the slurry will cause the solids concentration to increase. Because of the limited simulant for this test and the large volume needed to keep the filter facility full, the amount of material available to concentrate was limited. However, some concentration was possible and did reveal filter performance. Figure 23 is limited to only the 0.375-in Mott filter because it demonstrated the best performance, but the two other filters performed similarly except for being lower in filtrate fluxes.

Figure 23   Filtrate Flux as the Solids Loading Increasing through 4.5 hours of Filtration

The drop in flux shown in Fig. 23 was expected from the increase in slurry viscosity. What was not known was the effect on the formed filter cake and if a good filter flux would return once the solids concentration was reduced to its starting point. Over a 4.5-hour period the SB6 simulant was filtered and allowed to concentrate by redirecting the filtrate to a separate container. Once the limit of the simulant volume was reached filtration stopped; the concentration subsequently was determined to have reached 8.3 wt%. Without cleaning the filters the removed filtrate was returned to the simulant to attain the starting concentration of 5.1 wt% and then the filters were scoured and filtering commenced. The open diamond on Fig. 23 shows that a good flux rate was obtained again, thus indicating that the base filter cake was still intact and doing its job well. The solids loading data in Fig. 23 were estimated from solids measurements made on three samples taken during the concentration test. The samples were taken at the start of the test and after 2 and 4.6 hours of slurry concentration. The results of those measurements and uncertainties are listed in Table 6.

Table 6. Solids Concentrations of SB6 Slurry during the Concentration Test

Concentration Time	TS (a) Slurry	Measurement Error	FS (b) Filtrate	Measurement Error	UDS (c) Slurry	Measurement Error
hours	wt%	%		%	wt%	%
0	19.93	± 10	15.64	± 10	5.09	± 12
2	20.74	± 10	15.84	± 10	5.82	± 12
4.6	22.76	± 10	15.80	± 10	8.27	± 12
(a) TS = Total Solids in Slurry						
(b) FS = Total Solids in Filtrate						
(c) UDS = Undissolved Solids in Slurry						
UDS = TS - (100 - TS) x (FS / 100) / (1- FS / 100)						

3.4 Testing with Filter Enhancers

While the dead-end filter results imply that filter enhancers may lead to even more filter performance improvement, failure with the test equipment and the lack of time prevented testing. This test phase was left for future work.

3.5 Filter Cleaning

Before any slurry filter testing was performed the filters were preconditioned with the SB6 slurry and then the filters were cleaned by first rising with water and then circulating 0.5 M oxalic acid. Initially, the filters were cleaned with just water, but there was no improvement in filtrate flux. However, water flushing was useful to remove the bulk of the simulant solids that accumulated on the walls of the flow loops. The water was then followed with acid. During the 85-minute acid cleaning, Fig. 24, the filtrate fluxes remained relatively constant but still only on the order of slurry flux; it was expected to be at least a factor of two higher. Past studies either used nitric acid or oxalic acid to clean, but only for short periods of time, from 1 to 12 hours, but rarely were filters returned to a clean condition.

Note, the acid filtrate fluxes could have been higher with higher flow rates and TMP, but those conditions for cleaning, shown in Fig. 24 of an axial velocity of 11 ft/s and 21 psid, were set at these values because of the limit of the filtrate flowmeters. The meters were calibrated a maximum flux of 0.35 gpm/ft^2 because the expected waste filter fluxes were on the order of 0.02 gpm/ft^2. To keep the flux within the calibrated range, once acid began to flow, the flow conditions were set to obtain initial acid filtrate fluxes of approximately one half the maximum range of the calibrated range.

Because the acid filtrate fluxes did not show any improvement during the 85-minute cleaning and because the cleaning came at the end of a week, the facility was shut down. The filters were allowed to soak in the acid over the weekend. Figure 26 shows that the after approximately 60 hours over the weekend of acid soaking the flux to more than doubled. Clearly acid needs time to dissolve the solids.

Figure 24. Filter Performance and Rinsing with Water and during the Circulation of 0.5 M Oxalic Acid for 85 minutes

Figure 25. Filter Performance during the Circulation of 0.5 M Oxalic Acid After the Filter Soaked in the Acid for more than 2 days

Based on filter flux improvement from Fig. 24 to Fig. 25 it was clear that the filters needed more contact time with the acid and cleaning protocols needed to be studied further. After the next slurry campaign, 10/11-19/2010, a more detailed cleaning test began. Over an 8-day period the

filters were rinsed with water, cleaned with acid, followed by more rinsing and a second acid cleaning and then a final rinse. The results are shown in the next seven graphs, e.g., Figs. 26 to 31, and to better see the trends, only data from the 0.375-in Mott filter are illustrated. The other two filters gave similar data except that the filtrate fluxes were slightly lower.

Once a slurry test was done and the filter loops were drained of slurry; however, the tubing walls still contained a lot of simulant due to having finite yield stress and its viscous nature. So that acid was more efficiently used, and to see the effect of a simple water rinse, most of the simulant remnants were removed with two water rinses. In Fig. 26 the first two groupings of data points, during the first hour and at the 2-hour mark, are from the water flushes. Most of the slurry solids were removed with the first water flush. The second water flush further removed solids, but the filter flux did not improve; definitely not to the acid values shown in Fig. 25

The next step was to begin acid cleaning; therefore, at the 3-hour mark from starting the water rinse, Fig. 26, 0.5 M oxalic acid was introduced into the filter loops. Notice the filtrate flux immediate drops from ~0.2 to 0.10 gpm/ft^2 as shown as "1st Acid Cleaning (a)." This was not unexpected because the remaining solids begin to dissolve to an amorphous state effect the filter porous surface.

Figure 26. Filter Performance at the Start of Cleaning with Two Water Rinses, followed by 0.5 M Oxalic Acid on the first day, Indicated by (a)

On allowing the filters to soak, the acid filter flux was checked after 24 hours, Fig. 27. The data set listed as "1st Acid Cleaning (b)" shows that even at around 30 hours the acid filter flux jumped above and below the to 0.1 gpm/ft^2 level. Only after approximately 31 hours do the data seem to steadily increase. At this point the test facility was shut down for a long weekend and the filters were allowed to soak in the acid.

After 5 days of soaking the acid was once again circulated and the acid filter flux more than doubled, to above 0.25 gpm/ft^2, as seen as the first grouping of data in Fig. 28. Note, that when

the acid was first applied it turns almost immediately yellow in color indicating that iron is dissolved very fast but some other oxides and hydroxides take longer to dissolve (Poirier and Fink, 2002) and is probably the reason for the better fluxes after several days of soaking.

Figure 28 also shows the subsequent three water rinses after the acid is drained from the filter loops. The reason that three rinses were done was to dilute the acid before receiving the next batch of sludge waste simulant, which is alkaline. The water rinses use water that was deionized and filtered with a 0.2 micron rated dead-end filter. The first rinse shows a continued improvement of filtrate flux from 0.25 to above 0.30 gpm/ft^2, but then the flux drops. The drop is thought to occur because as the pH begins to increase remnants of dissolved compounds in the diluted acid begin to precipitate. After the first rinse the pH increased to approximately 2, after the second rinse it increased to approximate 3, and after the third the pH was approximately 5. Normally a pH=5 was the stopping point for rinses because it was approximately the value of the pH of the house water; therefore, no significant benefit would be realized with further rinses. However, for this cleaning test two more rinses were tried to see the effect.

Figure 27. Filter Performance on the 2nd day of Cleaning with 0.5 M Oxalic Acid, as Indicated by (b)

31

Figure 28. Filter Performance on the 3rd day of Cleaning 0.5 M Oxalic Acid after the Filter Soaked in the Acid for 3 days, then followed by Two Water Rinses

Indeed, little was gained with the 4th and 5th rinses shown in Fig. 29 and in fact the data scatter is much larger for those data sets due to the fact that the fraction of acid is extremely small, especially on the fifth dilution That is, the ionic strength of the cleaning solution is lower than needed for the proper operation of the flowmeters. The flowmeters used for the project were magnetic flowmeters that detect the flow of ions to measure the flow. In other words, the measurement equipment could only detect the flow sporadically causing the large data spread. However, near the end of the 5th rinse the filtrate flow seemed to be below 0.20 gpm/ft^2, so a second cleaning with acid began to determine if any further improvement could be realized. As will be seen no improvement was obtained beginning with the 2nd acid introduction, Fig. 29.

Figure 29 shows the data set, "2nd Acid Cleaning (a)," which began at the 147th hour of the cleaning test and that the acid filter flux began just above 0.20 gpm/ft^2. After 4 hours of cleaning the flux improved to just above 0.25 gpm/ft^2. Note, that with ions from the acid the filtrate flux measurement was once again stable. Subsequently, the filters were allowed to soak in the 0.5 M oxalic acid overnight, After about 18 hours of soaking in acid the filters were started one last time, as shown in Fig. 30 as "2nd Acid Cleaning (b)." The filtrate flux was good and close to that obtained during the first acid cleaning of 0.30 gpm/ft^2, but there was no improvement; therefore rinsing began. The 1st, 2nd, and 3rd rinsing shown in Figs.30 and 31 are similar to the initial series of rinses and clearly shows that the water fluxes to be slightly lower than that acid fluxes; therefore, the cleaning test was terminated.

Figure 29. Filter Performance on the 4th day of Cleaning that Started with a Water Rinse after the Filter Sat in Water for 24 hours, Followed by a 2nd Acid Cleaning

Figure 30. Filter Performance on the 5th day of Cleaning after the Filter Soaked in Acid for Approximately 15 hours, which was then Rinsed Twice with Deionized Water

Figure 31. Filter Performance on the 6th and Last day of Cleaning with a Final Water Rinse to Bring the pH to 5

3.6 Crossflow Filtration Modeling

A subtask was done by Nicholls State University, Appendix B, in an attempt to better understand crossflow filtration by studying what needs to be known to better model the phenomena. Specifically, aspects of the cake development were studied with respect to it being affected by fluid conditions, particle size distribution, and surface morphology of either the membrane surface or developing cake layer. As indicated in the Literature Background discussed in the Introduction of this report and in the one done for the subtask, the dynamics of filtration at the filter surface and within the cake are complex, challenging any effort to adequately model the phenomena. To date, most models are limited to empirical relationships that model well a very specific situation under specific conditions, but generally cannot be used beyond those specific cases; the models do not capture the underlying physicals. Unfortunately, the modeling effort in Appendix B is no exception; however, the discussion therein may lead to more insight to the physics behind crossflow filtration and to more accurate models.

4.0 Conclusions

Experiments that use non-radioactive simulants for actual waste always carry the inherent risk of not eliciting prototypic results; however, they will assist in focusing the scope needed to minimize radioactive testing and thus maximize safety. To that end this investigation has determined:

- SB6 was found to be more challenging to filtration than SRS Tank 8F simulant.
- With the best performing filter tube, i.e., 3/8-in Mott, good sustainable filter fluxes were obtained:
  - Better than 0.08 gpm/ft^2 at AV = 12 ft/s and TMP -= 40 psid
  - Better than 0.12 gpm/ft^2 at AV = 15 ft/s and TMP = 50 psid
- Higher solids concentration presents a greater challenge to filtration.
- Filter cake is something that should be properly developed in initial filter operation.
- Backpulsing is not necessary to maintain a good filter flux with sludge wastes.
- Scouring a filter without cleaning will lead to improved filter performance.
- The presence of a filter cake can improve the solids separation by an order of magnitude as determined by turbidity, i.e., 0.03 NTU with cake and 0.2 NTU without cake.
- A well developed cake with periodic scouring may allow a good filter flux to be maintained for long periods of time. Data show 75% of the original flux after 125 hours of continuous filtering.
- Filtrate flux decline is reversible when the concentration of the filtering slurry drops and the filter is scoured.

5.0 Future Work

The results from this study imply that crossflow filtration performance can be improved versus previous testing, but the data base is small and limited. To better understand the applicability of the cake development / scouring techniques discussed in this report further research would be very beneficial. Specifically, what needs to be investigated are:

- If the test process works with other salt waste streams and sludge waste streams, besides SB6.
- If the results are applicable to multi-tube operation and tube inclination.
- If scouring can maintain a good filter flux indefinitely.
- If different waste streams can be processed without cleaning the filters or removing a cake.
- If the cleaning protocol can be improved by using an acid rinse before a water rinse to remove soluble species so that dissolved material does not precipitate in the filter system during rinsing.

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Appendix A

Instrumentation Installed on the Crossflow Filtration Test Facility

Table 7. Measure and Test Equipment on the Crossflow Filtration Test Facility

Cross-Flow Filtration M&TE, Revision 4, September 16, 2010					
					Pre-Cal Unc
Flow	DAS #	M&TE No.	Device	Range	(gpm)
1	11	TR-40004	Fischer-Porter Magnetic	0 - 0.059 gpm	0.0016
2	9	TR-40005	Fischer-Porter Magnetic	0 - 0.052 gpm	0.0007
3	13	TR-40006	Fischer-Porter Magnetic	0 - 0.052 gpm	0.0005
4	12	TR-40008	Fischer-Porter Magnetic	0 - 10 gpm	0.048
5	10	TR-40009	Fischer-Porter Magnetic	0 - 10 gpm	0.027
6	8	TR-40010	Fischer-Porter Magnetic	0 - 20 gpm	0.056
Pressure		M&TE No.		Range	(psid/psig)
1	14	TR-40014	Rosemount Transducer	0 - 5 psid	0.020
2	18	TR-40015	Rosemount Transducer	0 - 5 psid	0.020
3	16	TR-40016	Rosemount Transducer	0 - 5 psid	0.021
4	17	TR-40017	Rosemount Transducer	0 - 50 psid	0.055
5	15	TR-40018	Rosemount Transducer	0 - 50 psid	0.124
6	19	TR-40019	Rosemount Transducer	0 - 50 psid	0.037
7	21	TR-40020	Rosemount Transducer	0 - 50 psig	0.035
8	22	TR-40021	Rosemount Transducer	0 - 50 psig	0.055
9	20	TR-40022	Rosemount Transducer	0 - 50 psig	0.058
Temp.		M&TE No.	Device	Range	(°C)
1	0	TR-40011	Type E-TC	0 - 100°C	0.83
2	1	TR-40012	Type E-TC	0 - 100°C	1.05
3	2	TR-40013	Type E-TC	0 - 100°C	0.64
4	4	TR-02964	Type E-TC	0 - 100°C	1.7
5	3	TR-02957	Type E-TC	0 - 100°C	1.7
6	6	TR-02956	Type E-TC	0 - 100°C	1.7
7	5	TR-02971	Type E-TC	0 - 100°C	1.7

Note: Temperature instruments numbers 4-7 were used on the cooling system for the pump seals and therefore not used in experimental, but on for safety concerns. The measurement uncertainties listed are for off-the-shelf type E thermocouple, but they were checked for operational accuracies at selected points.

Table 8. Instument Location and Name used on the Test Facility (The first two columns correspond to the columns in the preceding table.)

Flow	DAS #	Instrument Location	Name
1	11	Mott 0.375-inch Filtrate	FMB2
2	9	Mott 0.5-inch Filtrate	FMA2
3	13	Pall 0.375-inch Filtrate	FMC2
4	12	Pall 0.375-inch Slurry	FMC1
5	10	Mott 0.375-inch Slurry	FMB1
6	8	Mott 0.5-inch Slurry	FMA1
Pressure			
1	14	Mott 0.5-inch Axial dP	dPA1
2	18	Pall 0.375-inch Axial dP	dPC1
3	16	Mott 0.375-inch Axial dP	dPB1
4	17	Mott 0.375-inch TMP	dPB2
5	15	Mott 0.5-inch TMP	dPA2
6	19	Pall 0.375-inch TMP	dPC2
7	21	Mott 0.375-inch Slurry Gauge	PB
8	22	Pall 0.375-inch Slurry Gauge	PC
9	20	Mott 0.5-inch Slurry Gauge	PA
Temp.			
1	0	Slurry	T1
2	1	Room Temperature	T2
3	2	Cleaning Loop	T3
4	4	Pump 1 Seal Water In	SWTC2
5	3	Pump 1 Seal Water Out	SWTC1
6	6	Pump 2 Seal Water Out	SWTC4
7	5	Pump 2 Seal Water In	SWTC3

Table 9. Calibration of Data Acquisiton Channels used by Equipment in Preceding Table

Calibration check of all DAS channels was done on 23 August 2010

Thermocouples

Temperature	Channel 0	Channel 0	Channel 1	Channel 1	Channel 2	Channel 2	Channel 3	Channel 3	Channel 4	Channel 4
Name	Slurry Discharge		Room Temperature		Cleaning Loop		Seal Water 1 (SW1)		Seal Water 2 (SW2)	
°C	T, applied	T, reading	T, applied	T, reading	T, applied	T, reading	T, applied	T, reading	T, applied	T, reading
0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
25	25.0	25.0	25.0	25.0	25.0	25.0	25.0	25.0	25.0	24.9
50	50.0	50.1	50.0	50.0	50.0	50.0	50.0	49.9	50.0	49.9
75	75.0	75.1	75.0	75.0	75.0	75.0	75.0	75.0	75.0	74.9
100	100.0	100.1	100.0	100.0	100.0	100.0	100.0	100.0	100.0	99.9

Temperature	Channel 5	Channel 5	Channel 6	Channel 6	Channel 7
	Seal Water 3 (SW3)		Seal Water 4 (SW4)		Not Used
°C	T, applied	T, reading	T, applied	T, reading	
0	0.0	-0.1	0.0	-0.2	
25	25.0	24.9	25.0	24.8	
50	50.0	49.9	50.0	49.8	
75	75.0	74.9	75.0	74.9	
100	100.0	99.9	100.0	99.9	

Flow Meters and Pressure Transducers

Current	Channel 8		Channel 9		Channel 10		Channel 11		Channel 12	
Name	Flow Meter A1 (FMA1)		Flow Meter A2 (FMA2)		Flow Meter B1 (FMB1)		Flow Meter B2 (FMB2)		Flow Meter C1 (FMC1)	
mA	V, meas.	V, calc.	mA, applied	V, calc.	mA, applied	V, calc.	mA, applied	V, calc.	mA, applied	
4	2.00	2.00	1.99	1.99	2.00	2.00	1.99	1.99	2.00	2.00
8	3.99	3.99	3.98	3.98	3.99	3.99	3.98	3.98	4.00	4.00
12	5.98	5.98	5.98	5.98	5.99	5.99	5.97	5.97	6.00	6.00
16	7.98	7.98	7.97	7.97	7.98	7.98	7.97	7.97	8.00	8.00
20	9.97	9.97	9.97	9.97	9.97	9.97	9.96	9.96	10.00	10.00

Current	Channel 13	Channel 13	Channel 14	Channel 14	Channel 15	Channel 15	Channel 16	Channel 16	Channel 17	Channel 17
Name	Flow Meter C2 (FMC2)		Slurry dP Filter A (dPA1)		~TMP dP Filter A (dPA2)		Slurry dP Filter B (dPB1)		~TMP dP Filter B (dPB2)	
mA	V, meas.	V, calc.	V, meas.	V, calc.	V, meas.	V, calc.	V, meas.	V, calc.	mA, applied	V, calc.
4	2.00	2.00	2.00	2.00	2.00	2.00	2.00	2.00	1.99	1.99
8	4.00	4.00	4.01	4.01	3.99	3.99	3.99	3.99	3.98	3.98
12	6.00	6.00	6.01	6.01	5.99	5.99	5.99	5.99	5.98	5.98
16	8.00	8.00	8.01	8.01	7.98	7.98	7.98	7.99	7.97	7.97
20	10.00	10.00	10.01	10.01	9.98	9.98	9.99	9.99	9.96	9.96

Current	Channel 18	Channel 18	Channel 19	Channel 19	Channel 20	Channel 20	Channel 21	Channel 21	Channel 22	Channel 22
Name	Slurry dP Filter C (dPC1)		~TMP dP Filter C (dPC2)		Gauge Pres. Filter A (PA)		Gauge Pres. Filter B (PB)		Gauge Pres. Filter C (PC	
mA	mA, applied	V, calc.	mA, applied	V, calc.	mA, applied	V, calc.	mA, applied	V, calc.	mA, applied	V, calc.
4	1.99	2.00	1.99	1.99	2.00	2.00	2.00	2.00	2.00	2.00
8	3.99	3.99	4.00	3.99	4.00	4.00	3.99	3.99	3.99	3.99
12	5.99	5.98	5.99	5.99	6.00	6.00	5.99	5.99	5.99	5.99
16	7.97	7.97	7.99	7.99	8.00	8.00	7.99	7.99	7.98	7.98
20	9.96	9.96	9.99	9.99	10.01	10.01	9.99	9.99	9.98	9.98

Appendix B

Crossflow Model Report by Nicholls State University

Utilizing Particle Capture Models to Understand how to Maximize Permeate Volumes

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ACKNOWLEDGEMENTS

EXECUTIVE SUMMARY

This research provides theoretical insight on experimental results that have shown there is some benefit to allowing the set-point of a cross-flow filtration system to vary in order to maximize permeate volumes and does this in several parts. Firstly, a literature review is provided on particle size capture associated with cross-flow filtration; secondly, presentation of a model that relates the moment balance of a solid captured on the membrane surface to Darcy's law that includes considerations of changing cake properties with each subsequent cake layer; and how these changes are dictated by three integral systems – fluid conditions, particle size distribution of solids within the slurry, and surface morphology of either the membrane surface or developing cake outer layer. Next, a method to experimentally validate the model is conducted and lastly a discussion of where the research is currently headed.

It will be shown that a model was developed that incorporates the three systems – fluid flow, solid-membrane interactions, and particle size distribution of the solids within the slurry. The model was then benchmarked in three progressive steps. The first step was simply to validate moment balance of a solid captured on the membrane surface against experimental results from the literature; the second step was to validate the model that includes cake properties against experimental results from the literature; and, the final step was to validate the model against experimental results conducted as part of the scope of this work. The first two steps were fairly successful, but the third step failed.

There are several reasons why our model could not be benchmarked to our experimental results. The experimental apparatus did not perform as intended. Results were not isothermal. The heat exchanger did not work well enough. No rheological study was conducted and the DI/micro-sphere slurry was assumed to have the behavior of water, which may or may not been a reasonable assumption. No analysis of the particle size distribution was conducted. Several parameters that were critical to the model are inherently difficult to measure.

The model itself only considered hydrodynamic forces and the model for the mechanism of mass transfer between the bulk phase and cake phase was simplistic. It is the consideration of these researchers that particle capture models are qualitative tools and not quantative tools. But the developed model is still adequate to answer the following question – is there any benefit to allowing the set-point to vary to maximize permeate volumes per a unit time? This question and its answer are beyond the scope of this project, but partial answer is discussed herein.

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Nomenclature

a_1, a_2, and a_3: Adjustment parameter, mean, and standard deviation, respectively (used in the model developed in this study)

a_p: Particle size diameter in Sherwood's number (mm)

c_1, c_2, c_3: Coefficients associated with the forces that act on a solid of cut-off diameter size

C_0: Particle number concentration of the channel inlet (Sherwood's number)

d_p: Cut-off diameter (μm)

DI : Deionized Water

D_∞: Diffusion coefficient (m^2/s)

f: Friction factor

F_n, F_t, F_l, F_w: Normal, tangential, drag, Inertial lift, and gravitational force exerted on a particle (N)

g: Gravitational acceleration (m/s^2)

J: Permeate flux in Sherwood's number (mm/s)

k: Critical permeability (m/s)

K*: Non-dimensional number to define the ratio of diffusive and convective transport of particles

$P(d_p)$: Probability Density Function q_p: Permeate flux (mm/s)

PMMA: Poly(methyl methacrylate)

PSD: Particle Size Distribution

Qp: Permeate Rate

Qp(max): Permeate Rate with clean filter

R_{max}: Maximum size particle captured (Civan and Sharma) (μm)

Sh: Sherwood's number (ratio of convective and diffusive transport)

Sh_D, Sh_P, Sh_L, and Sh_{EX}: Particle flux components due to diffusion, permeation, inertial lift, and external forces

t: time

u: Axial velocity (m/s)

V_p : Permeate volume (m^3)

α: Average specific resistance [1/m-kg]

$β_c$: Critical friction angle (between a loose particle and cake layer)

δ: Cake thickness (mm)

ΔP: Trans-membrane pressure differential (N/m^2)

θ: Angle of repose between the membrane surface feature and particle of concern

μ: Dynamic viscosity (Pa·s)

v: Kinematic viscosity (m^2/s)

$ρ_p$; Density of the solids (kg/m^3)

$ρ_s$; Density of the slurry (kg/m^3)

φ: Correction factor for stokes flow

$φ_b$: volumetric concentration of solids in bulk phase

$φ_c$: volumetric concentration of solids in cake phase

1.0 Introduction

This investigation attempts to understand how changing the set-point of a cross-flow filtration process can improve the performance. Set-point is defined as the axial velocity (u) and trans-membrane pressure differential (TMP) of the system; improved performance is here defined as increasing permeate volumes per a unit time.

In on-going experimentation, SRNL [1] have shown that starting a cross-flow filtration system by ramping up to the prescribed axial velocity and pressure drop across the porous tube and slowly allowing the permeate valve to open, which slowly increases the TMP, has provided higher than previous seen permeate fluxes and consequently, permeate volumes. A partial explanation for these phenomena is the following.

The main thesis of this sub-task, a thesis that supports the above observation, is that there is an integral relationship between flow conditions, surface morphology of the membrane surface/developing cake surface, and particle size distribution (PSD) of solids within the slurry that is being treated. Understanding this relationship and how the set-point dictates a certain portion of the PSD is captured can help in dictating what structure (morphology) each layer of the cake has. To increase production, there are likely better ways to layer the cake in the sense that it may be better to have smaller particles toward the membrane surface and larger particles away from the membrane surface.

A practical example of this idea is that to address pooling of water on a property a french drain is built. This is done by digging up a hole in the area of pooling and filling the bottom of the hole with a porous pipe and around the pipe putting in different size material. There are preferential ways of grading the material to improve the performance; one example is that it's better to include construction fill toward the surface, which is fairly small with a low permeability. Even though this seems counter intuitive – it actually improves the performance in the long run due to issues of clogging that occurs when using larger size particles at the surface.

Lu and Ju [2] have shown the following relationship exists between permeate flux (q) and particle size cut-off diameter

(Equation 1)

$$q = c_1 d_p - c_2 d_p^2 + c_3 d_p^3$$

Where q is the permeate flux [cm/s], d_p is the cut-off diameter [microns], and {c_1, c_2, c_3} are coefficients associated with the forces that act on a solid of size d_p. This work has assumed all solids have d_p greater than 1 micron and thus only hydrodynamic forces are considered.

A partial explanation of the SRNL observations is as follows (see **Figure 1**). Typically, the axial velocity and TMP begin at a full run; this is represented by **Path A**. What SRNL has done is shown by **Path B**. Each path is explained.

In **Path A**, the system begins to run at Q_p(max) associated with a clean filter, high axial velocity, and high TMP. The permeate flux, Q_p, then slowly decays with time as the cake builds capturing large particles to smaller. Eventually, there are no smaller particles to capture and a quasi-steady state permeate flux is reached. Various cleaning methods can recover some of the initial performance, but there is likely some internal fouling of fines that are hard to remove.

On the other hand, in **Path B**, the smallest size particles are captured first, the permeate fluxes slowly increase to some level below $Q_p(max)$, and slowly decays. Again, with time the cake builds, smaller and smaller particles are captured past time, t_1, and eventually a quasi-steady state is reached.

The sequence of particles captured along **Path A** is as follows – largest to smallest – and so the inner layer of the cake is composed of larger particles and probably more poly-dispersed. Subsequent layers, on average, have smaller size particles. For **Path B**, the sequence of particles captured is as follows – smallest to larger and then slowly back to smallest – and so the inner layer of the cake is composed of smaller particles, the middle layers larger particles, and the outer layer is again smaller particles.

Axial Velocity = .57 m/s, TMP = 152 kPa, .5 wt% CaCO3

Figure 1 – dp vs. q [2]

It is possible that starting along **Path B** results in a mono-dispersed cake with smaller particles and a looser packing structure, which has been shown in Hwang and Wang [3] to have a large porosity when compared with larger sized particles. Further, by the time the filtration process gets to the larger particle sizes the cake is again roughly mono-dispersed, because the smaller size particles have possibly been utilized in previous cake layers. In [3], it has also been shown for a binary solution the lowest packing porosities are when the % volume of larger particles is 75% and this may roughly correspond to the starting point for **Path A**.

This sub-task provides theoretical insight on what is discussed above and does this in several parts. Firstly, a literature review is provided on particle size capture associated with cross-flow filtration; second, presentation of a model that relates **Equation 1** to Darcy's law that includes considerations of changing cake properties with each subsequent cake layer; and how these changes are dictated by three integral systems – fluid conditions, particle size distribution of solids within the slurry, and surface morphology of either the membrane surface or developing cake outer layer. Next, a method to experimentally validate the model is conducted and lastly a discussion of where the research is currently headed.

It will be shown that a model was developed that incorporates the three systems – fluid flow, solid-membrane interactions, and particle size distribution of the solids within the slurry. The model was then benchmarked in three progressive steps. The first step was simply to validate Equation 1 against experimental results from the literature; the second step was to validate the model that includes cake properties against experimental results from the literature; and, the final step was to validate the model against experimental results conducted as part of the scope of this work. The first two steps were fairly successful, but the third step failed.

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The scope of this project was the development of a dynamic model adequate to form the basis to an optimization scheme to ask the question given above about maximizing permeate volumes by allowing the set-point to vary. It was also hoped that the dynamic model would be representative of cross-flow systems similar to what is envisioned to treat radioactive waste at SRNL and the Hanford Department of Energy facility.

2.0 Literature Review

Frank Tiller who is considered the "father of modern filtration", as acknowledged by the American Filtration Society, was one of the first to consider how the particle size distribution (PSD) of solids within solid/liquid slurry can affect the performance of filtration. Much of these effects are due to changes in morphology of the cake that result from changes in the segment of the PSD captured by the developing cake. The segment captured is an artifact of the process conditions that includes axial velocity and TMP, surface roughness and surface morphology of solid boundary, and the size of the particle itself.

Many of the studies in the area of how PSD affects filtration performance are based on applying Newton's second Law to each particle as it approaches the interface between the membrane surface (cake surface) and bulk phase. The main difference between the papers to be discussed below is what forces were included and what mechanism was utilized to distinguish between particles captured and particles not captured. One exception is Song and Elimelech [4], who treated the slurry/membrane system as a diffusion/convection process, in which convective diffusion equation was formulated with the inclusion of lateral transport due to permeation drag and inertial lift, and transport due to gravitational, double layer, and Van der Waals forces.

According to Hwang et. al. [5], the forces that are important for a particular slurry/membrane system are largely governed by the size of particles of solids within the slurry where particles above one (1) micron are likely governed by hydrodynamic forces (physical system) and particles below one (1) micron can be predominantly controlled by inter-particle forces (where chemistry

plays more of a hand). It is also seen that above 10 micron the inertial lift becomes the dominate force and there is much less retention of these size particles in the outer layer of the cake.

It should be noted that a proper understanding of membrane separation needs to include three interlocking mechanisms – fluid dynamics (process conditions), interaction between particles and membrane/cake surface, and particle-particle interactions. The following factors were not considered in the proposed research:

- Membrane cake surface morphology (pore size, roughness, area of peaks and valleys, etc.)

- Compressibility of the cake

- Changing bulk phase concentration of solids

- Changes in the rheology of the cake

This review has grouped the research by either research group or area of application. An example of this is that Civan and Sharma [6, 7 and 13] are petroleum engineers interested in cakes that form within wells extracting petroleum.

2.1 Lu, Ju, and Hwang

Lu, Ju, and Hwang [2, 3, 5, 9, 10 and 11] have worked consistently in this area for over 20 years. In [2], they showed that permeate rates are related to a third order polynomial of d(pc), which is the largest size particle retained in the outer cake layer. This analysis was based on a momentum balance of the forces, which included drag forces, gravitational forces, and lateral lift forces. One benefit of the developed model is that a steady-state filtration rate equation was derived. The experimental validation was a rectangular cross-flow system with one permeable wall and a .5 wt% dilute light calcium carbonate slurry with an average d(pc) of 10 micron, which tends to have one believe that most or all particles where above 1 micron. This study also showed that as the permeate flux decreases with time so does d(pc), which is in agreement with results of several other researchers [9, 13].

In [3], a hydrodynamic model along with bulk phase concentration of solids within a turbulent system were considered. A "cut-off" diameter was utilized from [2]. This paper largely looks at the model developed in [2] and how process conditions affect permeate rates. One observation is the following "it has been shown that the increase in the shear stress exerted on the cake surface seems to be more significant in improving the rate of cross-flow filtration than is the increase in the bulk velocity of the main stream."

In "Cake formation in 2-D Cross-Flow Filtration" by Lu and Hwang [4], a critical friction angle, β_c, is determined for a given situation based on a force balance of a particle with radius a. Particles that are entrained in the outer cake layer with an angle less than β_c are deposited and particle with an angle greater than β_c are swept away. The forces considered are 1) tangential drag parallel to the filter medium, 2) net drag normal to the filter medium, 3) net gravity force, and 4) inter-particle forces (i.e., Van der Waal's forces, electrostatic forces). Particle deposition was determined using a probability function defined as

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(Equation 2)

$$P(d_p) = \frac{\beta_c}{\pi/2}$$

This equation agrees with a filtration model given by Tiller and Cooper [14], Carmen-Kozeny's equation for average specific resistance (α), and an equation by Happel and Brenner [15] for Kozeny's constant. The Happel and Brenner model and Carmen-Kozeny equation both determine specific resistance but assume the domain is made up of either unit cells or bundles of parallel tubes. Essentially, the same experimental set-up was utilized in [2, 10] where the particle size distribution went from 1 to 20 micron. Results indicate that values of β_c were smaller for larger particles, which imply, all else being constant, they're more likely to be swept away. This indicates that there is a critical "cut-off" diameter; and that the upper layer of the cake has smaller particles than lower layers, which is consistent with [2, 9, 10, 13, 16 and 17]. This observation can be seen in **Figure 1**, that is, as a filtration run continues, the permeate flux decreases and the d_p decreases also.

Both references 3 and 11 provide the basis to the permeate models for cake filtration that includes sub-micron particles and the forces appropriate to these size particles that is utilized in [5]. In [3], the forces considered are frictional drag force, net gravity, inertial lift, net inter-particle forces, and Brownian forces. A particle was deposited when the drag force was larger than inter-particle forces and the binary mixture was 0.25 and 0.8 micron (mean).

The experimental apparatus was a rectangular channel, which treated Poly(methyl methacrylate) or simply PMMA spheres and had a laminar flow condition for the axial velocity. Results from the experiments indicate that mono-dispersed, smaller diameter slurry had a larger packing porosity than mono-dispersed, larger diameter slurry and that a mixture of mostly large diameter particles with significant (25%) small particles had the lowest packing porosity. In addition, higher filtration rates result in lower packing porosities. Utilizing Kozeny's equation for spherical particles and a given average size of particles within the cake, a higher packing porosity results in a lower specific resistance.

The "Effects of particle size on the performance of cross-flow microfiltration" [5] provides one of the first studies of the effects of a poly-dispersed (sub-micron and micron) solids and their effect on cake formation. A rectangular cross-flow filtration system was utilized to treat a PMMA/DI slurry with a particle size distribution that ranged from 0.5 to 10 microns. It was concluded that the particle size distribution become finer as the cross-flow velocity increased and became coarser as filtration pressure increased. An increase in transmembrane pressure differential (TMP) led to a decrease in cake porosity and an increase in the average filtration resistance of the cake.

Results for sub-micron particles include the following forces - net inter-particle force and drag forces, both tangential and normal. As particle size increases, both inertial lift and gravitational forces become more important and for the axial velocities shown (0.2 to 0.6 m/s), above 10 micron no deposition occurs. Further, different segments of the particle size distribution have different trends in terms of percentage of capture, $P(d_p)$. Below 0.5 micron, $P(d_p)$ increases, from 0.5 to 2 micron $P(d_p)$ increases, and beyond 2 micron $P(d_p)$ drastically tapers off to zero. Lastly, higher axial velocities tended to reduce the average particle size of capture.

2.2 Song and Elimelech

Song and Elimelech [4] were interested in particle deposition on a parallel plate cross-flow system that mimics hyper-filtration and reverse osmosis. Naturally the approach they took was

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based more on chemical considerations and is the diffusion-convection approach mentioned earlier in the document. The components of this model included diffusion, permeation, inertial lift, and external forces, which were colloidal and gravitational. The developed equation was non-dimensionalized into the following form

(Equation 3)

$$Sh = Sh_D + Sh_P + Sh_L + Sh_{EX}$$

Where Sh is Sherwood's number (ratio of convective and diffusive transport) and is defined as

(Equation 4)

$$Sh = \frac{a_p J}{D_\infty C_0}$$

Where a_p is the particle size diameter, J is permeate flux, D_∞ is the diffusion coefficient, and C_0 is the particle number concentration of the channel inlet. Further, Sh_D, Sh_P, Sh_L, and Sh_{EX} are the particle flux components due to diffusion, permeation, inertial lift, and external forces. A more appropriate non-dimensional number K* is utilized, which is defined as

(Equation 5)

$$K^* = \frac{Sh^* D_\infty}{a_p}$$

A numerically analysis was carried out with neutrally buoyant particles ranging from 10 nm to 3 microns. Results include "Gravitational settling of particles may influence the dependence of particle deposition rate on particle size." It was seen that the density of the solid and particles above 1 micron K* varied a great deal. Further, it was seen that for Brownian particles axial velocity had little effect on particle deposition rate and for micron size particles it has a large effect.

2.3 Tien et al.

Tien [12, 16, and 18] has done a great deal of work in the broad area of cake filtration. He's even wrote a book on this topic, Introduction to Cake Filtration: Analysis, Experiments, and Applications [18]. He has proposed a model to explain particle deposition within a cross-flow filtration system based on a theory of friction. His theory is called particle adhesion [16]. In his research, he established a criterion based on the interplay of the geometry of the cake-suspension interface and various forces acting on a particle as it moves toward the interface. An expression of the adhesion probability of impacting particles was also developed, which was found to display behavior consistent with the observed phenomena of crossflow filtration and capable of representing experimental results.

In 2006, Tien and Ramarao [12] performed a state of the art review on analysis of cake formation and growth in cake filtration. According to them, most of the studies on cake filtration conducted so far assume that the local state of a filter cake is uniquely determined by the constitutive relationship, or in other words, a state of mechanical equilibrium is maintained. This condition under which the assumption is valid, however, has not been examined systematically. It was documented that although the equations describing the macro and micro compaction can be formulated with little difficulty, there are multiple issues which must be investigated further, such as the relationship between the compressive stress of the filter cake and the liquid pressure and

compressive stress with the aggregate. The selection of the expression for liquid exchange between particle aggregates and suspending liquid should also be investigated further.

2.4 Foley, Malone, and MacLoughlin

A "cut-off" diameter, $d_p(i)$, was determined for a critical force balance of normal fluid drag and tangential fluid drag [16]. A new $d_p(i)$ is computed for each new layer of the cake. Knowing $d_p(i)$ along with information about the particle size distribution allows us to determine the % capture and average $d_p(i)$, dpi_bar, for the ith cake layer. Once, dpi_bar is determined, then $\alpha(i)$ can be determined, which represents the specific resistance of the ith layer of the cake. Knowing $\alpha(i)$ and α_bar allows us to determine $\delta(t)$ and $J(t)$ where $\delta(t)$ is the cake thickness and $J(t)$ is the permeate flux at time t.

The numerical analysis is based on a symmetric particle size distribution with a d(min) of 0 micron and a d(max) of 10 microns. Results showed that the initial "cut-off" diameter, $d_p(0)$, had an immense effect on α in terms of when α began to increase and the slope of the curve where a larger $d_p(0)$ resulted in a longer time before inception and lower slopes. The interpretation is that $d_p(0)$ is directly related to ΔP and inversely related to u_x where ΔP is trans-membrane pressure differential and u_x is axial velocity. A larger ΔP or lower u_x reduces the value of α. Controlling $\delta(t)$ is best done by a lower ΔP and higher u_x.

One nice feature of this work was the incorporation of several parameters of the particle size distribution (PSD) that include d(min), d(max), standard deviation, mean, and ability to skew the PSD. This was done by utilizing the work described in [18].

2.5 Sharma and Civan

In [13], Jiao and Sharma looked at two mechanisms for solid capture – rolling and sliding. The developed equations associated with each mechanism were of the form

(Equation 6)
$$q = kR_{max}$$

where R_{max} is the maximum size particle captured. **Equation** 6 is based on a moment balance for drag and shear forces. This model was then used to develop a model for cake thickness based on the slope k, a critical permeability, shear stress, and ΔP. These equations were then used to show that a cake will not form below a critical permeability of the membrane.

3.0 Developed Model

If we assume all particles are at or above 1 micron, then the only forces to consider are hydrodynamic and namely [2 and 17]

1. Normal drag force (F_n)

2. Tangental drag force (F_t)

3. Inertial lift (F_l)

4. Gravitational (F_w)

These forces act about a contact point and when the moment is zero, which designates the critical diameter, then

(Equation 7)
$$F_t = (F_n + F_w)tan\theta$$

And

(Equation 8)
$$q_p = \frac{.425fu^2}{\phi v tan\theta}d_p - \frac{(\rho_p - \rho_s)}{18\mu\phi}gd_p^2 + \frac{15.25f^2u^4}{4608v^3}d_p^3$$

Where q_p is the permeate flux, f is the friction factor, u is the axial velocity, d_p is the "cut-off" diameter, ρ_p is the density of the solids, ρ_s is the density of the slurry, φ is a correction factor for stokes flow, v is the kinematic viscosity, μ is the dynamic viscosity, g is the gravity constant, and θ is the angle of repose between the membrane surface feature and particle of concern.

And

(Equation 9)
$$Q_p = \frac{\Delta P}{\mu(R_m + R_c)} = c_1 d_p - c_2 d_p^2 + c_3 d_p^3$$

Where C_1, C_2, and C_3 are defined in **Equation 8**

The procedure for solution to **Equation 9** is as follows.

1. Determine Qp(0) from initial conditions with clean membrane.

2. Determine dp(0) from **Equation 8**.

3. Determine % capture, f(0), from

(Equation 10)
$$f = \frac{\int_{dmin}^{dp(i)} x^3 p(x)dx}{\int_{dmin}^{dmax} x^3 p(x)dx}$$

Where

(Equation 11)
$$p(x) = \frac{\frac{dmax * x - x^2}{dmax * x - x^2 + a_1}exp\left(-\left(\frac{x - a_2}{a_3}\right)^2\right)}{\int_0^{dmax} \frac{dmax * x - x^2}{dmax * x - x^2 + a_1}exp\left(-\left(\frac{x - a_2}{a_3}\right)^2\right)}$$

4. Determine dpi_bar from

(Equation 12)
$$\overline{dp}(i) = \frac{\int_{dmin}^{dp(i)} x^3 p(x)dx}{\int_{dmin}^{dp(i)} x^2 p(x)dx}$$

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5. Determine α(i) from

(Equation 13)

$$\alpha(i) = \frac{180}{\overline{dp}(i)^2} \frac{\varphi_c^2}{(1 - \varphi_c)^3}$$

Which assumes spherical solids

6. Determine α from

(Equation 14)
$$\alpha = \sum_{i=1}^{n-1} \frac{\alpha_i \, d\delta_i}{\delta}$$

Where
(Equation 15)
$$\frac{d\delta}{dt} = \frac{\varphi_b}{\varphi_c} fJ$$

Where ϕ_b is the volume fraction of solids in the bulk phase and it is noted this model could allow for changes in $C_b(t)$, rheology, and set-points.

It is noted that the particle size distribution is specified through d_{min}, d_{max}, a_1, a_2, and a_3 where a_1 is an adjustment parameter, a_2 is the mean, and a_3 is the standard deviation.

4.0 Benchmarking

To validate the developed model, a sequence of steps was considered. These steps are

1. Validate Lu and Ju model [2]

2. Validate developed model against Lu, Hwang and Ju [9] experimental work [9]

3. Validate developed model against experimental work

4.1 Validate Lu and Ju (1989) model

The first step in validation the theoretical work against an empirical study was to validate **Equation 8** and **9** against experimental work by Lu and Ju [2], which was done and shown as **Figure 2**. As can be seen in the figure, a fair agreement exists between the model and experimental results. We then proceeded to step 2.

Axial Velocity = .57 m/s, TMP = 152 kPa, .5 wt% CaCO3

Figure 2 – Permeate flux versus cut-off diameter.

4.2 <u>Validate developed model against Lu, Hwang and Ju (1993) experimental work</u>

The next step was to validate the developed model against Lu, Hwang and Ju [9] and this became more problematic. The key issue was how to estimate critical parameters such as φ_c and φ_b. Other parameters, which are hard to estimate, were determined during the course of the research. Some criteria were established to determine when the model fits the data adequately

1. Match $Q_p(0)$ between model and experiment

2. When $Q_p(t)/Q_p(0) = \frac{1}{2}$ and match t between model and experiment

3. Use reasonable parameters determined through experimentation

A good agreement was established (see **Figure 3**), but several parameters were altered to allow for this agreement. R_m was reported as 2e10 1/m, but was not physically possible from a knowledge of $Q_p(0)$ and a viscosity for water. Also, the values determined for φ_c and φ_b resulted in unrealistic permeate fluxes and so other values were determined through a search method. This brings up a concern about the quantitative reliability of the particle capture model developed. See PERSPECTIVE for more details.

The model is provided as **APPENDIX C2**.

Needless to say, a good agreement between the model and experimental data was established.

Axial Velocity = .61 m/s, TMP = 26.7 kPa, .5 wt% CaCO3

Figure 3 – Permeate flux versus time

4.3 Validate developed model against our experimental work

The next step was to validate the developed model against an experimental data set (See **APPENDIX A**) that is representative of cross-flow filtration systems currently being utilized by SRNL in their experimental work. One caution is that our bench-scale system (see **APPENDIX D** for filtration drawings and equipment specifications) is at a different physical scale to the SRNL cross-flow filtration system. As part of this sub-task, experimental work was conducted and part of this effort was to estimate these parameters. Unfortunately, this effort was not fruitful. Another effort based on Civan [7] is discussed below.

Several reasons are given for this. The first issue associated with the experimental apparatus was that the heat exchange system built was not properly designed and the cross-flow filtration was not isothermal and temperatures varied significantly between the beginning of a run and the end of the run. The next issue was that the various experimental methods utilized to estimate φ_c and θ did not work. Further, our filtration run times and often varying the set-point may have created cakes that are not representative of what SRNL is seeing in their cake's properties.

Experimental and model results were not given. The reason for this is that the model claims for the conditions associated with Tube 3 that the first "cut-off" diameter is essential at the d(min) and no cake would have formed. It's not obvious why this is and so no results are presented. The model is provided as **APPENDIX C3**.

5.0 Genetic Algorithms

The next step in the research will be to utilize the model calibrated to Lu and Ju [2] and ask the following question: Can allowing the set-point to vary maximize the volume of permeate per a unit time? An answered will be provided by utilizing the developed model and genetic algorithms.

Set-point is defined as a particular trans-membrane pressure differential (TMP) and axial velocity, which corresponds to a given pressure drop across the length of the porous tube. It is generally

the case that the set-point is a constant and large TMP and axial velocity. SRNL has shown that utilizing a "ramping" set-point improves the performance in terms of permeate volume per a unit time. There method involved starting at a very low TMP and slowly allowing the TMP to increase to the complete, prescribed value.

It is noted that the use of genetic algorithms in conjunction with the developed dynamic model for cake formation based on particle capture was beyond the scope of this project, but a description of genetic algorithms is given. After this brief introduction to genetic algorithms, a description of how GA(s) will be used in the up-coming work will be mentioned.

Genetic algorithms are a form of evolutionary optimization scheme, and evolutionary optimization schemes provide a seemingly random search method that transition from several points at once when compared against calculus based search schemes. Each individual of the generation, which is the sum points currently evaluated, is a string of ones and zeros. This binary string represents the value of parameters from a base 10 system.

The rules for transition are based on three operators:
- Reproduction

- Crossover

- Mutation

This parallel computing scheme finds through "fitness" better points within the solution domain and converges to an optima. This optimization tool will be utilized in an up-coming project in the following manner (see **Figure 4**).

Figure 4 – Optimization Scheme

The dynamic model will conveniently be taken to have a filtration run of 400 seconds broken into 5 second internals, which results in 80 time intervals. At each time interval, the axial velocity and trans-membrane pressure differential will both be allowed to vary between eight values. All 80 time intervals and the range of set-points constitute one individual and will be encoded into a binary form. The population size will be between 30 and 50 with an acceptable mutation rate. The number of generations will be determined as part of the research. There could be issues associated with the number of computations involved and utilizing a PC to crunch these numbers.

Associated with each individual is a "fitness", which in this case is a volume of permeate. If there's value to allowing the set-point to vary, there will be a significant difference between the worst permeate volume and best permeate volume on the solution domain.

6.0 Perspective

What worked and what didn't work? What was learned from this experience?

A dynamic model that represents a cross-flow filtration system was developed and validated against experimental results. This dynamic model is adequate to explore the optimization problem posed above.

The following were issues that were not resolved.

- Effect of fines on filtration performance

- Non-Isothermal system

- Larger sized micro-sphere slurry tended to foam excessively

- Slurry was very unclear and this made determining the volumetric flow rate difficult

- Ignored changes in viscosity due to solids within the slurry

It's obvious that more experimentation is needed and some experimental methods need to be revisited. We felt we have a fair handle on R_m, φ(bulk), but not θ and φ(cake).

The filtration system had a heat exchanger, but this didn't work well and the temperature changed over the course of the run. Only permeate fluxes for Tube 3 were corrected for temperature and utilized in Bench Marking. The correction was to 20 C.

There were four porous tubes utilized during the experimentation and labeled Tubes 1 to 4. Tube 1 was utilized to determine Rm, friction factors, and estimate porosity of the cake. Tube 2 was utilized to measure porosity of the cake; Tube 3 was used to establish at a constant axial velocity the permeate rates with time and determine porosity of cake for larger particle size microspheres; Tube 4 was utilized much as Tube 3 but with a smaller particle size microspheres. The slurry was either deionized (DI) water or DI and microspheres.

The model, **APPENDIX C**, is the combination of two well-established models and the physics associated with the momentum balance seems reasonable. What needs to be addressed is the fact that little of the chemistry of the system has been addressed and this fact during the course of the research became apparent. One limitation is that the mass transfer rate, as expressed by **Equation 15,** is overly simplistic and there are likely particle-particle and particle-membrane interactions of a chemical nature that need to be included and possibly by altering the value of measure parameters we're addressing this issue, but not in a proper fashion. Also, the angle of repose changes during the course of filtration, but for the modeling is assumed constant. Angle of repose is a misnomer in that its an angle of contact between features on the membrane surface and a captured solid, but is affected by flow conditions the size of solids within the slurry and these interactions with the membrane surface. The model would still be useful for the intended us of finding out if there's any benefit to allowing the set-point to varying in order to maximize permeate volumes.

What, in particular, was learned from the Bench Marking? Some of what was learned has just been mentioned. But, in general, the main issue that came up was the mechanism for mass transfer from the bulk phase to the cake currently utilizes is simplistic, simplistic in the sense that the chemistry of this system is largely ignored, and would require more research to develop a

more realistic model for the mass transfer from these two phases. Also, as previously mentioned, several parameters are difficult to estimate and in particular φ and φ_c. Attempts were made to estimate these parameters (see **APPENDIX A**), but generally provided poor estimates.

Our path forward is to utilize the model calibrated against experimental results given in Lu, and Lu [2] and begin the work on the development of an optimization scheme to ask the question, is there a benefit to production by allowing the set-point to vary with time. This will be done to validate the results and ideas expressed by **Figure 1**.

7.0 References

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Appendix A – Experimental Results

Appendix A1 – Experimental Procedure
Appendix A2- Experimental Results

Appendix A1 – Experimental Work

Experimental Procedure

This experimental procedure includes the following five parts.
1. Operating Procedure

2. Experimental Design

3. Solids collection procedure

4. Membrane cleaning procedure

5. QA/QC

Operating Procedure

See **APPENDICIES D1** and **D2** for equipment diagrams with equipment specifications.
1. Visually inspect the system and check the following conditions:

   a. The outer valve must remain closed and that the choke valve must be partially closed.

   b. The slurry holding tank must be in-place and with fluid in it.

   c. The pressure gauges must be "on."

   d. Correct mixing ratios must be used for slurry

   e. Holding tank must be constantly stirred.

2. *Slurry mixture:* Using 2 liters of DI water and 200 grams of solids means a concentration of solids equal to 100 g/L. *We'll likely need to determine the viscosity of this liquid/solid slurry, which will be significantly different from water.*

3. Turn on the pump and adjust the choke valve so the flow is set to the desired level.

4. As permeate is drawn off into the permeate collection vessel, an equal amount of DI water needs to be added to the slurry holding tank.

5. At a prescribed time interval, take the following measurements. Pressure at pressure gauge 1, pressure at pressure gauge 2, volumetric discharge, volume (mass) in permeate collection vessel, and add DI water to slurry holding vessel.

6. At the end of the run, remove the membrane and collect solids. Weigh solids wet, dry in drying oven, and weigh solids dry. Record these values.

7. Provide tube drying procedure – tubes were air dried for 1 week.

8. Provide coolant system procedure - coolant water was checked and periodically replaced with cooler water.

9. Provide any observations of the experiment and date/time experiment and sign.

Experimental Design

The following four modules of experiments were conducted. Experiments to calibrate and validate friction factor model, experiments to determine angle of repose between solids and membrane surface, experiments to validate model for concentration of solids within cake, and experimental validation of particle capture model for permeate rates as a function of time. Each experimental module is discussed below.

In order to run the four experimental modules, four porous tubes were utilized. Details are given below in **Table A1** and more details can be found within **APPENDIX A2**, Experimental Results. More details of the micro-spheres are given in **APPENDIX D2**. It is noted that spherical solid particles were utilized for several reasons and the main reason is that the shape factor for a sphere is know and this simplifies the form of the Carmen-Kozeny equation utilized.

Tube #	Slurries Utilized	Experiments Run
1	DI, DI + Large Microspheres	Determined R_m, Determined f for DI, Determined f for DI+MS, porosity
2	DI + Large Microspheres	Determined porosity
3	DI + Large Microspheres	Determined t vs. Q_p, porosity for Larger MS
4	DI + Small Microspheres	Determined t vs. Q_p, porosity for Smaller MS, angle of repose

Table A1 – Experimental Design

Friction factor experiments

A proposed model for friction factors is

(Equation A1)

$$\frac{1}{\sqrt{f}} = 2log\left(Re\sqrt{f}\right) + B$$

Where f is friction factor, Re is Reynold's number, and B is a parameter to be determined.

Two sets of experiments will be conducted. The first using DI and running at the following values 2 gpm, 4 gpm, 6 gpm, 8, gpm and 10 gpm. Associated with each Q will be a pressure drop between the pressure gauges.

The second set of experiments will include the addition of 200 grams of the larger spheres and conducting the above sequence of volumetric discharges over a sequence of 10 minute intervals. The following parameters will be measured:

- Pressure Gauge Inlet

- Pressure Gauge Outlet

- Volumetric Flow Rate

- Mass/Volume of Permeate

- Temperature in slurry holding vessel

Determination of angle of repose

The angle of reposed between the solids and membrane surface will be determined by running the 20 grams of smaller particles in 2 liters of DI water. The flow rate will start low enough to capture the .5 micron particles, which have a narrow PSD, and increased to keep the permeate rate constant. For these conditions, the following equation applies

(Equation A2)

$$Q_p = \frac{.425fu^2}{\text{Ø}vtan(\theta)} d_p$$

Or

(Equation A3)

$$Q_p = kfu^2$$

Where f is friction factor, u is axial velocity, d_p is the particle size of capture, φ is a correction factor, Q_p is the permeate rate, and v is the viscosity. A plot of fu^2 vs. Q_p will provide the value for k and we can back-out $Tan(\theta)$.

Determination of concentration of solids in cake

Given below are three methods to measure the mass of solids in the cake, but each system is fraught with problems. It may be best to use all three methods. All three methods determine the mass of solids, w, for a given time and relate this to permeate rates, Q_p. As will be shown below, a graph of $1/Q_p$ vs. w can determine porosity.

Method 1

Experiments will be run where the filtration system is run to a certain ratio of Qp(0)/Qp(t) and the tared filter tubes weight is measure. This will be done using 4 filters. These four data points will be utilized in the following manner

Darcy's law states

(Equation A4)

$$Q_p = \frac{\Delta PA}{\mu(R_m + R_c)}$$

which can be shown to also have the form

(Equation A5)

$$R_c = \frac{\Delta P}{\mu} \frac{A}{Q_p} - R_m$$

Or

(Equation A6)

$$w = \frac{\Delta PA}{\mu\bar{\alpha}}\frac{1}{Q_p} - \frac{R_m}{\bar{\alpha}}$$

A plot of $1/Q_p$ vs. w will provide the slope, which allows us to back out α and for spherical solids

(Equation A7)

$$\bar{\alpha} = \frac{180}{dp^2}\frac{\phi_c^2}{(1-\phi_c)^3}$$

Method 2
Tare the weight of a dry porous tube, run the experiment, determine the wet mass of cake + porous tube, dry the tube and cake, re-weigh.

Method 3
Measure the weight of holding tank, measure weight of permeate, and estimate the mass of solids in the system. The discrepancy is the mass of solids in the cake.

Experimental validation of particle capture model for permeate rates

The experiments run for the friction factor experiment with solids present will be the same experimental data utilized to validate the particle capture model.

Filter Cleaning

Using the given sodium hydroxide solution, which will be in a bath, submerge the dirty filter tube for at least 24 hours and drip dry.

QA/QC Procedures

QA/QC will be performed in three steps.
1. All data will be record using experimental forms.

2. Two senior investigators were always present during experimentation and determined from how the experiments were conducted and visual inspection the validity of experimental results.

Experimental Results
The following experiments were run:
1. Determination of R_m

2. Determination of friction factor for filter system + DI

3. Determination of friction factors for filter system + DI/Larger Sized Microspheres

4. Determination of porosity for larger microspheres and smaller microspheres

5. Determination of angle of repose

More details of the micro-spheres are given in **APPENDIX D2**.

Determination of R_m

Determination of R_m

As mentioned above, the value of R_m was determined by running the filtration system with a clean filter and DI at various pressure drops. This results in a graph of dP vs. Qp (see **Figure A1**) and the slope of the line provides an estimate of R_m, which was found to be 1/m (see **APPENDIX B** for more details).

Figure A1 – Permeate flow rate versus pressure differential for Clean Filter

Determination of friction factor for filter system + Various Slurries

Given in **Figure A2** are curves for Re vs. f for Tubes 1, 4, and DI. The model proposed for friction factors is

(Equation A8)

$$\frac{1}{\sqrt{f}} = 2\log\left(Re\sqrt{f}\right) + B$$

Where B is determined from **Figure A3** to be

(Equation A9)

$$B = -4.23\left(\frac{f}{2}\frac{L}{D}\right) + 2$$

Figure A2 – Friction factor versus Reynolds number

Figure A3 – Friction factor parameters B versus f/2*L/D

Determination of porosity of larger microspheres and smaller microspheres

The porosity associated with cake on the four tubes was determined by measuring the dry wet of each tube, running to various end-point Qp, measuring the wet weight of the tube + cake, letting the tube + cake dry, and measuring the dry weight of the tube + cake. These values for Tubes 1 to 3 are given in **Table A2**. An average value of m was determined and using a standard filtration equation (see **APPENDIX B**), the value for θ(cake) was determined to be 8.8e-3.

Tube #	Tube Dry	Tube+Solids Wet	Tube+Solids Dry	Wet Mass	Dry Mass	m
	[gms.]	[gms.]	[gms.]			
1	432.59	441.15	432.68	8.56	0.09	95
2	431.52	441.82	431.62	10.3	0.1	103
3	437.72	449.15	437.85	11.43	0.13	88
4	437	461.05	n/a	n/a	n/a	n/a

Table A2 – Wet and Dry mass of cake

Determination of angle of repose

Tube 4 was utilized to find the angle of repose utilizing the smaller MS where the idea was to plot dP vs. Qp and the slope of this line would be equal to C_1 within **Equation A8**. The only unknown within C_1 is $\tan(\theta)$ and can be solved for. However the relationship between dP and Qp values obtained was rather polynomial with two extrema. Hence, the slope of the dP versus Qp plot or the angle of repose could not be determined. This unexpected relationship between dP and Qp could be attributed to erroneous data and experimental flaws.

Figure A4 – Determination of Angle of Repose (θ)

Another method was to utilize experimental results for the steady-state permeate rates for Tube 3 and **Equation A8** with the minimum particle size and conditions to back-out $\tan(\theta)$. This method is provided in **APPENDIX B** and the value was determined to be .55.

Experimental Validation of Permeate Rates

Experimental and model results were not given. The reason for this is that the model claims for the conditions associated with Tube 3 that the first "cut-off" diameter is essential at the d(min) and no cake would have formed. It's not obvious why this is and so no results are presented. The model is provided as **APPENDIX C3**

Time	Flow	P1	P2	Mass	Temperature	Q	dP	V	V	Re	f	dP	Qp	dP	Qp
[minutes]	[gpm]	[psig]	[psig]	[grams]	[C]	[gpm]	[psig]	[fps]	[m/s]			[psig]	[ml/s]	[Pa]	[m^3/s]
0	10				28										
0.5	10	28.9	22.3	164		10	6.6	16.34	4.98	63255.37	0.076526	25.6	5.47	175891.2	5.46667E-06
1	10	28.5	22.1	290		10	6.4	16.34	4.98	63255.37	0.074207	25.3	4.20	173829.9	0.0000042
1.5	10	28.4	22	406		10	6.4	16.34	4.98	63255.37	0.074207	25.2	3.87	173142.9	3.86667E-06
2	7.5	36.6	32.8	550		7.5	3.8	12.26	3.74	47441.53	0.07833	34.7	4.80	238415	0.0000048
2.5	7.5	36.4	32.8	707		7.5	3.6	12.26	3.74	47441.53	0.074207	34.6	5.23	237727.9	5.23333E-06
3	7.5	36.5	32.7	846		7.5	3.8	12.26	3.74	47441.53	0.07833	34.6	4.63	237727.9	4.63333E-06
3.5	7.5	36.4	32.7	988		7.5	3.7	12.26	3.74	47441.53	0.076268	34.55	4.73	237384.4	4.73333E-06
4	5.6	42.4	40.3	1153		5.6	2.1	9.15	2.79	35423.01	0.077644	41.35	5.50	284105.4	0.0000055
4.5	5.6	42.1	40.2	1330		5.6	1.9	9.15	2.79	35423.01	0.070249	41.15	5.90	282731.3	0.0000059
5	5.6	41.9	39.9	1489	30	5.6	2	9.15	2.79	35423.01	0.073947	40.9	5.30	281013.6	0.0000053
5.5	5.6	42	40	1655		5.6	2	9.15	2.79	35423.01	0.073947	41	5.53	281700.7	5.53333E-06
6	3.8	46.4	45.3	1823		3.8	1.1	6.21	1.89	24037.04	0.088326	45.85	5.60	315023.8	0.0000056
6.5	3.8	45.7	44.8	2002		3.8	0.9	6.21	1.89	24037.04	0.072267	45.25	5.97	310901.4	5.96667E-06
7	3.8	45.4	44.5	2176		3.8	0.9	6.21	1.89	24037.04	0.072267	44.95	5.80	308840.1	0.0000058
7.5	3.8	45.4	44.3	2356		3.8	1.1	6.21	1.89	24037.04	0.088326	44.85	6.00	308153.1	0.000006
8	1.7	50	50.2	2560		1.7	-0.2	2.78	0.85	10753.41	-0.08024	50.1	6.80	344224.5	0.0000068
8.5	1.7	50.1	49.8	2770		1.7	0.3	2.78	0.85	10753.41	0.120362	49.95	7.00	343193.9	0.000007
9	1.7	50	49.9	2950		1.7	0.1	2.78	0.85	10753.41	0.040121	49.95	6.00	343193.9	0.000006
9.5	1.7	50.2	50.1	3048		1.7	0.1	2.78	0.85	10753.41	0.040121	50.15	3.27	344568	3.26667E-06
10	1.7	50.5	50.4	3250	34	1.7	0.1	2.78	0.85	10753.41	0.040121	50.45	6.73	346629.3	6.73333E-06

Table A.3 – Tube 1, DI

Time	Flow	Flux	qp [20 C]	P1	P2	Mass	Temperature	Q	dP	V	V	Re	f	dP	Qp	TMP
[minutes]	[gpm]	[m/sec]	[m/s]	[psig]	[psig]	[grams]	[C]	[gpm]	[psig]	[fps]	[m/s]			[psig]	[ml/s]	[Pa]
0	10.5			28	21.4	0		10.5	6.6							
0.5	10.5	1.84088E-05		25.7	20.2	13.42		10.5	5.5	17.16	5.23	66418.14	0.058	22.95	0.45	157683.7
1	10.5	1.58848E-05		26.7	21	25		10.5	5.7	17.16	5.23	66418.14	0.060	23.85	0.39	163867.3
1.5	10.5	1.92044E-05		26.9	21	39		10.5	5.9	17.16	5.23	66418.14	0.062	23.95	0.47	164554.4
2	8.5	2.23594E-05		33.1	29.2	55.3		8.5	3.9	13.89	4.23	53767.07	0.063	31.15	0.54	214023.8
2.5	8.5	2.23594E-05		33.1	29.1	71.6		8.5	4	13.89	4.23	53767.07	0.064	31.1	0.54	213680.3
3	8.5	1.99588E-05		33.1	29.1	86.15		8.5	4	13.89	4.23	53767.07	0.064	31.1	0.49	213680.3
3.5	8.5	2.95336E-05		33.2	29.2	107.68		8.5	4	13.89	4.23	53767.07	0.064	31.2	0.72	214367.3
4	6	2.40329E-05		39.1	37.6	125.2		6	1.5	9.80	2.99	37953.22	0.048	38.35	0.58	263493.2
4.5	6	2.82579E-05		40.1	38	145.8		6	2.1	9.80	2.99	37953.22	0.068	39.05	0.69	268302.7
5	6	3.31962E-05		39.7	37.8	170		6	1.9	9.80	2.99	37953.22	0.061	38.75	0.81	266241.5
5.5	6	2.9904E-05		40.1	38.2	191.8		6	1.9	9.80	2.99	37953.22	0.061	39.15	0.73	268989.8
6	3.8	3.99177E-05		47	46.7	220.9		3.8	0.3	6.21	1.89	24037.04	0.024	46.85	0.97	321894.6
6.5	3.8	3.3059E-05		47.3	46.8	245		3.8	0.5	6.21	1.89	24037.04	0.040	47.05	0.80	323268.7
7	3.8	3.84088E-05		47.3	46.7	273		3.8	0.6	6.21	1.89	24037.04	0.048	47	0.93	322925.2
7.5	3.8	3.78601E-05		47.4	46.9	300.6		3.8	0.5	6.21	1.89	24037.04	0.040	47.15	0.92	323955.8
8	2.5	3.60768E-05		50	49.8	326.9		2.5	0.2	4.09	1.25	15813.84	0.037	49.9	0.88	342850.3
8.5	2.5	5.22634E-05		50.1	49.9	365		2.5	0.2	4.09	1.25	15813.84	0.037	50	1.27	343537.4
9	2.5	0		50	50	396.4		2.5	0	4.09	1.25	15813.84	0.000	50	1.05	343537.4
9.5	2.5	4.33471E-05		50.2	50.1	428		2.5	0.1	4.09	1.25	15813.84	0.019	50.15	1.05	344568
10	2.5	4.2524E-05		50.2	50.1	459		2.5	0.1	4.09	1.25	15813.84	0.019	50.15	1.03	344568

Table A.4 – Tube 1, Slurry

Time	Flow	Flux	P1	P2	Mass	Temperature	Q	dP	V	V	Re	f	dP	Qp	TMP	Qp
[minutes]	[gpm]	[m/sec]	[psig]	[psig]	[grams]	[C]	[gpm]	[psig]	[fps]	[m/s]			[psig]	[ml/s]	[Pa]	[m^3/s]
0	9.5		30.2	27.5	0		9.5	2.7								
0.5	9.5	0.000156379	30.4	26.9	114		9.5	3.5	15.52	4.73	60092.60	0.044966	28.65	3.80	196846.9	0.0000038
1	9.5	0.00011797	29.5	26.9	200	19.8	9.5	2.6	15.52	4.73	60092.60	0.033403	28.2	2.87	193755.1	2.86667E-06
1.5	9.5	9.60219E-05	29.7	26.9	270		9.5	2.8	15.52	4.73	60092.60	0.035973	28.3	2.33	194442.2	2.33333E-06
2	9.5	8.77915E-05	29.7	27.1	334	19.5	9.5	2.6	15.52	4.73	60092.60	0.033403	28.4	2.13	195129.3	2.13333E-06
2.5	9.5	7.54458E-05	29.6	27	389		9.5	2.6	15.52	4.73	60092.60	0.033403	28.3	1.83	194442.2	1.83333E-06
3	9.5	6.72154E-05	29.5	26.9	438	19.2	9.5	2.6	15.52	4.73	60092.60	0.033403	28.2	1.63	193755.1	1.63333E-06
3.5	9.5	6.31001E-05	29.3	26.8	484		9.5	2.5	15.52	4.73	60092.60	0.032119	28.05	1.53	192724.5	1.53333E-06
4	9.5	5.62414E-05	29.2	26.6	525	19.6	9.5	2.6	15.52	4.73	60092.60	0.033403	27.9	1.37	191693.9	1.36667E-06
4.5	9.5	5.34979E-05	29	26.5	564	19.4	9.5	2.5	15.52	4.73	60092.60	0.032119	27.75	1.30	190663.3	0.0000013
5	9.5	4.93827E-05	28.9	26.3	600		9.5	2.6	15.52	4.73	60092.60	0.033403	27.6	1.20	189632.7	0.0000012

Table A.5 – Tube 2, Slurry

Time	Flow	Flux	P1	P2	Mass	Temperature	Q	dP	V	V	Re	f	dP	Qp	TMP	Qp
[minutes]	[gpm]	[m/sec]	[psig]	[psig]	[grams]	[C]	[gpm]	[psig]	[fps]	[m/s]			[psig]	[ml/s]	[Pa]	[m^3/s]
0	9.9		24.4	20	0		9.9	4.4					22.2		152530.6	
0.5	9.9	0.000249657	24.5	20.6	182	36	9.9	3.9	16.18	4.93	62622.82	0.046138	22.55	6.07	154935.4	6.06667E-06
1	9.9	0.000200274	25.2	21.4	328	36	9.9	3.8	16.18	4.93	62622.82	0.044955	23.3	4.87	160088.4	4.86667E-06
1.5	9.9	0.000148148	25.4	21.4	436	36	9.9	4	16.18	4.93	62622.82	0.047321	23.4	3.60	160775.5	0.0000036
2	9.9	0.000127572	25.3	21.3	529	37.2	9.9	4	16.18	4.93	62622.82	0.047321	23.3	3.10	160088.4	0.0000031
2.5	9.9	0.000106996	25.4	21.4	607	37.2	9.9	4	16.18	4.93	62622.82	0.047321	23.4	2.60	160775.5	0.0000026
3	9.9	8.91632E-05	25.2	21.3	672	38.1	9.9	3.9	16.18	4.93	62622.82	0.046138	23.25	2.17	159744.9	2.16667E-06
3.5	9.9	7.54458E-05	25.2	21.2	727	38.1	9.9	4	16.18	4.93	62622.82	0.047321	23.2	1.83	159401.4	1.83333E-06
4	9.9	6.72154E-05	25	20.9	776	38.9	9.9	4.1	16.18	4.93	62622.82	0.048504	22.95	1.63	157683.7	1.63333E-06
4.5	9.9	5.48697E-05	24.9	21.1	816	38.9	9.9	3.8	16.18	4.93	62622.82	0.044955	23	1.33	158027.2	1.33333E-06
5	9.9	5.21262E-05	24.8	20.9	854	39.7	9.9	3.9	16.18	4.93	62622.82	0.046138	22.85	1.27	156996.6	1.26667E-06
5.5	9.9	4.66392E-05	24.9	21	888	39.7	9.9	3.9	16.18	4.93	62622.82	0.046138	22.95	1.13	157683.7	1.13333E-06
6	9.9	4.38957E-05	24.9	21.1	920	40.4	9.9	3.8	16.18	4.93	62622.82	0.044955	23	1.07	158027.2	1.06667E-06
6.5	9.9	4.11523E-05	24.8	21	950	40.4	9.9	3.8	16.18	4.93	62622.82	0.044955	22.9	1.00	157340.1	0.000001
7	9.9	4.11523E-05	24.8	21	980	41.4	9.9	3.8	16.18	4.93	62622.82	0.044955	22.9	1.00	157340.1	0.000001
7.5	9.9	3.97805E-05	24.9	20.9	1009	41.4	9.9	4	16.18	4.93	62622.82	0.047321	22.9	0.97	157340.1	9.66667E-07
8	9.9	3.84088E-05	24.9	20.8	1037	41.8	9.9	4.1	16.18	4.93	62622.82	0.048504	22.85	0.93	156996.6	9.33333E-07
8.5	9.9	3.7037E-05	24.8	20.8	1064	41.8	9.9	4	16.18	4.93	62622.82	0.047321	22.8	0.90	156653.1	0.0000009
9	9.9	3.56653E-05	24.7	20.9	1090	42.4	9.9	3.8	16.18	4.93	62622.82	0.044955	22.8	0.87	156653.1	8.66667E-07
9.5	9.9	3.7037E-05	24.7	20.8	1117	42.4	9.9	3.9	16.18	4.93	62622.82	0.046138	22.75	0.90	156309.5	0.0000009
10	9.9	3.7037E-05	24.6	20.8	1144	43	9.9	3.8	16.18	4.93	62622.82	0.044955	22.7	0.90	155966	0.0000009
10.5	9.9	3.56653E-05	24.6	20.7	1170	43	9.9	3.9	16.18	4.93	62622.82	0.046138	22.65	0.87	155622.4	8.66667E-07
11	9.9	3.49794E-05	24.6	20.8	1195.5	43.6	9.9	3.8	16.18	4.93	62622.82	0.044955	22.7	0.85	155966	0.00000085
11.5	9.9	3.36077E-05	24.6	20.7	1220	43.6	9.9	3.9	16.18	4.93	62622.82	0.046138	22.65	0.82	155622.4	8.16667E-07
12	9.9	3.64883E-05	24.5	20.7	1246.6	44	9.9	3.8	16.18	4.93	62622.82	0.044955	22.6	0.89	155278.9	8.86667E-07
12.5	9.9	3.48422E-05	24.6	20.7	1272	44	9.9	3.9	16.18	4.93	62622.82	0.046138	22.65	0.85	155622.4	8.46667E-07

Table A.6 – Tube 3, Slurry

Time	Flow	Flux	qp [20 C]	P1	P2	Mass	Temperature	Q	dP	V	V	Re	f	dP	Qp	TMP	Qp
[minutes]	[gpm]	[m/sec]	[m/s]	[psig]	[psig]	[grams]	[C]	[gpm]	[psig]	[fps]	[m/s]			[psig]	[ml/s]	[Pa]	[m^3/s]
0	9.4			9.6	6.7	0	29.4	9.4	2.9								
0.5	9.4	8.92E-05		9	7.1	65		9.4	1.9	15.36	4.68	59460.05	0.025	1.9	2.17	5.53E+04	2.17E-06
1	9.4	9.60E-05		9.3	6.5	135		9.4	2.8	15.36	4.68	59460.05	0.037	2.8	2.33	5.43E+04	2.33E-06
1.5	9.4	9.33E-05		9.6	7	203		9.4	2.6	15.36	4.68	59460.05	0.034	2.6	2.27	5.70E+04	2.27E-06
2	7.9	1.12E-04		30.6	29	285	30.9	7.9	1.6	12.91	3.93	49971.74	0.030	1.6	2.73	2.05E+05	2.73E-06
2.5	7.9	2.55E-04		31.6	29.9	471		7.9	1.7	12.91	3.93	49971.74	0.032	1.7	6.20	2.11E+05	6.20E-06
3	7.9	1.89E-04		31.6	29.7	609		7.9	1.9	12.91	3.93	49971.74	0.035	1.9	4.60	2.11E+05	4.60E-06
3.5	7.9	1.50E-04		31.5	29.6	718	28.4	7.9	1.9	12.91	3.93	49971.74	0.035	1.9	3.63	2.10E+05	3.63E-06
4	6.3	1.70E-04		38.1	36.9	842	29.1	6.3	1.2	10.29	3.14	39850.89	0.035	1.2	4.13	2.58E+05	4.13E-06
4.5	6.3	1.43E-04		37.9	37	946		6.3	0.9	10.29	3.14	39850.89	0.026	0.9	3.47	2.57E+05	3.47E-06
5	6.3	1.41E-04		37.9	36.7	1049	30	6.3	1.2	10.29	3.14	39850.89	0.035	1.2	3.43	2.56E+05	3.43E-06
5.5	6.3	1.14E-04		37.9	36.6	1132	30.9	6.3	1.3	10.29	3.14	39850.89	0.038	1.3	2.77	2.56E+05	2.77E-06
6	4.2	1.34E-04		47.1	46.6	1230		4.2	0.5	6.86	2.09	26567.26	0.033	0.5	3.27	3.22E+05	3.27E-06
6.5	4.2	1.34E-04		47.3	46.6	1328		4.2	0.7	6.86	2.09	26567.26	0.046	0.7	3.27	3.23E+05	3.27E-06
7	4.2	1.22E-04		47	46.8	1417	31.9	4.2	0.2	6.86	2.09	26567.26	0.013	0.2	2.97	3.22E+05	2.97E-06
7.5	4.2	1.15E-04		47.3	46.9	1501		4.2	0.4	6.86	2.09	26567.26	0.026	0.4	2.80	3.24E+05	2.80E-06
8	2.4	1.14E-04		50.2	49.9	1584	32.9	2.4	0.3	3.92	1.20	15181.29	0.060	0.3	2.77	3.44E+05	2.77E-06
8.5	2.4	1.14E-04		50	49.9	1667		2.4	0.1	3.92	1.20	15181.29	0.020	0.1	2.77	3.43E+05	2.77E-06
9	2.4	1.04E-04		50.3	50	1743		2.4	0.3	3.92	1.20	15181.29	0.060	0.3	2.53	3.45E+05	2.53E-06
9.5	2.4	9.60E-05		50.3	50.1	1813		2.4	0.2	3.92	1.20	15181.29	0.040	0.2	2.33	3.45E+05	2.33E-06
10	2.4	9.74E-05		50.4	50.2	1884	33.2	2.4	0.2	3.92	1.20	15181.29	0.040	0.2	2.37	3.46E+05	2.37E-06
10.5	2.4	9.47E-05		50.4	50	1953		2.4	0.4	3.92	1.20	15181.29	0.081	0.4	2.30	3.45E+05	2.30E-06
11	2.4	9.33E-05		50.4	50.2	2021	34.2	2.4	0.2	3.92	1.20	15181.29	0.040	0.2	2.27	3.46E+05	2.27E-06
11.5	2.4	8.92E-05		50.2	50.2	2086		2.4	0	3.92	1.20	15181.29	0.000	0	2.17	3.45E+05	2.17E-06
12	2.4	9.19E-05		50.6	50.3	2153	34.6	2.4	0.3	3.92	1.20	15181.29	0.060	0.3	2.23	3.47E+05	2.23E-06
12.5	2.4	8.50E-05		50.4	50.2	2215		2.4	0.2	3.92	1.20	15181.29	0.040	0.2	2.07	3.46E+05	2.07E-06
13	2.4	8.64E-05		50.3	50.3	2278	32.8	2.4	0	3.92	1.20	15181.29	0.000	0	2.10	3.46E+05	2.10E-06
13.5	2.4	7.96E-05		50.6	50.2	2336		2.4	0.4	3.92	1.20	15181.29	0.081	0.4	1.93	3.46E+05	1.93E-06
14	2.4	8.23E-05		50.3	50.2	2396	31.6	2.4	0.1	3.92	1.20	15181.29	0.020	0.1	2.00	3.45E+05	2.00E-06
14.5	2.4	7.68E-05		50.4	50.2	2452		2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.87	3.46E+05	1.87E-06
15	2.4	7.41E-05		50.7	50.5	2506	32.1	2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.80	3.48E+05	1.80E-06
15.5	2.4	7.27E-05		50.7	50.6	2559		2.4	0.1	3.92	1.20	15181.29	0.020	0.1	1.77	3.48E+05	1.77E-06
16	2.4	7.41E-05		50.8	50.6	2613	32.8	2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.80	3.48E+05	1.80E-06
16.5	2.4	7.13E-05		50.7	50.5	2665		2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.73	3.48E+05	1.73E-06
17	2.4	7.27E-05		50.5	50.5	2718	33.6	2.4	0	3.92	1.20	15181.29	0.000	0	1.77	3.47E+05	1.77E-06
17.5	2.4	7.13E-05		50.4	50.4	2770		2.4	0	3.92	1.20	15181.29	0.000	0	1.73	3.46E+05	1.73E-06
18	2.4	6.86E-05		50.5	50.5	2820	34.5	2.4	0	3.92	1.20	15181.29	0.000	0	1.67	3.47E+05	1.67E-06
18.5	2.4	7.00E-05		50.4	50.4	2871		2.4	0	3.92	1.20	15181.29	0.000	0	1.70	3.46E+05	1.70E-06
19	2.4	6.86E-05		50.6	50.6	2921	36.2	2.4	0	3.92	1.20	15181.29	0.000	0	1.67	3.48E+05	1.67E-06
19.5	2.4	7.13E-05		50.7	50.5	2973		2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.73	3.48E+05	1.73E-06
20	2.4	7.00E-05		50.8	50.6	3024	37.5	2.4	0.2	3.92	1.20	15181.29	0.040	0.2	1.70	3.48E+05	1.70E-06

Table A.7 – Tube 4, Slurry

Figure A.5 – Permeate flux versus trans-membrane pressure differential. Rm is determined by taking the slope of the trend line.

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Figure A.6 – Tube 1, Slurry, Time vs. Permeate Flux

Figure A.7 – Tube 1, Slurry permeate flux versus trans-membrane pressure differential.

Figure A.8 – Tube 1, Slurry permeate flux versus axial velocity

Figure A.9 – Tube 2, Slurry permeate flux versus time.

Figure A.10 – Tube 2, Slurry permeate flux versus trans-membrane pressure differential.

Figure A.11 – Tube 2, Slurry permeate flux versus axial velocity.

Figure A.12 – Tube 3, Slurry permeate flux versus time.

Figure A.13 – Tube 3 Trans-membrane differential pressure versus permeate flux.

Figure A.14 – Tube 4, Slurry permeate flux versus time.

Figure A.15 – Tube 4, Slurry permeate flux versus trans-membrane pressure differential.

Figure A.16 – Tube 4, slurry Permeate flux versus slurry axial velocity.

Appendix B – Critical parameter estimation

Determination of

- R_m

- $\emptyset_B$

- Θ

- $\emptyset_c$

Determination of R_m

$Q_p \left[\frac{m^3}{s} \right]$

$y = 2 \times 10^{-11} X$

$\Delta P \left[P_a \right]$

$$Q_p = \frac{\Delta P \, A}{\mu \, R_m} \qquad m = \frac{A}{\mu \, R_m}$$

$$A = \pi D L = \pi \left(\frac{1/2}{12} \right) 2 \times \left(\frac{.3048 m}{1 ft} \right)^2$$

$$= .0243 \, m^2$$

$$\mu \sim 10^{-3} \frac{Kg}{m-s}$$

$$2 \times 10^{-11} = \frac{.0243}{10^{-3} R_m}$$

$$R_m = 8.2 \times 10^{+13}$$

Determination of ϕ_B (larger MS)

$$C \left[\frac{gm}{\ell} \right] = 40$$

$$\phi_B = \frac{V_i N_i}{V_T}$$

$$N_i \left[\frac{N}{gm} \right] * 200 \text{ proms}$$

$$= 1.169 \times 10^{12}$$

$$V_i = \frac{\pi}{6} \bar{D}^3 \quad , \quad \bar{D} = 6.5 \mu m$$

$$= \frac{143.8 \mu m^3}{particle}$$

$$V_T = 5\ell = 5 \times 10^{-3} m^3$$

$$\phi_B = \frac{1.68 \times 10^{-4} m^3}{5 \times 10^{-3} m^3} = .034$$

$$7 \times 10^{-5} = \frac{.425(.04) \, 1.20^2 \, .4 \times 10^{-6}}{183(10^{-6}) \, Tan(\theta)}$$

$$1.31 = \frac{1}{Tan(\theta)}$$

$$Tan(\theta) = .76$$

Determination of θ (Larger MS)

$$dp^{min} = 2 \; microns$$

$$f = .046 \quad , \quad U = 4.93 \, ^m/s$$

$$\phi = 577$$

$$U_p(ss.) = 4 \times 10^{-5} \, m/s$$

$$4 \times 10^{-5} = \frac{.425(.046) \, 4.93^2 \, 2 \times 10^{-6}}{577 \; 10^{-6} \; Tan \, \theta}$$

$$2.43 \times 10^{-2} = \frac{1}{Tan\,\theta}$$

$$Tan\,\theta = 41$$

Determination of θ (Smaller MS)

At steady-state

$$q_p = \frac{.425 \, f \, v^2}{\phi \, \nu \, Tan(\theta)} \, dp^{min}$$

$$dp^{min} = .4 \text{ microns}$$

$$f = .04 \quad, \quad v = 1\text{-}20 \text{ m/s}$$

$$\nu = 10^{-6} \text{ m}^2/s \quad, \quad \phi = 183$$

$$Q_p = 7 \times 10^{-5} \text{ m/s}$$

$$Q_p(\phi) \doteq .0003 \text{ m/s} = \frac{\Delta P}{\mu R_m} = \frac{55\,000 \text{ Pa}}{.001 \frac{kg}{m\text{-}s} R_m}$$

$$R_m = 1.8 \times 10^{11}$$

Determination of ϕ_c (larger MS)

$$\bar{m} = 95$$

$$\bar{m} = 1 + \frac{(1-c)}{c} \frac{A}{A_s}$$

$$95 = 1 + \frac{(1-c)}{c} \frac{1}{1.2}$$

$$94(1.2) = \frac{1-c}{c}$$

$$c = \frac{1}{113.8} = 8.8 \times 10^{-3}$$

Appendix C – Developed Model

Appendix C1 – Lu and Ju (1989)
Appendix C2 – Lu, Hwang and Ju (1993)
Appendix C3 – Foust et al. (2010)

Appendix C1 is a spreadsheet model for **Equation 1** and utilizes the experimental results from [9].

Appendix C2 incorporates the model developed from **Equations 7** to **15** into a spreadsheet, which included several worksheets. Each worksheet is discussed. The same experimental results were utilized in **Appendices C1** and **C2**.

"Input" – worksheet where inputs to the model were incorporated. These included concentrations, filter parameters, fluid mechanic parameters, particle size distribution parameters, and numerical computation parameters.

"Charts" – worksheet where six charts are displayed and include "dpi vs. PSD", "dpi vs. f", "dpi vs. dpi_bar", "f vs. time", "Delta vs. time" and "J vs. time."

"Main Sheet" – where much of the computations occur and numerical integration associated with model for permeate rates.

"dpi" – worksheet calculates the cut-off diameter associated with current conditions and sends this information to "Main Sheet"

"f" – worksheet based on current conditions determines percent of bulk phase solids that accumulate onto membrane surface and sends this information back to worksheet "Main Sheet"

"dpi_bar" – worksheet determines average dpi for determining cake properties associated with current conditions and uses this in "Alpha"

"Alpha" – determine an average specific resistance of the cake based on information associated with each layer of cake up until current conditions and sends back to "Main Sheet"

"Bench Marking" – worksheet determines the validity of experimental results against model results

Appendix C3 follows much of what was discussed above for **Appendix C2**, but applied the model to experimental results of work done as part of this project.

Appendix C1

v	[m/s]	0.57
H	[m]	0.007
mu	[kg/m-s]	0.001
den(liquid)	[kg/m^3]	1000
den(solid)	[kg/m^3]	2710
nu	[m^2/s]	0.000001
Phi		258
Rm	[1/m]	1.00E+08
tan(theta)		0.11
flux(clean)	[m/s]	1.52

dp [micron]	dp [m]	C1	C2	C3	q [cm/s]	C1*dp	C2*dp^2	C3*dp^3
3	0.000003	37.58099	37.53487	20839122534	0.011331	1.00%	0.00%	0.00%
4	0.000004	37.58099	37.53487	20839122534	0.015166	0.99%	0.00%	0.01%
5	0.000005	37.58099	37.53487	20839122534	0.019051	0.99%	0.00%	0.01%
6	0.000006	37.58099	37.53487	20839122534	0.022999	0.98%	0.00%	0.02%
7	0.000007	37.58099	37.53487	20839122534	0.027021	0.97%	0.00%	0.03%
8	0.000008	37.58099	37.53487	20839122534	0.031132	0.97%	0.00%	0.03%
9	0.000009	37.58099	37.53487	20839122534	0.035342	0.96%	0.00%	0.04%
10	0.00001	37.58099	37.53487	20839122534	0.039665	0.95%	0.00%	0.05%
11	0.000011	37.58099	37.53487	20839122534	0.044112	0.94%	0.00%	0.06%
12	0.000012	37.58099	37.53487	20839122534	0.048698	0.93%	0.00%	0.07%
13	0.000013	37.58099	37.53487	20839122534	0.053433	0.91%	0.00%	0.09%
14	0.000014	37.58099	37.53487	20839122534	0.058331	0.90%	0.00%	0.10%
15	0.000015	37.58099	37.53487	20839122534	0.063404	0.89%	0.00%	0.11%
16	0.000016	37.58099	37.53487	20839122534	0.068664	0.88%	0.00%	0.12%
17	0.000017	37.58099	37.53487	20839122534	0.074125	0.86%	0.00%	0.14%

dp [micron]	q [cm/s]	dp [micron]	q [cm/s]
3	0.0113305	9.5	0.03
4	0.0151657	10	0.038
5	0.0190509	14	0.042
6	0.0229986	16	0.06
7	0.0270213	18	0.062
8	0.0311315	22	0.09
9	0.0353418		
10	0.0396645		
11	0.0441123		
12	0.0486976		
13	0.053433		
14	0.0583309		
15	0.0634038		
16	0.0686643		
17	0.0741249		

Appendix C2 - Inputs

Inputs

Concentrations

Phi©	4.00E-01	*Determine Experimentally*
Phi(b)	1.00E-01	

Filter Parameters

Rm	1.20E+10	[1/m]	
Height	0.007	[m]	
Tan(theta)	0.11		
Phi	2.58E+02		
Width	7.00E-02	[m]	
Area	4.90E-04	[m^2]	0.0003

Fluid Mechanics

mu	0.001	[kg/m-s]
dP	26,700	[Pa]
rho(l)	1,000	[Kg/m^3]
rho(s)	2,710	[Kg/m^3]
nu	1.E-06	[m^2/s]
u	0.612245	[m/s]

PSD Parameters

dmin	1	[micron]
dmax	20	[micron]
dp(ave)	7.82	[micron]
a1	100	"adjustment parameter"
a2	7.82	"mean"
a3	5	"variance"

$$\mu = \frac{d\max}{2} \rightarrow Symmetric$$

$$\mu < \frac{d\max}{2} \rightarrow Skewed\ Left$$

$$\mu > \frac{d\max}{2} \rightarrow Skewed\ Right$$

Numerical

dT	5	[s]
dp(0)	31.91002	"microns"

Appendix C2 - Charts

Figure 1 - dpi vs. PSD   **Figure 4 - f vs. t**

Figure 2 - dpi vs. f   **Figure 5 - Delta vs. t**

Figure 3 - dpi vs. dpi_bar   **Figure 6 - J vs. t**

Figure 1

Figure 2

Figure 3

Figure 3

Figure 5

Figure 6

Appendix C2 – Main Sheet

Time	dp	f	dpi_bar	alpha(i)	Alpha	J	Delta(i)	Delta	Rc
[s]	[m]		[m]			[m/s]		[m]	[1/m]
0	3.191E-05	1	1.45664E-05	6.E+11	0	0.002225	0.00278125	0	0.00E+00
5	2.95158E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001942	0.002427672	0.00278125	1.75E+09
10	2.77225E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001748	0.002185187	0.005208922	3.27E+09
15	2.62944E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001604	0.00200493	0.007394108	4.65E+09
20	2.51121E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001491	0.001863862	0.009399038	5.91E+09
25	2.41067E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.0014	0.001749432	0.0112629	7.08E+09
30	2.32343E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001323	0.001654114	0.013012332	8.18E+09
35	2.24657E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001258	0.001573075	0.014666446	9.22E+09
40	2.17803E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001202	0.001503044	0.016239521	1.02E+10
45	2.11628E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001153	0.001441719	0.017742565	1.11E+10
50	2.0602E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.00111	0.001387421	0.019184284	1.21E+10
55	2.0089E-05	1	1.45664E-05	6.28E+11	6.28E+11	0.001071	0.001338894	0.020571705	1.29E+10
60	1.9617E-05	0.99994	1.45638E-05	6.29E+11	6.28E+11	0.001036	0.001295101	0.021910599	1.38E+10
65	1.91803E-05	0.99925	1.45567E-05	6.29E+11	6.28E+11	0.001004	0.001254571	0.0232057	1.46E+10
70	1.87744E-05	0.998168	1.45429E-05	6.30E+11	6.28E+11	0.000975	0.00121707	0.024460271	1.54E+10
75	1.83955E-05	0.996358	1.45199E-05	6.32E+11	6.29E+11	0.000949	0.001181736	0.02567734	1.61E+10
80	1.80403E-05	0.995103	1.44849E-05	6.35E+11	6.29E+11	0.000924	0.001149712	0.026859077	1.69E+10
85	1.77055E-05	0.991713	1.44342E-05	6.40E+11	6.29E+11	0.000901	0.00111753	0.028008789	1.76E+10
90	1.73891E-05	0.986874	1.44016E-05	6.43E+11	6.29E+11	0.00088	0.001085857	0.029126319	1.83E+10
95	1.70906E-05	0.983798	1.43192E-05	6.50E+11	6.3E+11	0.00086	0.001058121	0.030212176	1.90E+10
100	1.68058E-05	0.980218	1.42682E-05	6.55E+11	6.31E+11	0.000842	0.001031401	0.031270298	1.97E+10
105	1.65348E-05	0.971335	1.41432E-05	6.67E+11	6.31E+11	0.000824	0.001000742	0.032301698	2.04E+10
110	1.62753E-05	0.965925	1.40678E-05	6.74E+11	6.32E+11	0.000808	0.000975089	0.03330244	2.11E+10
115	1.60272E-05	0.959794	1.3983E-05	6.82E+11	6.34E+11	0.000792	0.000950022	0.034277529	2.17E+10
120	1.57895E-05	0.945152	1.37821E-05	7.02E+11	6.35E+11	0.000777	0.000917893	0.035227551	2.24E+10
125	1.55596E-05	0.936533	1.36647E-05	7.14E+11	6.37E+11	0.000763	0.000892785	0.036145444	2.30E+10
130	1.53384E-05	0.926982	1.35352E-05	7.28E+11	6.39E+11	0.000749	0.000867877	0.037038229	2.36E+10
135	1.5125E-05	0.916451	1.33929E-05	7.43E+11	6.41E+11	0.000736	0.000843079	0.037906106	2.43E+10
140	1.49188E-05	0.904899	1.32374E-05	7.61E+11	6.43E+11	0.000723	0.000818316	0.038749185	2.49E+10
145	1.47191E-05	0.892288	1.3068E-05	7.81E+11	6.45E+11	0.000711	0.000793524	0.039567501	2.55E+10
150	1.45254E-05	0.878588	1.28845E-05	8.03E+11	6.48E+11	0.0007	0.000768651	0.040361025	2.61E+10
155	1.43372E-05	0.863776	1.26865E-05	8.28E+11	6.51E+11	0.000689	0.000743658	0.041129675	2.68E+10
160	1.41539E-05	0.847836	1.24738E-05	8.57E+11	6.54E+11	0.000678	0.000718516	0.041873333	2.74E+10
165	1.39751E-05	0.830764	1.22461E-05	8.89E+11	6.57E+11	0.000668	0.000693209	0.042591849	2.80E+10
170	1.38003E-05	0.830764	1.20036E-05	9.25E+11	6.61E+11	0.000657	0.00068269	0.043285058	2.86E+10
175	1.36255E-05	0.812561	1.17463E-05	9.66E+11	6.65E+11	0.000647	0.000657504	0.043967748	2.92E+10
180	1.34538E-05	0.793243	1.14744E-05	1.01E+12	6.7E+11	0.000638	0.000632135	0.044625253	2.99E+10
185	1.3285E-05	0.772834	1.11883E-05	1.07E+12	6.74E+11	0.000628	0.000606599	0.045257387	3.05E+10
190	1.31186E-05	0.751369	1.08884E-05	1.12E+12	6.8E+11	0.000619	0.000580924	0.045863986	3.12E+10
195	1.29544E-05	0.728897	1.05754E-05	1.19E+12	6.85E+11	0.000609	0.000555148	0.04644491	3.18E+10
200	1.27919E-05	0.705476	1.05754E-05	1.19E+12	6.91E+11	0.0006	0.000529315	0.047000058	3.25E+10

Appendix C2 –dpi

Time	dp(i)	dp(i)	C1	C2	C3	q	q			Diff	C1*dp	C2*dp^2	C3*dp^3
0	31.91	3.191E-05	42.58986	3612.209	26764278780	0.002225	0.002225	2225	2225	-4.3449E-05	61.08%	0.17%	39.08%
5	29.52	2.95158E-05	42.58986	3612.209	26764278780	0.001942	0.001942	1942.137	1942.137	-2.2868E-05	64.73%	0.16%	35.44%
10	27.72	2.77225E-05	42.58986	3612.209	26764278780	0.001748	0.001748	1748.15	1748.15	-0.00037399	67.54%	0.16%	32.62%
15	26.29	2.62944E-05	42.58986	3612.209	26764278780	0.001604	0.001604	1603.944	1603.944	-1.526E-07	69.82%	0.16%	30.34%
20	25.11	2.51121E-05	42.58986	3612.209	26764278780	0.001491	0.001491	1491.09	1491.09	-5.0374E-07	71.73%	0.15%	28.43%
25	24.11	2.41067E-05	42.58986	3612.209	26764278780	0.0014	0.0014	1399.546	1399.546	-1.0922E-06	73.36%	0.15%	26.79%
30	23.23	2.32343E-05	42.58986	3612.209	26764278780	0.001323	0.001323	1323.291	1323.291	-1.7907E-05	74.78%	0.15%	25.37%
35	22.47	2.24657E-05	42.58986	3612.209	26764278780	0.001258	0.001258	1258.46	1258.46	-6.5248E-05	76.03%	0.14%	24.11%
40	21.78	2.17803E-05	42.58986	3612.209	26764278780	0.001202	0.001202	1202.435	1202.436	-0.00014199	77.14%	0.14%	23.00%
45	21.16	2.11628E-05	42.58986	3612.209	26764278780	0.001153	0.001153	1153.375	1153.375	-0.00024072	78.15%	0.14%	21.99%
50	20.60	2.0602E-05	42.58986	3612.209	26764278780	0.00111	0.00111	1109.937	1109.937	-0.00035275	79.05%	0.14%	21.09%
55	20.09	2.0089E-05	42.58986	3612.209	26764278780	0.001071	0.001071	1071.115	1071.116	-0.00047034	79.88%	0.14%	20.26%
60	19.62	1.9617E-05	42.58986	3612.209	26764278780	0.001036	0.001036	1036.143	1036.143	-0.00058743	80.63%	0.13%	19.50%
65	19.18	1.91803E-05	42.58986	3612.209	26764278780	0.001004	0.001004	1004.41	1004.411	-0.00069986	81.33%	0.13%	18.80%
70	18.77	1.87744E-05	42.58986	3612.209	26764278780	0.000975	0.000975	975.4423	975.4431	-0.00080476	81.97%	0.13%	18.16%
75	18.40	1.83955E-05	42.58986	3612.209	26764278780	0.000949	0.000949	948.8449	948.8458	-0.00090077	82.57%	0.13%	17.56%
80	18.04	1.80403E-05	42.58986	3612.209	26764278780	0.000924	0.000924	924.2964	924.2974	-0.00098736	83.13%	0.13%	17.00%
85	17.71	1.77055E-05	42.58986	3612.209	26764278780	0.000901	0.000901	901.4951	901.4951	-1.1747E-07	83.65%	0.13%	16.48%
90	17.39	1.73891E-05	42.58986	3612.209	26764278780	0.00088	0.00088	880.2397	880.2397	-1.3136E-07	84.14%	0.12%	15.99%
95	17.09	1.70906E-05	42.58986	3612.209	26764278780	0.00086	0.00086	860.4381	860.4381	-1.4376E-07	84.59%	0.12%	15.53%
100	16.81	1.68058E-05	42.58986	3612.209	26764278780	0.000842	0.000842	841.7727	841.7727	-1.5574E-07	85.03%	0.12%	15.09%
105	16.53	1.65348E-05	42.58986	3612.209	26764278780	0.000824	0.000824	824.2197	824.2197	-1.5429E-05	85.44%	0.12%	14.68%
110	16.28	1.62753E-05	42.58986	3612.209	26764278780	0.000808	0.000808	807.5898	807.5907	-0.00082618	85.83%	0.12%	14.29%
115	16.03	1.60272E-05	42.58986	3612.209	26764278780	0.000792	0.000792	791.8553	791.8553	-1.0215E-07	86.20%	0.12%	13.92%
120	15.79	1.57895E-05	42.58986	3612.209	26764278780	0.000777	0.000777	776.9278	776.9278	-1.4453E-07	86.56%	0.12%	13.56%
125	15.56	1.55596E-05	42.58986	3612.209	26764278780	0.000763	0.000763	762.6296	762.6296	-1.3941E-07	86.89%	0.11%	13.22%
130	15.34	1.53384E-05	42.58986	3612.209	26764278780	0.000749	0.000749	748.9913	748.9913	-1.2331E-07	87.22%	0.11%	12.89%
135	15.12	1.5125E-05	42.58986	3612.209	26764278780	0.000736	0.000736	735.9512	735.9512	-1.0925E-07	87.53%	0.11%	12.58%
140	14.92	1.49188E-05	42.58986	3612.209	26764278780	0.000723	0.000723	723.4542	723.4552	-0.00099169	87.83%	0.11%	12.28%
145	14.72	1.47191E-05	42.58986	3612.209	26764278780	0.000711	0.000711	711.4509	711.4518	-0.00092132	88.11%	0.11%	12.00%
150	14.53	1.45254E-05	42.58986	3612.209	26764278780	0.0007	0.0007	699.8965	699.8974	-0.0008569	88.39%	0.11%	11.72%
155	14.34	1.43372E-05	42.58986	3612.209	26764278780	0.000689	0.000689	688.7506	688.7514	-0.00079787	88.66%	0.11%	11.45%
160	14.15	1.41539E-05	42.58986	3612.209	26764278780	0.000678	0.000678	677.9762	677.9769	-0.00074369	88.91%	0.11%	11.19%
165	13.98	1.39751E-05	42.58986	3612.209	26764278780	0.000668	0.000668	667.5395	667.5402	-0.00069393	89.16%	0.11%	10.94%
170	13.80	1.38003E-05	42.58986	3612.209	26764278780	0.000657	0.000657	657.4096	657.4103	-0.00064816	89.40%	0.10%	10.70%
175	13.63	1.36255E-05	42.58986	3612.209	26764278780	0.000647	0.000647	647.3404	647.341	-0.0006067	89.64%	0.10%	10.46%
180	13.45	1.34538E-05	42.58986	3612.209	26764278780	0.000638	0.000638	637.5195	637.5201	-0.00056845	89.88%	0.10%	10.22%
185	13.28	1.3285E-05	42.58986	3612.209	26764278780	0.000628	0.000628	627.9216	627.9221	-0.00053314	90.11%	0.10%	9.99%
190	13.12	1.31186E-05	42.58986	3612.209	26764278780	0.000619	0.000619	618.5229	618.5234	-0.0005005	90.33%	0.10%	9.77%
195	12.95	1.29544E-05	42.58986	3612.209	26764278780	0.000609	0.000609	609.3013	609.3018	-0.00047031	90.55%	0.10%	9.55%
200	12.79	1.27919E-05	42.58986	3612.209	26764278780	0.0006	0.0006	600.2357	600.2362	-0.00044234	90.77%	0.10%	9.33%

Appendix C2 – f

dpi	Top	Bottom	p(x)	dInteg	Top	Bottom	f(x)
0	0	0	1.00E-06	0	0	0	1.00E-11
0.2	0.003733765	0.000747	0.00095	7.6E-06	1.52016E-06	1.52016E-06	1.88E-09
0.4	0.00803727	0.002354	0.002045	0.000131	2.76984E-05	2.76984E-05	3.42E-08
0.6	0.012958958	0.004946	0.003298	0.000712	0.000170153	0.000170153	2.1E-07
0.8	0.018545892	0.008655	0.004719	0.002416	0.0006534	0.0006534	8.06E-07
1	0.024842969	0.013624	0.006322	0.006322	0.001917715	0.001917715	2.37E-06
1.2	0.031892058	0.020002	0.008115	0.014023	0.00472236	0.00472236	5.83E-06
1.4	0.039731081	0.027948	0.01011	0.027742	0.01027074	0.01027074	1.27E-05
1.6	0.048393046	0.037627	0.012314	0.050439	0.020358496	0.020358496	2.51E-05
1.8	0.057905047	0.049208	0.014735	0.085932	0.037544925	0.037544925	4.63E-05
2	0.068287268	0.062865	0.017376	0.139012	0.065347269	0.065347269	8.06E-05
2.2	0.079551988	0.078776	0.020243	0.215546	0.108456549	0.108456549	0.000134
2.4	0.091702638	0.097116	0.023335	0.32258	0.172972521	0.172972521	0.000213
2.6	0.104732909	0.118063	0.02665	0.468409	0.266654251	0.266654251	0.000329
2.8	0.118625955	0.141788	0.030186	0.662637	0.399181599	0.399181599	0.000493
3	0.13335371	0.168459	0.033933	0.916201	0.582421716	0.582421716	0.000719
3.2	0.14887634	0.198234	0.037883	1.241359	0.830693469	0.830693469	0.001025
3.4	0.165141859	0.231263	0.042022	1.651641	1.16102164	1.16102164	0.001433
3.6	0.18208593	0.26768	0.046334	2.161751	1.593371758	1.593371758	0.001966
3.8	0.199631865	0.307606	0.050799	2.78742	2.15085568	2.15085568	0.002654
4	0.217690849	0.351144	0.055394	3.545209	2.859897482	2.859897482	0.003529
4.2	0.236162382	0.398377	0.060094	4.452258	3.750349012	3.750349012	0.004628
4.4	0.254934964	0.449364	0.064871	5.525978	4.855544552	4.855544552	0.005991
4.6	0.273887009	0.504141	0.069694	6.7837	6.212284524	6.212284524	0.007665
4.8	0.292887996	0.562719	0.074529	8.242273	7.860739072	7.860739072	0.009699
5	0.311799832	0.625079	0.079341	9.917623	9.844263632	9.844263632	0.012147
5.2	0.330478422	0.691174	0.084094	11.82428	12.20912034	12.20912034	0.015065
5.4	0.348775417	0.760929	0.08875	13.9749	15.00410119	15.00410119	0.018513
5.6	0.36654012	0.834238	0.09327	16.37975	18.28005139	18.28005139	0.022556
5.8	0.383621506	0.910962	0.097617	19.04621	22.08929387	22.08929387	0.027256
6	0.39987033	0.990936	0.101752	21.97833	26.48495925	26.48495925	0.03268
6.2	0.415141275	1.073964	0.105637	25.17634	31.52022823	31.52022823	0.038893
6.4	0.429295101	1.159823	0.109239	28.63634	37.24749677	37.24749677	0.04596
6.6	0.442200757	1.248263	0.112523	32.3499	43.7174774	43.7174774	0.053943
6.8	0.453737395	1.339011	0.115459	36.30388	50.97825281	50.97825281	0.062902
7	0.463796258	1.43177	0.118018	40.48024	59.0743004	59.0743004	0.072891
7.2	0.472282403	1.526227	0.120178	44.85604	68.0455088	68.0455088	0.083961
7.4	0.479116198	1.62205	0.121917	49.4035	77.92620862	77.92620862	0.096153
7.6	0.484234596	1.718897	0.123219	54.09016	88.74424114	88.74424114	0.109501
7.8	0.487592116	1.816415	0.124073	58.87924	100.5200887	100.5200887	0.124031
8	0.489161554	1.914247	0.124473	63.73001	113.2660903	113.2660903	0.139758
8.2	0.488934363	2.012034	0.124415	68.59837	126.9857649	126.9857649	0.156687
8.4	0.486920732	2.109418	0.123902	73.43749	141.6732627	141.6732627	0.17481
8.6	0.483149344	2.206048	0.122943	78.1985	157.3129635	157.3129635	0.194108
8.8	0.477666819	2.301582	0.121548	82.83136	173.8792353	173.8792353	0.214549
9	0.470536863	2.395689	0.119733	87.28566	191.3363664	191.3363664	0.236089
9.2	0.461839146	2.488057	0.11752	91.51155	209.6386768	209.6386768	0.258672
9.4	0.451667922	2.57839	0.114932	95.46068	228.7308119	228.7308119	0.28223
9.6	0.44013044	2.666417	0.111996	99.08703	248.5482175	248.5482175	0.306682
9.8	0.427345166	2.751886	0.108743	102.3478	269.0177873	269.0177873	0.33194

Appendix C2 – f

dpi	Top	Bottom	p(x)	dInteg	Top	Bottom	f(x)
10	0.413439875	2.834574	0.105204	105.2044	290.0586747	290.0586747	0.357902
10.2	0.398549632	2.914283	0.101415	107.6229	311.5832514	311.5832514	0.384461
10.4	0.38281473	2.990846	0.097412	109.5747	333.4981946	333.4981946	0.411502
10.6	0.3663786	3.064122	0.093229	111.0374	355.7056801	355.7056801	0.438904
10.8	0.349385767	3.133999	0.088905	111.9949	378.1046562	378.1046562	0.466541
11	0.33197986	3.200395	0.084476	112.4376	400.5921722	400.5921722	0.494289
11.2	0.314301733	3.263256	0.079978	112.3628	423.0647315	423.0647315	0.522018
11.4	0.296487722	3.322553	0.075445	111.7746	445.4196432	445.4196432	0.549601
11.6	0.278668065	3.378287	0.07091	110.6835	467.5563423	467.5563423	0.576915
11.8	0.26096551	3.43048	0.066406	109.1066	489.3776527	489.3776527	0.603841
12	0.243494124	3.479179	0.06196	107.0666	510.7909682	510.7909682	0.630262
12.2	0.22635832	3.52445	0.057599	104.5918	531.7093288	531.7093288	0.656074
12.4	0.2096521	3.566381	0.053348	101.7152	552.0523741	552.0523741	0.681175
12.6	0.193458526	3.605072	0.049228	98.47392	571.7471571	571.7471571	0.705476
12.8	0.177849399	3.640642	0.045256	94.90826	590.7288089	590.7288089	0.728897
13	0.162885151	3.673219	0.041448	91.06117	608.9410438	608.9410438	0.751369
13.2	0.148614931	3.702942	0.037817	86.9773	626.3365043	626.3365043	0.772834
13.4	0.135076864	3.729958	0.034372	82.7022	642.8769443	642.8769443	0.793243
13.6	0.122298474	3.754417	0.03112	78.28156	658.5332558	658.5332558	0.812561
13.8	0.110297238	3.776477	0.028066	73.76046	673.285347	673.285347	0.830764
14	0.09908126	3.796293	0.025212	69.18267	687.1218811	687.1218811	0.847836
14.2	0.088650033	3.814023	0.022558	64.59005	700.0398915	700.0398915	0.863776
14.4	0.07899527	3.829822	0.020101	60.02197	712.0442859	712.0442859	0.878588
14.6	0.070101782	3.843843	0.017838	55.51487	723.1472598	723.1472598	0.892288
14.8	0.061948374	3.856232	0.015763	51.10187	733.3676329	733.3676329	0.904899
15	0.05450876	3.867134	0.01387	46.81249	742.7301316	742.7301316	0.916451
15.2	0.047752445	3.876684	0.012151	42.6725	751.2646313	751.2646313	0.926982
15.4	0.041645597	3.885014	0.010597	38.70374	759.0053796	759.0053796	0.936533
15.6	0.036151863	3.892244	0.009199	34.92418	765.9902148	765.9902148	0.945152
15.8	0.031233137	3.898491	0.007948	31.34791	772.2597965	772.2597965	0.952888
16	0.026850272	3.903861	0.006832	27.98532	777.8568611	777.8568611	0.959794
16.2	0.022963707	3.908453	0.005843	24.84327	782.8255148	782.8255148	0.965925
16.4	0.019534043	3.91236	0.004971	21.92529	787.210573	787.210573	0.971335
16.6	0.016522529	3.915665	0.004204	19.23191	791.0569557	791.0569557	0.976081
16.8	0.013891485	3.918443	0.003535	16.76093	794.4091424	794.4091424	0.980218
17	0.011604641	3.920764	0.002953	14.50775	797.310693	797.310693	0.983798
17.2	0.00962742	3.922689	0.00245	12.46571	799.8038347	799.8038347	0.986874
17.4	0.007927148	3.924275	0.002017	10.62641	801.929116	801.929116	0.989496
17.6	0.006473204	3.925569	0.001647	8.980055	803.7251269	803.7251269	0.991713
17.8	0.005237115	3.926617	0.001333	7.515776	805.2282821	805.2282821	0.993567
18	0.004192609	3.927455	0.001067	6.221909	806.4726638	806.4726638	0.995103
18.2	0.00331562	3.928119	0.000844	5.086284	807.4899205	807.4899205	0.996358
18.4	0.002584252	3.928635	0.000658	4.096472	808.309215	808.309215	0.997369
18.6	0.001978727	3.929031	0.000504	3.240011	808.9572171	808.9572171	0.998168
18.8	0.001481295	3.929327	0.000377	2.504592	809.4581354	809.4581354	0.998786
19	0.001076134	3.929543	0.000274	1.87823	809.8337814	809.8337814	0.99925
19.2	0.000749228	3.929692	0.000191	1.349396	810.1036607	810.1036607	0.999583
19.4	0.000488248	3.92979	0.000124	0.907125	810.2850856	810.2850856	0.999807
19.6	0.000282411	3.929847	7.19E-05	0.541093	810.3933042	810.3933042	0.99994
19.8	0.000122356	3.929871	3.11E-05	0.24168	810.4416403	810.4416403	1
20	2.10699E-17	3.929871	5.36E-18	4.29E-14	810.4416403	810.4416403	1

dpi	Top	Bottom	p(x)	dInteg	dInteg	Top	Bottom	dpi_bar
1	0.024843	0	1.00E-06	0.000001	0	0	0	1.00E-10
1.19	0.031521	0.005989	0.008049	0.013563	0.011397529	0.002577	1.791664	0.001438317
1.38	0.038911	0.013382	0.009935	0.026111	0.018920889	0.007538	3.63557	0.002073413
1.57	0.04704	0.02232	0.012011	0.046482	0.029606216	0.01637	5.525359	0.002962626
1.76	0.055934	0.032947	0.014282	0.077862	0.044239759	0.031163	7.454212	0.004180636
1.95	0.065609	0.045413	0.016753	0.124218	0.063701493	0.054765	9.41492	0.005816805
2.14	0.07608	0.059868	0.019426	0.190381	0.088963167	0.090937	11.39996	0.00797697
2.33	0.087349	0.076464	0.022304	0.282125	0.121083857	0.144541	13.4016	0.010785352
2.52	0.099416	0.095353	0.025385	0.406231	0.161202811	0.221725	15.41195	0.014386556
2.71	0.112269	0.116684	0.028666	0.570535	0.210529386	0.330126	17.42308	0.018947652
2.9	0.125888	0.140603	0.032144	0.783957	0.270329972	0.479078	19.4271	0.024660307
3.09	0.140243	0.167249	0.035809	1.056508	0.34191184	0.679815	21.41625	0.031742942
3.28	0.155297	0.196756	0.039653	1.399261	0.426603961	0.945674	23.38297	0.040442869
3.47	0.170999	0.229245	0.043662	1.8243	0.525734911	1.292291	25.32	0.051038364
3.66	0.18729	0.264831	0.047822	2.344626	0.640608073	1.73777	27.22045	0.063840622
3.85	0.204102	0.30361	0.052115	2.974027	0.772474467	2.302835	29.07784	0.079195535
4.04	0.221356	0.345668	0.05652	3.726915	0.92250361	3.010949	30.88621	0.097485209
4.23	0.238962	0.39107	0.061016	4.618115	1.091752929	3.888391	32.64013	0.119129152
4.42	0.256824	0.439867	0.065577	5.662623	1.281136324	4.964289	34.33473	0.144585044
4.61	0.274837	0.492086	0.070176	6.87532	1.491392554	6.2706	35.96579	0.174348993
4.8	0.292888	0.547735	0.074785	8.27066	1.723054218	7.842025	37.5297	0.208955176
4.99	0.310859	0.606798	0.079374	9.862326	1.976418091	9.715867	39.0235	0.248974785
5.18	0.328625	0.669237	0.08391	11.66286	2.251517672	11.93181	40.44488	0.295014149
5.37	0.346061	0.734988	0.088362	13.68329	2.548098761	14.53164	41.79216	0.347711976
5.56	0.363037	0.803965	0.092697	15.93273	2.865598868	17.55885	43.06432	0.407735594
5.75	0.379423	0.876056	0.096881	18.418	3.203131248	21.05828	44.26089	0.475776152
5.94	0.395092	0.951123	0.100882	21.14328	3.559474232	25.0755	45.38201	0.552542705
6.13	0.409917	1.029007	0.104667	24.1097	3.933066489	29.65634	46.42834	0.638755165
6.32	0.423776	1.109525	0.108206	27.31509	4.322008678	34.84621	47.40103	0.735136115
6.51	0.436556	1.19247	0.111469	30.75371	4.724071863	40.68941	48.30169	0.842401517
6.7	0.448147	1.277618	0.114429	34.41598	5.136712866	47.22845	49.13231	0.961250384
6.89	0.458453	1.364724	0.11706	38.2884	5.557096587	54.50324	49.89524	1.092353526
7.08	0.467384	1.453527	0.119341	42.35345	5.982125132	62.5504	50.59314	1.23634152
7.27	0.474866	1.543752	0.121251	46.5896	6.408473408	71.40242	51.22889	1.393792102
7.46	0.480834	1.63511	0.122775	50.97142	6.832630675	81.08699	51.80559	1.565217216
7.65	0.48524	1.727306	0.1239	55.46975	7.250947341	91.62625	52.32646	1.751049993
7.84	0.48805	1.820036	0.124617	60.05194	7.659686183	103.0361	52.79485	1.951631961
8.03	0.489242	1.912992	0.124922	64.68227	8.055076972	115.3257	53.21415	2.167200824
8.22	0.488813	2.005866	0.124812	69.32233	8.433373427	128.497	53.58777	2.397879144
8.41	0.486774	2.098353	0.124292	73.93156	8.790911293	142.544	53.91909	2.643664279
8.6	0.483149	2.190151	0.123366	78.46783	9.124166304	157.4529	54.21147	2.904419907
8.79	0.477981	2.280968	0.122046	82.88804	9.42981076	173.2016	54.46815	3.179869451
8.98	0.471322	2.370519	0.120346	87.14881	9.704767487	189.7599	54.69228	3.469591689
9.17	0.46324	2.458534	0.118283	91.2072	9.946259948	207.0892	54.88688	3.773018759
9.36	0.453815	2.544759	0.115876	95.02139	10.15185742	225.1433	55.05484	4.089436756
9.55	0.443137	2.628955	0.113149	98.55136	10.3195142	243.8681	55.19888	4.417988993
9.74	0.431304	2.710903	0.110128	101.7596	10.44760202	263.2024	55.32156	4.757681978
9.93	0.418426	2.790404	0.10684	104.6119	10.53493494	283.0787	55.42526	5.107394036
10.12	0.404615	2.867281	0.103313	107.0776	10.58078622	303.4234	55.5122	5.465886468
10.31	0.389991	2.941379	0.099579	109.1303	10.58489691	324.1581	55.58442	5.831817026

Appendix C2 – dpi_bar

dpi	Top	Bottom	p(x)	dInteg	dInteg	Top	Bottom	dpi_bar
10.5	0.374675	3.012567	0.095669	110.7485	10.54747592	345.2004	55.64377	6.203755454
10.69	0.358792	3.080738	0.091613	111.9157	10.46919185	366.4643	55.69197	6.580200744
10.88	0.342465	3.145806	0.087444	112.6206	10.35115672	387.8622	55.73054	6.959599757
11.07	0.325816	3.207711	0.083193	112.8576	10.19490222	409.3052	55.76086	7.340366775
11.26	0.308966	3.266415	0.078891	112.6264	10.00234896	430.7042	55.78417	7.720903569
11.45	0.292028	3.3219	0.074566	111.9326	9.775769751	451.9714	55.80156	8.099619542
11.64	0.275114	3.374172	0.070247	110.7866	9.517747631	473.0209	55.81399	8.474951509
11.83	0.258327	3.423254	0.065961	109.2043	9.231129806	493.7697	55.82231	8.845382718
12.02	0.241764	3.469189	0.061731	107.2061	8.918978521	514.1388	55.82725	9.209460727
12.21	0.225512	3.512037	0.057582	104.817	8.584519988	534.0541	55.82944	9.565813797
12.4	0.209652	3.551871	0.053532	102.0655	8.231092516	553.4465	55.82944	9.913165536
12.59	0.194255	3.588779	0.049601	98.98377	7.862094907	572.2534	55.82944	10.25002932
12.78	0.179382	3.622862	0.045803	95.60636	7.48093617	590.4186	55.82944	10.57539902
12.97	0.165087	3.654228	0.042153	91.97011	7.090987506	607.893	55.82944	10.88839374
13.16	0.151411	3.682996	0.038661	88.11327	6.695537416	624.6345	55.82944	11.18826279
13.35	0.138391	3.709291	0.035336	84.07497	6.297750669	640.6087	55.82944	11.4743886
13.54	0.126051	3.73324	0.032186	79.89455	5.900631736	655.7887	55.82944	11.74628752
13.73	0.114409	3.754978	0.029213	75.61102	5.506993153	670.1548	55.82944	12.00360861
13.92	0.103473	3.774638	0.026421	71.26245	5.11942914	683.6946	55.82944	12.24613057
14.11	0.093247	3.792355	0.02381	66.88556	4.740294646	696.4029	55.82944	12.47375699
14.3	0.083726	3.808263	0.021379	62.51517	4.37168988	708.2808	55.82944	12.68650999
14.49	0.0749	3.822494	0.019125	58.18387	4.01545023	719.3357	55.82944	12.88452264
14.68	0.066753	3.835177	0.017045	53.92172	3.673141369	729.5808	55.82944	13.06803022
14.87	0.059265	3.846437	0.015133	49.7559	3.346059255	739.0345	55.82944	13.23736061
15.06	0.052412	3.856395	0.013383	45.71063	3.035234619	747.7195	55.82944	13.39292405
15.25	0.046166	3.865167	0.011788	41.80698	2.741441486	755.6628	55.82944	13.53520251
15.44	0.040499	3.872862	0.010341	38.06283	2.465209224	762.8947	55.82944	13.66473878
15.63	0.035378	3.879584	0.009033	34.49287	2.206837551	769.4484	55.82944	13.78212568
15.82	0.030771	3.88543	0.007857	31.10867	1.966413967	775.359	55.82944	13.8879954
16.01	0.026644	3.890493	0.006803	27.91877	1.743833004	780.6636	55.82944	13.98300916
16.2	0.022964	3.894856	0.005863	24.92883	1.538816785	785.4001	55.82944	14.06784752
16.39	0.019695	3.898598	0.005029	22.14185	1.350936327	789.607	55.82944	14.14320114
16.58	0.016806	3.901791	0.004291	19.55832	1.179633119	793.3231	55.82944	14.20976244
16.77	0.014263	3.904501	0.003642	17.17651	1.02424052	796.5867	55.82944	14.26821793
16.96	0.012036	3.906788	0.003073	14.99272	0.884004588	799.4353	55.82944	14.31924148
17.15	0.010095	3.908706	0.002578	13.00148	0.758103993	801.9056	55.82944	14.36348842
17.34	0.00841	3.910304	0.002147	11.1959	0.645668748	804.0328	55.82944	14.40159054
17.53	0.006956	3.911625	0.001776	9.567831	0.545797522	805.8507	55.82944	14.434152
17.72	0.005707	3.91271	0.001457	8.1082	0.457573381	807.3912	55.82944	14.46174601
17.91	0.004641	3.913591	0.001185	6.807194	0.380077838	808.6846	55.82944	14.4849124
18.1	0.003735	3.914301	0.000954	5.654497	0.312403167	809.7589	55.82944	14.50415591
18.29	0.00297	3.914865	0.000758	4.639495	0.25366295	810.6404	55.82944	14.51994514
18.48	0.002328	3.915308	0.000594	3.751457	0.203000895	811.3532	55.82944	14.53271218
18.67	0.001793	3.915648	0.000458	2.979694	0.159597986	811.9194	55.82944	14.54285275
18.86	0.001351	3.915905	0.000345	2.313708	0.122678046	812.359	55.82944	14.55072681
19.05	0.000988	3.916093	0.000252	1.7433	0.091511837	812.6902	55.82944	14.55665965
19.24	0.000692	3.916224	0.000177	1.258677	0.06541981	812.9293	55.82944	14.56094321
19.43	0.000454	3.91631	0.000116	0.850522	0.043773657	813.0909	55.82944	14.56383772
19.62	0.000264	3.916361	6.75E-05	0.510057	0.025996801	813.1879	55.82944	14.56557356
19.81	0.000115	3.916383	2.95E-05	0.229082	0.011563969	813.2314	55.82944	14.56635318
20	-7.52E-18	3.916383	-1.92E-18	-1.54E-14	-7.6856E-16	813.2314	55.82944	14.56635318

Appendix C2 – Alpha

Time	alpha(i)	delta(i)	Product	Sum	Delta	Alpha
0	6.28E+11	0.00278125	1.75E+09		0	
5	6.28E+11	0.002427672	1.53E+09	1.75E+09	0.002781	6.28E+11
10	6.28E+11	0.002185187	1.37E+09	3.27E+09	0.005209	6.28E+11
15	6.28E+11	0.00200493	1.26E+09	4.65E+09	0.007394	6.28E+11
20	6.28E+11	0.001863862	1.17E+09	5.91E+09	0.009399	6.28E+11
25	6.28E+11	0.001749432	1.1E+09	7.08E+09	0.011263	6.28E+11
30	6.28E+11	0.001654114	1.04E+09	8.18E+09	0.013012	6.28E+11
35	6.28E+11	0.001573075	9.89E+08	9.22E+09	0.014666	6.28E+11
40	6.28E+11	0.001503044	9.45E+08	1.02E+10	0.01624	6.28E+11
45	6.28E+11	0.001441719	9.06E+08	1.11E+10	0.017743	6.28E+11
50	6.28E+11	0.001387421	8.72E+08	1.21E+10	0.019184	6.28E+11
55	6.28E+11	0.001338894	8.41E+08	1.29E+10	0.020572	6.28E+11
60	6.29E+11	0.001295101	8.14E+08	1.38E+10	0.021911	6.28E+11
65	6.29E+11	0.001254571	7.89E+08	1.46E+10	0.023206	6.28E+11
70	6.3E+11	0.00121707	7.67E+08	1.54E+10	0.02446	6.28E+11
75	6.32E+11	0.001181736	7.47E+08	1.61E+10	0.025677	6.29E+11
80	6.35E+11	0.001149712	7.31E+08	1.69E+10	0.026859	6.29E+11
85	6.4E+11	0.00111753	7.15E+08	1.76E+10	0.028009	6.29E+11
90	6.43E+11	0.001085857	6.98E+08	1.83E+10	0.029126	6.29E+11
95	6.5E+11	0.001058121	6.88E+08	1.9E+10	0.030212	6.3E+11
100	6.55E+11	0.001031401	6.76E+08	1.97E+10	0.03127	6.31E+11
105	6.67E+11	0.001000742	6.67E+08	2.04E+10	0.032302	6.31E+11
110	6.74E+11	0.000975089	6.57E+08	2.11E+10	0.033302	6.32E+11
115	6.82E+11	0.000950022	6.48E+08	2.17E+10	0.034278	6.34E+11
120	7.02E+11	0.000917893	6.44E+08	2.24E+10	0.035228	6.35E+11
125	7.14E+11	0.000892785	6.38E+08	2.3E+10	0.036145	6.37E+11
130	7.28E+11	0.000867877	6.32E+08	2.36E+10	0.037038	6.38E+11
135	7.43E+11	0.000843079	6.27E+08	2.43E+10	0.037906	6.41E+11
140	7.61E+11	0.000818316	6.23E+08	2.49E+10	0.038749	6.43E+11
145	7.81E+11	0.000793524	6.2E+08	2.55E+10	0.039568	6.45E+11
150	8.03E+11	0.000768651	6.17E+08	2.61E+10	0.040361	6.48E+11
155	8.28E+11	0.000743658	6.16E+08	2.68E+10	0.04113	6.51E+11
160	8.57E+11	0.000718516	6.16E+08	2.74E+10	0.041873	6.54E+11
165	8.89E+11	0.000693209	6.16E+08	2.8E+10	0.042592	6.57E+11
170	9.25E+11	0.00068269	6.32E+08	2.86E+10	0.043285	6.61E+11
175	9.66E+11	0.000657504	6.35E+08	2.92E+10	0.043968	6.65E+11
180	1.01E+12	0.000632135	6.4E+08	2.99E+10	0.044625	6.7E+11
185	1.07E+12	0.000606599	6.46E+08	3.05E+10	0.045257	6.74E+11
190	1.12E+12	0.000580924	6.53E+08	3.12E+10	0.045864	6.8E+11
195	1.19E+12	0.000555148	6.62E+08	3.18E+10	0.046445	6.85E+11
200	1.19E+12	0.000529315	6.31E+08	3.25E+10	0.047	6.91E+11

Time [s]	q [m/s]	Time [s]	q [m/s]	q [m/s]
0	0.002225	0	2.3	0.0023
5	0.001942	100	0.83	0.00083
10	0.001748	200	0.65	0.00065
15	0.001604	300	0.55	0.00055
20	0.001491	400	0.5	0.0005
25	0.0014	500	0.43	0.00043
30	0.001323	600	0.35	0.00035
35	0.001258	700	0.33	0.00033
40	0.001202			
45	0.001153			
50	0.00111			
55	0.001071			
60	0.001036			
65	0.001004			
70	0.000975			
75	0.000949			
80	0.000924			
85	0.000901			
90	0.00088			
95	0.00086			
100	0.000842			
105	0.000824			
110	0.000808			
115	0.000792			
120	0.000777			
125	0.000763			
130	0.000749			
135	0.000736			
140	0.000723			
145	0.000711			
150	0.0007			
155	0.000689			
160	0.000678			
165	0.000668			
170	0.000657			
175	0.000647			
180	0.000638			
185	0.000628			
190	0.000619			
195	0.000609			
200	0.0006			

Appendix C3 - Inputs

Inputs

Concentrations

Phi©	0.01	*Determine Experimentally*
Phi(b)	1.00E-04	

Filter Parameters

Rm	6.00E+11	[1/m]	
Diameter	0.0127	[m]	
Tan(theta)	41		*Determine Experimentally*
Phi	1.00E+03		

Fluid Mechanics

mu	0.001	[kg/m-s]
dP	156,653	[Pa]
rho(l)	1,000	[Kg/m^3]
rho(s)	1,200	[Kg/m^3]
nu	1.E-06	[m^2/s]
u	4.93	[m/s]
Re	62611	
f	0.045	

PSD Parameters

dmin	0	[micron]
dmax	10	[micron]
dp(ave)	5.00	[micron]
a1	100	"adjustment parameter"
a2	5	"mean"
a3	2	"variance"

$$\mu = \frac{d\max}{2} \rightarrow Symmetric$$

$$\mu < \frac{d\max}{2} \rightarrow Skewed\ Left$$

$$\mu > \frac{d\max}{2} \rightarrow Skewed\ Right$$

Numerical

dT	0.5	[s]
dp(0)	0.40166	"microns"

Appendix C3 - Charts

Figure 1 - dpi vs. PSD   **Figure 4 - f vs. t**

Figure 2 - dpi vs. f   **Figure 5 - Delta vs. t**

Figure 3 - dpi vs. dpi_bar   **Figure 6 - J vs. t**

Appendix C3 – Main Sheet

Time	dp	f	dpi_bar	alpha(i)	Alpha	J	Delta(i)	Delta	Rc
[s]	[m]		[m]			[m/s]		[m]	[1/m]
0	4.0166E-07	1.56E-08	1.25578E-12	1.17635E+22	0	0.000261	2.03243E-14	0	0
0.5	7.2953E-07	3.5E-07	1.42499E-11	9.1357E+19	1.18E+22	0.000261	4.5715E-13	2.03243E-14	2.39E+08
1	6.85204E-07	1.43E-07	7.0726E-12	3.70858E+20	5.88E+20	0.000261	1.86933E-13	4.77475E-13	2.81E+08
1.5	6.48101E-07	1.43E-07	7.0726E-12	3.70858E+20	5.27E+20	0.000261	1.86911E-13	6.64407E-13	3.5E+08
2	1.1017E-05	1	6.25431E-06	474251188	4.93E+20	0.000261	1.30453E-06	8.51318E-13	4.19E+08
2.5	0.000005	0.240843	1.5093E-06	8143606911	3.22E+14	0.000261	3.14187E-07	1.30453E-06	4.19E+08
3	0.000005	0.240843	1.5093E-06	8143606911	2.59E+14	0.000261	3.14187E-07	1.61872E-06	4.19E+08
3.5	0.000005	0.240843	1.5093E-06	8143606911	2.17E+14	0.000261	3.14187E-07	1.9329E-06	4.19E+08
4	0.000005	0.240843	1.5093E-06	8143606911	1.87E+14	0.000261	3.14187E-07	2.24709E-06	4.2E+08
4.5	0.000005	0.240843	1.5093E-06	8143606911	1.64E+14	0.000261	3.14187E-07	2.56128E-06	4.2E+08
5	0.000005	0.240843	1.5093E-06	8143606911	1.46E+14	0.000261	3.14187E-07	2.87546E-06	4.2E+08
5.5	0.000005	0.240843	1.5093E-06	8143606911	1.32E+14	0.000261	3.14187E-07	3.18965E-06	4.2E+08
6	0.000005	0.240843	1.5093E-06	8143606911	1.2E+14	0.000261	3.14187E-07	3.50384E-06	4.2E+08
6.5	0.000005	0.240843	1.5093E-06	8143606911	1.1E+14	0.000261	3.14187E-07	3.81803E-06	4.2E+08
7	0.000005	0.240843	1.5093E-06	8143606911	1.02E+14	0.000261	3.14187E-07	4.13221E-06	4.2E+08
7.5	0.000005	0.240843	1.5093E-06	8143606911	9.44E+13	0.000261	3.14187E-07	4.4464E-06	4.2E+08
8	0.000005	0.240843	1.5093E-06	8143606911	8.81E+13	0.000261	3.14187E-07	4.76059E-06	4.2E+08
8.5	0.000005	0.240843	1.5093E-06	8143606911	8.27E+13	0.000261	3.14187E-07	5.07477E-06	4.2E+08
9	0.000005	0.240843	1.5093E-06	8143606911	7.78E+13	0.000261	3.14187E-07	5.38896E-06	4.2E+08
9.5	0.000005	0.240843	1.5093E-06	8143606911	7.36E+13	0.000261	3.14187E-07	5.70315E-06	4.2E+08
10	0.000005	0.240843	1.5093E-06	8143606911	6.97E+13	0.000261	3.14187E-07	6.01733E-06	4.2E+08
10.5	0.000005	0.240843	1.5093E-06	8143606911	6.63E+13	0.000261	3.14187E-07	6.33152E-06	4.2E+08
11	0.000005	0.240843	1.5093E-06	8143606911	6.31E+13	0.000261	3.14187E-07	6.64571E-06	4.2E+08
11.5	0.000005	0.240843	1.5093E-06	8143606911	6.03E+13	0.000261	3.14187E-07	6.95989E-06	4.2E+08
12	0.000005	0.240843	1.5093E-06	8143606911	5.77E+13	0.000261	3.14187E-07	7.27408E-06	4.2E+08
12.5	0.000005	0.240843	1.5093E-06	8143606911	5.53E+13	0.000261	3.14187E-07	7.58827E-06	4.2E+08
13	0.000005	0.240843	1.5093E-06	8143606911	5.31E+13	0.000261	3.14187E-07	7.90245E-06	4.2E+08
13.5	0.000005	0.240843	1.5093E-06	8143606911	5.11E+13	0.000261	3.14187E-07	8.21664E-06	4.2E+08
14	0.000005	0.240843	1.5093E-06	8143606911	4.92E+13	0.000261	3.14187E-07	8.53083E-06	4.2E+08
14.5	0.000005	0.240843	1.5093E-06	8143606911	4.74E+13	0.000261	3.14187E-07	8.84501E-06	4.2E+08
15	0.000005	0.240843	1.5093E-06	8143606911	4.58E+13	0.000261	3.14187E-07	9.1592E-06	4.2E+08
15.5	0.000005	0.240843	1.5093E-06	8143606911	4.43E+13	0.000261	3.14187E-07	9.47339E-06	4.2E+08
16	0.000005	0.240843	1.5093E-06	8143606911	4.29E+13	0.000261	3.14187E-07	9.78757E-06	4.2E+08
16.5	0.000005	0.240843	1.5093E-06	8143606911	4.15E+13	0.000261	3.14187E-07	1.01018E-05	4.2E+08
17	0.000005	0.240843	1.5093E-06	8143606911	4.03E+13	0.000261	3.14187E-07	1.04159E-05	4.2E+08
17.5	0.000005	0.240843	1.5093E-06	8143606911	3.91E+13	0.000261	3.14187E-07	1.07301E-05	4.2E+08
18	0.000005	0.240843	1.5093E-06	8143606911	3.8E+13	0.000261	3.14187E-07	1.10443E-05	4.2E+08
18.5	0.000005	0.240843	1.5093E-06	8143606911	3.69E+13	0.000261	3.14187E-07	1.13585E-05	4.2E+08
19	0.000005	0.240843	1.5093E-06	8143606911	3.59E+13	0.000261	3.14187E-07	1.16727E-05	4.2E+08
19.5	0.000005	0.240843	1.5093E-06	8143606911	3.5E+13	0.000261	3.14187E-07	1.19869E-05	4.2E+08

Appendix C3 – Main Sheet

Time	dp	f	dpi_bar	alpha(i)	Alpha	J	Delta(i)	Delta	Rc
20	0.000005	0.240843	1.5093E-06	8143606911	3.41E+13	0.000261	3.14187E-07	1.23011E-05	4.2E+08
20.5	0.000005	0.240843	1.5093E-06	8143606911	3.33E+13	0.000261	3.14187E-07	1.26153E-05	4.2E+08
21	0.000005	0.240843	1.5093E-06	8143606911	3.25E+13	0.000261	3.14187E-07	1.29294E-05	4.2E+08
21.5	0.000005	0.240843	1.5093E-06	8143606911	3.17E+13	0.000261	3.14187E-07	1.32436E-05	4.2E+08
22	0.000005	0.240843	1.5093E-06	8143606911	3.09E+13	0.000261	3.14187E-07	1.35578E-05	4.2E+08
22.5	0.000005	0.240843	1.5093E-06	8143606911	3.02E+13	0.000261	3.14187E-07	1.3872E-05	4.2E+08
23	0.000005	0.240843	1.5093E-06	8143606911	2.96E+13	0.000261	3.14187E-07	1.41862E-05	4.2E+08
23.5	0.000005	0.240843	1.5093E-06	8143606911	2.89E+13	0.000261	3.14187E-07	1.45004E-05	4.2E+08
24	0.000005	0.240843	1.5093E-06	8143606911	2.83E+13	0.000261	3.14187E-07	1.48146E-05	4.2E+08
24.5	0.000005	0.240843	1.5093E-06	8143606911	2.77E+13	0.000261	3.14187E-07	1.51287E-05	4.2E+08
25	0.000005	0.240843	1.5093E-06	8143606911	2.72E+13	0.000261	3.14187E-07	1.54429E-05	4.2E+08
25.5	0.000005	0.240843	1.5093E-06	8143606911	2.66E+13	0.000261	3.14187E-07	1.57571E-05	4.2E+08
26	0.000005	0.240843	1.5093E-06	8143606911	2.61E+13	0.000261	3.14187E-07	1.60713E-05	4.2E+08
26.5	0.000005	0.240843	1.5093E-06	8143606911	2.56E+13	0.000261	3.14187E-07	1.63855E-05	4.2E+08
27	0.000005	0.240843	1.5093E-06	8143606911	2.51E+13	0.000261	3.14187E-07	1.66997E-05	4.2E+08
27.5	0.000005	0.240843	1.5093E-06	8143606911	2.47E+13	0.000261	3.14187E-07	1.70139E-05	4.2E+08
28	0.000005	0.240843	1.5093E-06	8143606911	2.42E+13	0.000261	3.14187E-07	1.73281E-05	4.2E+08
28.5	0.000005	0.240843	1.5093E-06	8143606911	2.38E+13	0.000261	3.14187E-07	1.76422E-05	4.2E+08
29	0.000005	0.240843	1.5093E-06	8143606911	2.34E+13	0.000261	3.14187E-07	1.79564E-05	4.2E+08
29.5	0.000005	0.240843	1.5093E-06	8143606911	2.3E+13	0.000261	3.14187E-07	1.82706E-05	4.2E+08
30	0.000005	0.240843	1.5093E-06	8143606911	2.26E+13	0.000261	3.14187E-07	1.85848E-05	4.2E+08
30.5	0.000005	0.240843	1.5093E-06	8143606911	2.22E+13	0.000261	3.14187E-07	1.8899E-05	4.2E+08
31	0.000005	0.240843	1.5093E-06	8143606911	2.18E+13	0.000261	3.14187E-07	1.92132E-05	4.2E+08
31.5	0.000005	0.240843	1.5093E-06	8143606911	2.15E+13	0.000261	3.14187E-07	1.95274E-05	4.2E+08
32	0.000005	0.240843	1.5093E-06	8143606911	2.11E+13	0.000261	3.14187E-07	1.98416E-05	4.2E+08
32.5	0.000005	0.240843	1.5093E-06	8143606911	2.08E+13	0.000261	3.14187E-07	2.01557E-05	4.2E+08
33	0.000005	0.240843	1.5093E-06	8143606911	2.05E+13	0.000261	3.14187E-07	2.04699E-05	4.2E+08
33.5	0.000005	0.240843	1.5093E-06	8143606911	2.02E+13	0.000261	3.14187E-07	2.07841E-05	4.2E+08
34	0.000005	0.240843	1.5093E-06	8143606911	1.99E+13	0.000261	3.14187E-07	2.10983E-05	4.2E+08
34.5	0.000005	0.240843	1.5093E-06	8143606911	1.96E+13	0.000261	3.14187E-07	2.14125E-05	4.2E+08
35	0.000005	0.240843	1.5093E-06	8143606911	1.93E+13	0.000261	3.14187E-07	2.17267E-05	4.2E+08
35.5	0.000005	0.240843	1.5093E-06	8143606911	1.9E+13	0.000261	3.14187E-07	2.20409E-05	4.2E+08
36	0.000005	0.240843	1.5093E-06	8143606911	1.88E+13	0.000261	3.14187E-07	2.2355E-05	4.2E+08
36.5	0.000005	0.240843	1.5093E-06	8143606911	1.85E+13	0.000261	3.14187E-07	2.26692E-05	4.2E+08
37	0.000005	0.240843	1.5093E-06	8143606911	1.83E+13	0.000261	3.14187E-07	2.29834E-05	4.2E+08
37.5	0.000005	0.240843	1.5093E-06	8143606911	1.8E+13	0.000261	3.14187E-07	2.32976E-05	4.2E+08
38	0.000005	0.240843	1.5093E-06	8143606911	1.78E+13	0.000261	3.14187E-07	2.36118E-05	4.2E+08
38.5	0.000005	0.240843	1.5093E-06	8143606911	1.75E+13	0.000261	3.14187E-07	2.3926E-05	4.2E+08
39	0.000005	0.240843	1.5093E-06	8143606911	1.73E+13	0.000261	3.14187E-07	2.42402E-05	4.2E+08
39.5	0.000005	0.240843	1.5093E-06	8143606911	1.71E+13	0.000261	3.14187E-07	2.45544E-05	4.2E+08
40	0.000005	0.240843	1.5093E-06	8143606911	1.69E+13	0.000261	3.14187E-07	2.48685E-05	4.2E+08

Appendix C3 – dpi

Time	dp(i)	dp(i)	C1	C2	C3	q	q	Diff	C1*dp	C2*dp^2	C3*dp^3
0	0.40	4.0166E-07	11.33734	108.9999	3.95886E+15	0.000261	0.000261	3.77429E-07	1.74%	0.00%	98.26%
0.5	0.73	7.2953E-07	11.33734	108.9999	3.95886E+15	0.000261	0.001545	-1284.37784	0.54%	0.00%	99.46%
1	0.69	6.85204E-07	11.33734	108.9999	3.95886E+15	0.000261	0.001281	-1020.39489	0.61%	0.00%	99.39%
1.5	0.65	6.48101E-07	11.33734	108.9999	3.95886E+15	0.000261	0.001085	-824.111471	0.68%	0.00%	99.32%
2	11.02	1.1017E-05	11.33734	108.9999	3.95886E+15	0.000261	5.293767	-5293506	0.00%	0.00%	100.00%
2.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
3	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
3.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
4	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
4.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
5.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
6	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
6.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
7	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
7.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
8	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
8.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
9	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
9.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
10	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
10.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
11	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
11.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
12	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
12.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
13	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
13.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
14	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
14.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
15	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
15.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
16	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
16.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
17	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
17.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
18	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
18.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
19	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
19.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
20	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%

Appendix C3 - dpi

Time	dp(i)	dp(i)	C1	C2	C3	q	q	Diff	C1*dp	C2*dp^2	C3*dp^3
20.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
21	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
21.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
22	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
22.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
23	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
23.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
24	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
24.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
25	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
25.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
26	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
26.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
27	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
27.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
28	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
28.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
29	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
29.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
30	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
30.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
31	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
31.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
32	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
32.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
33	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
33.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
34	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
34.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
35	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
35.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
36	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
36.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
37	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
37.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
38	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
38.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
39	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
39.5	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%
40	5.00	0.000005	11.33734	108.9999	3.95886E+15	0.000261	0.494914	-494653.203	0.01%	0.00%	99.99%

Appendix C3 - f

dpi	Top	Bottom	p(x)	dInteg	Top	Bottom	f(x)
0	0	0	1.00E-06	0	0	0	1.00E-11
0.1	2.42384E-05	2.42E-06	3.67E-05	3.67E-08	3.66532E-09	3.66532E-09	2.44E-11
0.2	6.05745E-05	8.48E-06	9.16E-05	7.33E-07	7.69457E-08	7.69457E-08	5.11E-10
0.3	0.000112991	1.98E-05	0.000171	4.61E-06	5.3828E-07	5.3828E-07	3.58E-09
0.4	0.000186444	3.84E-05	0.000282	1.8E-05	2.3427E-06	2.3427E-06	1.56E-08
0.5	0.000287028	6.71E-05	0.000434	5.43E-05	7.76822E-06	7.76822E-06	5.16E-08
0.6	0.000422149	0.000109	0.000638	0.000138	2.1557E-05	2.1557E-05	1.43E-07
0.7	0.000600709	0.000169	0.000908	0.000312	5.27148E-05	5.27148E-05	3.5E-07
0.8	0.000833291	0.000253	0.00126	0.000645	0.000117232	0.000117232	7.79E-07
0.9	0.001132333	0.000366	0.001712	0.001248	0.000242059	0.000242059	1.61E-06
1	0.0015123	0.000517	0.002287	0.002287	0.000470749	0.000470749	3.13E-06
1.1	0.001989826	0.000716	0.003009	0.004005	0.000871247	0.000871247	5.79E-06
1.2	0.002583823	0.000975	0.003907	0.006752	0.001546419	0.001546419	1.03E-05
1.3	0.00331555	0.001306	0.005014	0.011015	0.002647942	0.002647942	1.76E-05
1.4	0.004208616	0.001727	0.006364	0.017464	0.004394292	0.004394292	2.92E-05
1.5	0.005288917	0.002256	0.007998	0.026993	0.007093576	0.007093576	4.71E-05
1.6	0.006584488	0.002914	0.009957	0.040784	0.011171976	0.011171976	7.42E-05
1.7	0.008125247	0.003727	0.012287	0.060366	0.017208561	0.017208561	0.000114
1.8	0.009942645	0.004721	0.015035	0.087685	0.025977103	0.025977103	0.000173
1.9	0.012069185	0.005928	0.018251	0.125183	0.038495441	0.038495441	0.000256
2	0.014537824	0.007382	0.021984	0.175872	0.056082666	0.056082666	0.000373
2.1	0.017381247	0.00912	0.026284	0.243415	0.080424134	0.080424134	0.000534
2.2	0.020631022	0.011183	0.031198	0.332198	0.11364391	0.11364391	0.000755
2.3	0.024316637	0.013615	0.036772	0.447399	0.158383804	0.158383804	0.001053
2.4	0.028464446	0.016461	0.043044	0.595038	0.217887562	0.217887562	0.001448
2.5	0.033096535	0.019771	0.050048	0.782007	0.296088233	0.296088233	0.001968
2.6	0.038229538	0.023594	0.057811	1.016078	0.397696027	0.397696027	0.002643
2.7	0.043873445	0.027981	0.066345	1.305873	0.528283333	0.528283333	0.003511
2.8	0.050030436	0.032984	0.075656	1.660796	0.69436294	0.69436294	0.004615
2.9	0.056693776	0.038654	0.085732	2.090919	0.903454888	0.903454888	0.006004
3	0.063846845	0.045038	0.096549	2.606821	1.164136944	1.164136944	0.007737
3.1	0.071462319	0.052184	0.108065	3.219364	1.486073367	1.486073367	0.009876
3.2	0.079501573	0.060135	0.120222	3.939432	1.880016547	1.880016547	0.012494
3.3	0.087914346	0.068926	0.132944	4.777597	2.357776231	2.357776231	0.015669
3.4	0.096638696	0.07859	0.146137	5.743753	2.932151516	2.932151516	0.019486
3.5	0.105601297	0.08915	0.15969	6.8467	3.616821545	3.616821545	0.024036
3.6	0.114718076	0.100622	0.173476	8.093703	4.426191891	4.426191891	0.029415
3.7	0.123895222	0.113011	0.187354	9.490032	5.375195053	5.375195053	0.035722
3.8	0.133030546	0.126314	0.201168	11.0385	6.479045132	6.479045132	0.043058
3.9	0.14201519	0.140516	0.214755	12.73904	7.752948687	7.752948687	0.051524
4	0.150735635	0.15559	0.227942	14.58827	9.211775847	9.211775847	0.061219
4.1	0.15907598	0.171497	0.240554	16.57922	10.8696979	10.8696979	0.072237
4.2	0.166920414	0.188189	0.252416	18.70102	12.73979973	12.73979973	0.084665
4.3	0.174155825	0.205605	0.263358	20.93878	14.83367738	14.83367738	0.09858
4.4	0.180674458	0.223672	0.273215	23.27355	17.16103284	17.16103284	0.114047
4.5	0.18637654	0.24231	0.281838	25.68247	19.72927941	19.72927941	0.131115
4.6	0.191172779	0.261427	0.289091	28.13892	22.54317175	22.54317175	0.149816
4.7	0.194986657	0.280926	0.294858	30.61304	25.60447531	25.60447531	0.17016
4.8	0.197756433	0.300701	0.299046	33.07214	28.91168904	28.91168904	0.192139
4.9	0.199436779	0.320645	0.301587	35.48146	32.45983455	32.45983455	0.215719
5	0.2	0.340645	0.302439	37.80489	36.2403232	36.2403232	0.240843

Appendix C3 - f

dpi	Top	Bottom	p(x)	dInteg	Top	Bottom	f(x)
5.1	0.199436779	0.360589	0.301587	40.00587	40.24091012	40.24091012	0.26743
5.2	0.197756433	0.380364	0.299046	42.04831	44.44574146	44.44574146	0.295374
5.3	0.194986657	0.399863	0.294858	43.89757	48.83549798	48.83549798	0.324547
5.4	0.191172779	0.41898	0.289091	45.52136	53.38763433	53.38763433	0.354799
5.5	0.18637654	0.437618	0.281838	46.89076	58.07671001	58.07671001	0.385961
5.6	0.180674458	0.455685	0.273215	47.98094	62.87480422	62.87480422	0.417848
5.7	0.174155825	0.473101	0.263358	48.77199	67.75200351	67.75200351	0.450261
5.8	0.166920414	0.489793	0.252416	49.24945	72.67694827	72.67694827	0.48299
5.9	0.15907598	0.505701	0.240554	49.40473	77.61742177	77.61742177	0.515823
6	0.150735635	0.520774	0.227942	49.23542	82.54096343	82.54096343	0.548544
6.1	0.14201519	0.534976	0.214755	48.74524	87.41548767	87.41548767	0.580938
6.2	0.133030546	0.548279	0.201168	47.94401	92.20988885	92.20988885	0.612801
6.3	0.123895222	0.560668	0.187354	46.84725	96.89461421	96.89461421	0.643934
6.4	0.114718076	0.57214	0.173476	45.47573	101.4421875	101.4421875	0.674156
6.5	0.105601297	0.5827	0.15969	43.85481	105.8276687	105.8276687	0.703301
6.6	0.096638696	0.592364	0.146137	42.01369	110.0290375	110.0290375	0.731222
6.7	0.087914346	0.601156	0.132944	39.98454	114.0274913	114.0274913	0.757794
6.8	0.079501573	0.609106	0.120222	37.80162	117.8076531	117.8076531	0.782916
6.9	0.071462319	0.616252	0.108065	35.50032	121.3576854	121.3576854	0.806509
7	0.063846845	0.622637	0.096549	33.11628	124.669313	124.669313	0.828517
7.1	0.056693776	0.628306	0.085732	30.68445	127.7377581	127.7377581	0.848909
7.2	0.050030436	0.633309	0.075656	28.23838	130.5615957	130.5615957	0.867675
7.3	0.043873445	0.637696	0.066345	25.80942	133.1425377	133.1425377	0.884827
7.4	0.038229538	0.641519	0.057811	23.42622	135.4851593	135.4851593	0.900396
7.5	0.033096535	0.644829	0.050048	21.11418	137.5965774	137.5965774	0.914428
7.6	0.028464446	0.647675	0.043044	18.8952	139.4860972	139.4860972	0.926985
7.7	0.024316637	0.650107	0.036772	16.78741	141.1648379	141.1648379	0.938141
7.8	0.020631022	0.65217	0.031198	14.80514	142.6453517	142.6453517	0.94798
7.9	0.017381247	0.653908	0.026284	12.95896	143.9412477	143.9412477	0.956593
8	0.014537824	0.655362	0.021984	11.25582	145.0668301	145.0668301	0.964073
8.1	0.012069185	0.656569	0.018251	9.699312	146.0367613	146.0367613	0.970519
8.2	0.009942645	0.657563	0.015035	8.289941	146.8657554	146.8657554	0.976028
8.3	0.008125247	0.658376	0.012287	7.025525	147.5683079	147.5683079	0.980697
8.4	0.006584488	0.659034	0.009957	5.901573	148.1584652	148.1584652	0.984619
8.5	0.005288917	0.659563	0.007998	4.911696	148.6496348	148.6496348	0.987883
8.6	0.004208616	0.659984	0.006364	4.048019	149.0544367	149.0544367	0.990573
8.7	0.00331555	0.660316	0.005014	3.301576	149.3845943	149.3845943	0.992768
8.8	0.002583823	0.660574	0.003907	2.662679	149.6508622	149.6508622	0.994537
8.9	0.001989826	0.660773	0.003009	2.121256	149.8629878	149.8629878	0.995947
9	0.0015123	0.660924	0.002287	1.667146	150.0297023	150.0297023	0.997055
9.1	0.001132333	0.661037	0.001712	1.290346	150.158737	150.158737	0.997912
9.2	0.000833291	0.661121	0.00126	0.981224	150.2568593	150.2568593	0.998564
9.3	0.000600709	0.661181	0.000908	0.73067	150.3299263	150.3299263	0.99905
9.4	0.000422149	0.661223	0.000638	0.530221	150.3829484	150.3829484	0.999402
9.5	0.000287028	0.661252	0.000434	0.372137	150.4201621	150.4201621	0.99965
9.6	0.000186444	0.66127	0.000282	0.249442	150.4451063	150.4451063	0.999815
9.7	0.000112991	0.661282	0.000171	0.155943	150.4607007	150.4607007	0.999919
9.8	6.05745E-05	0.661288	9.16E-05	0.086214	150.4693221	150.4693221	0.999976
9.9	2.42384E-05	0.66129	3.67E-05	0.035565	150.4728785	150.4728785	1
10	3.84068E-18	0.66129	5.81E-18	5.81E-15	150.4728785	150.4728785	1

Appendix C3 – dpi_bar

dpi	Top	Bottom	p(x)	dInteg	dInteg	Top	Bottom	dpi_bar
0	0	0	1.00E-06	0	0	0	0	1.00E-10
0.1	2.42E-05	2.42E-06	3.67E-05	3.67E-08	3.66532E-07	3.67E-09	0.404371	9.06425E-09
0.2	6.06E-05	8.48E-06	9.16E-05	7.33E-07	3.66402E-06	7.69E-08	0.849634	9.05634E-08
0.3	0.000113	1.98E-05	0.000171	4.61E-06	1.53778E-05	5.38E-07	1.336582	4.02729E-07
0.4	0.000186	3.84E-05	0.000282	1.8E-05	4.51104E-05	2.34E-06	1.865526	1.25578E-06
0.5	0.000287	6.71E-05	0.000434	5.43E-05	0.00010851	7.77E-06	2.436248	3.1886E-06
0.6	0.000422	0.000109	0.000638	0.000138	0.000229814	2.16E-05	3.047963	7.0726E-06
0.7	0.000601	0.000169	0.000908	0.000312	0.000445111	5.27E-05	3.699305	1.42499E-05
0.8	0.000833	0.000253	0.00126	0.000645	0.000806463	0.000117	4.388308	2.67146E-05
0.9	0.001132	0.000366	0.001712	0.001248	0.00138697	0.000242	5.112419	4.73473E-05
1	0.001512	0.000517	0.002287	0.002287	0.002286894	0.000471	5.868517	8.02159E-05
1.1	0.00199	0.000716	0.003009	0.004005	0.003640897	0.000871	6.652945	0.000130957
1.2	0.002584	0.000975	0.003907	0.006752	0.005626434	0.001546	7.461567	0.000207251
1.3	0.003316	0.001306	0.005014	0.011015	0.008473253	0.002648	8.289823	0.000319421
1.4	0.004209	0.001727	0.006364	0.017464	0.012473929	0.004394	9.132811	0.000481154
1.5	0.005289	0.002256	0.007998	0.026993	0.017995223	0.007094	9.98537	0.000710397
1.6	0.006584	0.002914	0.009957	0.040784	0.025490003	0.011172	10.84217	0.001030419
1.7	0.008125	0.003727	0.012287	0.060366	0.03550932	0.017209	11.69782	0.001471091
1.8	0.009943	0.004721	0.015035	0.087685	0.048714123	0.025977	12.54695	0.002070392
1.9	0.012069	0.005928	0.018251	0.125183	0.065885991	0.038495	13.38432	0.00287616
2	0.014538	0.007382	0.021984	0.175872	0.087936126	0.056083	14.20491	0.003948119
2.1	0.017381	0.00912	0.026284	0.243415	0.115911748	0.080424	15.00401	0.005360176
2.2	0.020631	0.011183	0.031198	0.332198	0.150998985	0.113644	15.7773	0.007203
2.3	0.024317	0.013615	0.036772	0.447399	0.194521276	0.158384	16.52091	0.00958687
2.4	0.028464	0.016461	0.043044	0.595038	0.247932324	0.217888	17.23147	0.012644748
2.5	0.033097	0.019771	0.050048	0.782007	0.312802686	0.296088	17.90616	0.016535555
2.6	0.03823	0.023594	0.057811	1.016078	0.390799206	0.397696	18.54273	0.021447547
2.7	0.043873	0.027981	0.066345	1.305873	0.48365669	0.528283	19.13951	0.027601714
2.8	0.05003	0.032984	0.075656	1.660796	0.593141455	0.694363	19.69542	0.03525505
2.9	0.056694	0.038654	0.085732	2.090919	0.721006718	0.903455	20.20992	0.044703546
3	0.063847	0.045038	0.096549	2.606821	0.868940185	1.164137	20.683	0.05628471
3.1	0.071462	0.052184	0.108065	3.219364	1.038504592	1.486073	21.11518	0.070379383
3.2	0.079502	0.060135	0.120222	3.939432	1.231072438	1.880017	21.50738	0.087412625
3.3	0.087914	0.068926	0.132944	4.777597	1.447756615	2.357776	21.86093	0.107853409
3.4	0.096639	0.07859	0.146137	5.743753	1.689339075	2.932152	22.1775	0.132212872
3.5	0.105601	0.08915	0.15969	6.8467	1.956200083	3.616822	22.45903	0.161040886
3.6	0.114718	0.100622	0.173476	8.093703	2.248250962	4.426192	22.70765	0.194920758
3.7	0.123895	0.113011	0.187354	9.490032	2.564873411	5.375195	22.92567	0.234461894
3.8	0.133031	0.126314	0.201168	11.0385	2.904868629	6.479045	23.11548	0.280290369
3.9	0.142015	0.140516	0.214755	12.73904	3.266419372	7.752949	23.27951	0.333037411
4	0.150736	0.15559	0.227942	14.58827	3.6470679	9.211776	23.42021	0.393325918
4.1	0.159076	0.171497	0.240554	16.57922	4.04371233	10.8697	23.53996	0.461755249
4.2	0.16692	0.188189	0.252416	18.70102	4.452623401	12.7398	23.64105	0.538884635
4.3	0.174156	0.205605	0.263358	20.93878	4.869482904	14.83368	23.7257	0.625215664
4.4	0.180674	0.223672	0.273215	23.27355	5.289444236	17.16103	23.79595	0.721174406
4.5	0.186377	0.24231	0.281838	25.68247	5.707214582	19.72928	23.85374	0.82709381
4.6	0.191173	0.261427	0.289091	28.13892	6.117157264	22.54317	23.90081	0.943197039
4.7	0.194987	0.280926	0.294858	30.61304	6.513411842	25.60448	23.93876	1.069582464
4.8	0.197756	0.300701	0.299046	33.07214	6.890028599	28.91169	23.96902	1.206210964
4.9	0.199437	0.320645	0.301587	35.48146	7.241113288	32.45983	23.99285	1.352896178
5	0.2	0.340645	0.302439	37.80489	7.560977306	36.24032	24.01137	1.509298219

Appendix C3 – dpi_bar

dpi	Top	Bottom	p(x)	dInteg	dInteg	Top	Bottom	dpi_bar
5.1	0.199437	0.360589	0.301587	40.00587	7.844288072	40.24091	24.02555	1.674921279
5.2	0.197756	0.380364	0.299046	42.04831	8.08621412	44.44574	24.03622	1.849115381
5.3	0.194987	0.399863	0.294858	43.89757	8.282559467	48.8355	24.04408	2.031082398
5.4	0.191173	0.41898	0.289091	45.52136	8.429882128	53.38763	24.04972	2.21988628
5.5	0.186377	0.437618	0.281838	46.89076	8.525592153	58.07671	24.05363	2.414467276
5.6	0.180674	0.455685	0.273215	47.98094	8.568025374	62.8748	24.05623	2.613659762
5.7	0.174156	0.473101	0.263358	48.77199	8.55648997	67.752	24.05784	2.816213174
5.8	0.16692	0.489793	0.252416	49.24945	8.491284083	72.67695	24.05872	3.020815392
5.9	0.159076	0.505701	0.240554	49.40473	8.373683892	77.61742	24.05908	3.226117868
6	0.150736	0.520774	0.227942	49.23542	8.205902774	82.54096	24.05908	3.430761689
6.1	0.142015	0.534976	0.214755	48.74524	7.991023329	87.41549	24.05908	3.633368131
6.2	0.133031	0.548279	0.201168	47.94401	7.732905131	92.20989	24.05908	3.832644311
6.3	0.123895	0.560668	0.187354	46.84725	7.436072001	96.89461	24.05908	4.027361887
6.4	0.114718	0.57214	0.173476	45.47573	7.105583287	101.4422	24.05908	4.216378826
6.5	0.105601	0.5827	0.15969	43.85481	6.746894164	105.8277	24.05908	4.398658512
6.6	0.096639	0.592364	0.146137	42.01369	6.365710216	110.029	24.05908	4.573285683
6.7	0.087914	0.601156	0.132944	39.98454	5.967841549	114.0275	24.05908	4.739478827
6.8	0.079502	0.609106	0.120222	37.80162	5.559061477	117.8077	24.05908	4.896598805
6.9	0.071462	0.616252	0.108065	35.50032	5.144974361	121.3577	24.05908	5.044153598
7	0.063847	0.622637	0.096549	33.11628	4.730896564	124.6693	24.05908	5.181799255
7.1	0.056694	0.628306	0.085732	30.68445	4.321753703	127.7378	24.05908	5.309337189
7.2	0.05003	0.633309	0.075656	28.23838	3.921996557	130.5616	24.05908	5.426708167
7.3	0.043873	0.637696	0.066345	25.80942	3.535537041	133.1425	24.05908	5.533983351
7.4	0.03823	0.641519	0.057811	23.42622	3.16570481	135.4852	24.05908	5.631352899
7.5	0.033097	0.644829	0.050048	21.11418	2.815224172	137.5966	24.05908	5.719112626
7.6	0.028464	0.647675	0.043044	18.8952	2.486210254	139.4861	24.05908	5.797649293
7.7	0.024317	0.650107	0.036772	16.78741	2.180182698	141.1648	24.05908	5.867425062
7.8	0.020631	0.65217	0.031198	14.80514	1.898094678	142.6454	24.05908	5.928961661
7.9	0.017381	0.653908	0.026284	12.95896	1.640374647	143.9412	24.05908	5.982824738
8	0.014538	0.655362	0.021984	11.25582	1.406978021	145.0668	24.05908	6.029608842
8.1	0.012069	0.656569	0.018251	9.699312	1.197445956	146.0368	24.05908	6.069923405
8.2	0.009943	0.657563	0.015035	8.289941	1.010968396	146.8658	24.05908	6.104380008
8.3	0.008125	0.658376	0.012287	7.025525	0.846448805	147.5683	24.05908	6.133581148
8.4	0.006584	0.659034	0.009957	5.901573	0.702568219	148.1585	24.05908	6.158110654
8.5	0.005289	0.659563	0.007998	4.911696	0.577846604	148.6496	24.05908	6.178525801
8.6	0.004209	0.659984	0.006364	4.048019	0.470699891	149.0544	24.05908	6.19535113
8.7	0.003316	0.660316	0.005014	3.301576	0.379491448	149.3846	24.05908	6.209073915
8.8	0.002584	0.660574	0.003907	2.662679	0.302577119	149.6509	24.05908	6.220141166
8.9	0.00199	0.660773	0.003009	2.121256	0.238343374	149.863	24.05908	6.228958029
9	0.001512	0.660924	0.002287	1.667146	0.185238405	150.0297	24.05908	6.235887412
9.1	0.001132	0.661037	0.001712	1.290346	0.141796312	150.1587	24.05908	6.241250654
9.2	0.000833	0.661121	0.00126	0.981224	0.106654757	150.2569	24.05908	6.245329047
9.3	0.000601	0.661181	0.000908	0.73067	0.078566641	150.3299	24.05908	6.248366028
9.4	0.000422	0.661223	0.000638	0.530221	0.056406487	150.3829	24.05908	6.250569857
9.5	0.000287	0.661252	0.000434	0.372137	0.039172285	150.4202	24.05908	6.25211662
9.6	0.000186	0.66127	0.000282	0.249442	0.025983589	150.4451	24.05908	6.253153411
9.7	0.000113	0.661282	0.000171	0.155943	0.016076649	150.4607	24.05908	6.25380158
9.8	6.06E-05	0.661288	9.16E-05	0.086214	0.008797314	150.4693	24.05908	6.254159922
9.9	2.42E-05	0.66129	3.67E-05	0.035565	0.003592382	150.4729	24.05908	6.254307744
10	3.84E-18	0.66129	5.81E-18	5.81E-15	5.80785E-16	150.4729	24.05908	6.254307744

Appendix C3 - Alpha

Time	alpha(i)	delta(i)	Product	Sum	Delta	Alpha
0	1.18E+22	2.03243E-14	2.39E+08		0	
5	9.14E+19	4.5715E-13	41763880	2.39E+08	2.03E-14	1.18E+22
10	3.71E+20	1.86933E-13	69325575	2.81E+08	4.77E-13	5.88E+20
15	3.71E+20	1.86911E-13	69317569	3.5E+08	6.64E-13	5.27E+20
20	4.74E+08	1.30453E-06	618.675	4.19E+08	8.51E-13	4.93E+20
25	8.14E+09	3.14187E-07	2558.614	4.19E+08	1.3E-06	3.22E+14
30	8.14E+09	3.14187E-07	2558.614	4.19E+08	1.62E-06	2.59E+14
35	8.14E+09	3.14187E-07	2558.614	4.19E+08	1.93E-06	2.17E+14
40	8.14E+09	3.14187E-07	2558.614	4.2E+08	2.25E-06	1.87E+14
45	8.14E+09	3.14187E-07	2558.614	4.2E+08	2.56E-06	1.64E+14
50	8.14E+09	3.14187E-07	2558.614	4.2E+08	2.88E-06	1.46E+14
55	8.14E+09	3.14187E-07	2558.614	4.2E+08	3.19E-06	1.32E+14
60	8.14E+09	3.14187E-07	2558.614	4.2E+08	3.5E-06	1.2E+14
65	8.14E+09	3.14187E-07	2558.614	4.2E+08	3.82E-06	1.1E+14
70	8.14E+09	3.14187E-07	2558.614	4.2E+08	4.13E-06	1.02E+14
75	8.14E+09	3.14187E-07	2558.614	4.2E+08	4.45E-06	9.44E+13
80	8.14E+09	3.14187E-07	2558.614	4.2E+08	4.76E-06	8.81E+13
85	8.14E+09	3.14187E-07	2558.614	4.2E+08	5.07E-06	8.27E+13
90	8.14E+09	3.14187E-07	2558.614	4.2E+08	5.39E-06	7.78E+13
95	8.14E+09	3.14187E-07	2558.614	4.2E+08	5.7E-06	7.36E+13
100	8.14E+09	3.14187E-07	2558.614	4.2E+08	6.02E-06	6.97E+13
105	8.14E+09	3.14187E-07	2558.614	4.2E+08	6.33E-06	6.63E+13
110	8.14E+09	3.14187E-07	2558.614	4.2E+08	6.65E-06	6.31E+13
115	8.14E+09	3.14187E-07	2558.614	4.2E+08	6.96E-06	6.03E+13
120	8.14E+09	3.14187E-07	2558.614	4.2E+08	7.27E-06	5.77E+13
125	8.14E+09	3.14187E-07	2558.614	4.2E+08	7.59E-06	5.53E+13
130	8.14E+09	3.14187E-07	2558.614	4.2E+08	7.9E-06	5.31E+13
135	8.14E+09	3.14187E-07	2558.614	4.2E+08	8.22E-06	5.11E+13
140	8.14E+09	3.14187E-07	2558.614	4.2E+08	8.53E-06	4.92E+13
145	8.14E+09	3.14187E-07	2558.614	4.2E+08	8.85E-06	4.74E+13
150	8.14E+09	3.14187E-07	2558.614	4.2E+08	9.16E-06	4.58E+13
155	8.14E+09	3.14187E-07	2558.614	4.2E+08	9.47E-06	4.43E+13
160	8.14E+09	3.14187E-07	2558.614	4.2E+08	9.79E-06	4.29E+13
165	8.14E+09	3.14187E-07	2558.614	4.2E+08	1.01E-05	4.15E+13
170	8.14E+09	3.14187E-07	2558.614	4.2E+08	1.04E-05	4.03E+13
175	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.07E-05	3.91E+13
180	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.1E-05	3.8E+13
185	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.14E-05	3.69E+13
190	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.17E-05	3.59E+13
195	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.2E-05	3.5E+13
200	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.23E-05	3.41E+13

Appendix C3 - Alpha

Time	alpha(i)	delta(i)	Product	Sum	Delta	Alpha
205	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.26E-05	3.33E+13
210	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.29E-05	3.25E+13
215	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.32E-05	3.17E+13
220	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.36E-05	3.09E+13
225	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.39E-05	3.02E+13
230	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.42E-05	2.96E+13
235	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.45E-05	2.89E+13
240	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.48E-05	2.83E+13
245	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.51E-05	2.77E+13
250	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.54E-05	2.72E+13
255	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.58E-05	2.66E+13
260	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.61E-05	2.61E+13
265	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.64E-05	2.56E+13
270	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.67E-05	2.51E+13
275	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.7E-05	2.47E+13
280	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.73E-05	2.42E+13
285	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.76E-05	2.38E+13
290	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.8E-05	2.34E+13
295	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.83E-05	2.3E+13
300	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.86E-05	2.26E+13
305	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.89E-05	2.22E+13
310	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.92E-05	2.18E+13
315	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.95E-05	2.15E+13
320	8.14E+09	3.14187E-07	2558.613	4.2E+08	1.98E-05	2.11E+13
325	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.02E-05	2.08E+13
330	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.05E-05	2.05E+13
335	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.08E-05	2.02E+13
340	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.11E-05	1.99E+13
345	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.14E-05	1.96E+13
350	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.17E-05	1.93E+13
355	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.2E-05	1.9E+13
360	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.24E-05	1.88E+13
365	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.27E-05	1.85E+13
370	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.3E-05	1.83E+13
375	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.33E-05	1.8E+13
380	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.36E-05	1.78E+13
385	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.39E-05	1.75E+13
390	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.42E-05	1.73E+13
395	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.46E-05	1.71E+13
400	8.14E+09	3.14187E-07	2558.613	4.2E+08	2.49E-05	1.69E+13

Appendix C3 – Bench Marking

Time	qp	Time	qp
[s]	[m/s]	[s]	[m/s]
0	0.000261	30	0.000187
		60	0.00015
		90	0.000111
		120	9.36E-05
		150	7.85E-05
		180	6.44E-05
		210	5.45E-05
		240	4.78E-05
		270	3.9E-05
		300	3.66E-05
		330	3.27E-05
		360	3.04E-05
		390	2.85E-05
		420	2.8E-05
		450	2.71E-05
		480	2.59E-05
		510	2.5E-05
		540	2.38E-05
		570	2.47E-05
		600	2.45E-05
		630	2.36E-05
		660	2.29E-05
		690	2.2E-05
		720	2.37E-05
		750	2.26E-05

Appendix D – Filtration Drawings and Equipment Specifications

Appendix D1 – Filtration Drawings
Appendix D2 – Equipment Specifications

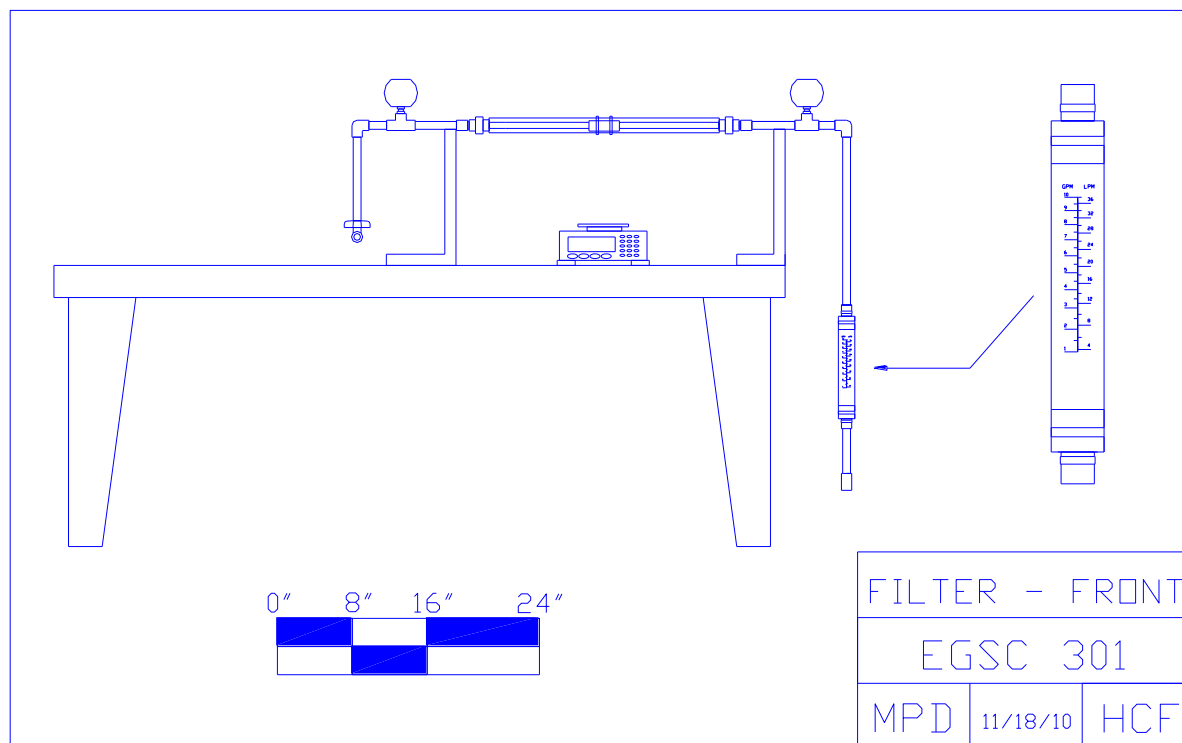**Figure D.1 – Three Panel View of Pump**
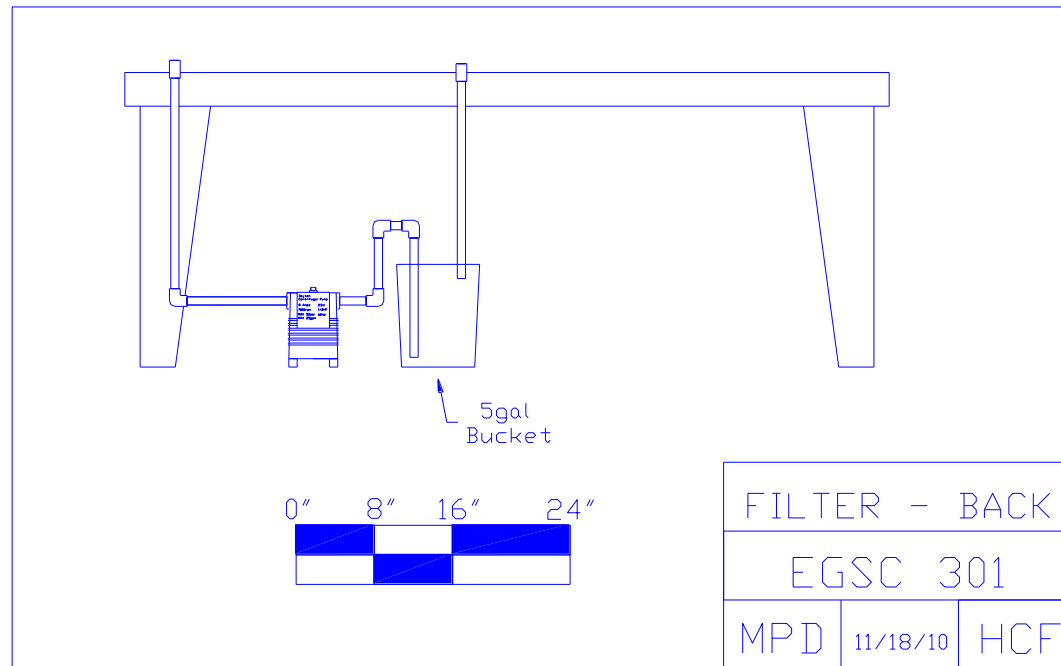
Figure D.2 – Front View of Filtration System

Figure D.3 – Back View of Filtration System

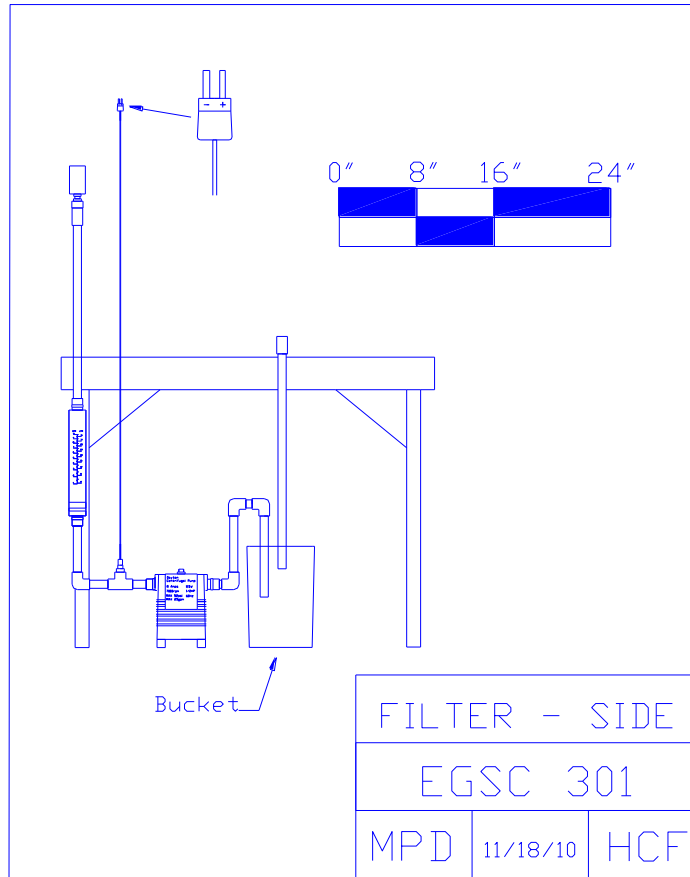**Figure D.4 – Side View of Filtration System**

Appendix D2 – Equipment Specifications

Filter Unit

Parameter	Value	Comments
Manufacturer and Designation	Mott, 1403212-01-001	
Length	24"	
ID	0.5"	
OD	.625"	
Material	Stainless Steel 314	
Nominal Pore Size	0.1 microns	

Table D.1 – Filter Unit Specifications

Pump

Parameter	Value	Comments
Manufacturer and Designation	Dayton, 4CB57	
Pump Type	Centrifugal	
HP Rating	0.5	
Flow Range	0 to 840 gph	
Pressure Range	0 to 44 psi	

Table D.2 – Pump Specifications

Flow Meter

Parameter	Value	Comments
Manufacturer and Designation	Omega, FL46300	
Sensor Range	0 to 20 gpm	
Accuracy of Device	+/- 5%	

Table D.3 – Flow Meter Specifications

Pressure Gauge

Parameter	Value	Comments
Manufacturer and Designation	Omega, DPG1000B-100G	
Sensor Range	0 to 100 psig	
Accuracy of Device	+/- .25%	

Table D 4 – Pressure Gauge Specifications

Microspheres

The two microsphere sizes utilized are as follows:
Larger Micro-Spheres (L-MS)
Particle Description:
Catalog number: BB01N
Polymer Description: PMMA (Dry)

Characteristics:
Mean Diameter #1 (microns): 6.50 (Laser Diffraction)
Standard Deviation #1 (microns): 3 to 10
Solids Content (wt. %): 100

Calculated Data:
Density of Solid Polymer (g/ml): 1.19

Number of Microspheres per ml: 6.954e+9
Number of Microspheres/gram: 5.844e+9
Number of Microspheres per ml: 6.954e+9
Surface Area (microns/gram): 7.757e+11

Smaller Micro-Spheres (S-MS)
Particle Description:
Catalog number: PP02N
Polymer Description: PMMA

Characteristics:
Mean Diameter #1 (microns): 0.52 (PCS/QELS)
Antimicrobial: 0.1% NaN3
Solids Content (wt. %): 10
Buffer: DI Water

Calculated Data:
Density of Solid Polymer (g/ml): 1.19
Number of Microspheres per ml: 1.160e+12
Number of Microspheres/gram: 1.141e+13
Surface Area (microns/gram): 9.696e+12

Appendix E – Response to Comments

Notes on: Final Report – Development of Particle Capture Model as the Basis to a Permeate Volume Maximization Study by Foust, Herbert, Prasai, Sever, and Ghosehaja

Response to comments given in the first part of this document

1. No further experimentation was conducted.

2. Measurement uncertainty was largely ignored

3. Additional graphs were added to Appendix A2, Experimental results

4. Permeate flux will be utilized over permeate rates.

5. Additional appendices were added to better describe the system components and micro-spheres

Henry,

When you do call we need to talk about the final report.

You sent me your final report on 10/20 with accompanying files.

Unfortunately, due to my schedule I am only looking at your work now and of course I have a lot of questions.

However, I notice that while you did experimentation to benchmark your model there is very little in the report about that experimental work.

I would like to see: A picture of the test setup.

You need descriptions of:
1, The filter tubes: type, porosity, material, length, diameter, orientation, etc. etc.
2. Measurement equipment: pressure transducers, temperature sensors, flow meters.
3. Measurement uncertainties.
4. A more detailed operational procedures to obtain data.
5. Physical characteristics of the slurry at each solids concentration: Viscosity (or Consistency), Yield Stress, Density, particle distribution.
6. A micrograph of the particles.
7. Graphs that show for both your slurry and just water:
    Filtrate Flux vs. axial velocity
    Filtrate Flux vs. TMP
    Filtrate Flux vs. Time
8. Explanation why the flow and pressure ranges were chosen, why the particular slurry and solids were chosen, etc.
9. Explanation on how cake parameters were obtained and your level of uncertainty in those parameters.

Is anyone checking your numbers? Your Figure 5 shows Experimental Validations. The values are unbelievably high. Even your lowest value of approximately 0.001 m3/s is three orders of magnitude too high. I looked back at your Appendix A Excel file and see that you convert from

ml/s to m3/s by dividing by 1000. But you should have divided by 1000000! What you are showing is Liters/s and there are 1000 Liters in a cubic meter. I would have thought with all your authors things like this would be reviewed and caught. This is the reason why I did not worry about reviewing your work earlier.

Other thoughts.

1. Why were your tests so short? That is 10 to 15 minutes. To let the tubes dry for a week but only run filtration for a few minutes doesn't make sense. Furthermore, during that time period your are changing both axial velocity and TMP. For instance, your Figure 1 shows flow rate versus dP. First off you need to state the dP is Transmembrane Pressure because there are other pressure drops, like the axial slurry pressure drop. Secondly, the data implies something is held constant, but your Excel file indicates that your are changing axial velocity every 30 seconds or so, therefore, I have no idea what the dP data are telling me.

2. In my experience the filter cake needs at least 60 minutes to be established. Your are changing both axial velocity, TMP every minute or so and your entire filtrate period is less than 30 minutes. I do not have a lot of confidence that the cakes you developed, obtained, and measured are good representations of cakes developed in the field. Do you have data from another source than your own so a better comparison can be made.

3. After digging through your Excel files I think I found that you used 0.5-inch Inside Diameter tubes and assuming you obtained the standard 2-foot long tubes then your filter area would be 0.261 ft2. If I use your lowest flow rate of 0.000001 m3/s = 0.001 Liters/s = 0.06 Liters/minute = 0.0159 gpm, then the flux is 0.0159 / 0.261 ft2 = 0.06 gpm/t2. This is high, which is typical when just starting to filter, however, if you had let the test rig filter for several hours or days the flux would be closer to 0.03, 0.02 gpm/ft2, or lower.

Regards,

mark

Concerning your revised report, please convert all filtrate (or permeate) flow rates versus time, or axial velocity, or TMP to permeate flux.   Flow rate is size dependent and most readers will not relate to your scale. You need to present the information on a filter surface area basis so comparisons can be made, i.e., gpm/ft2, or m3/m2?s, or m/day, or cm/hr, etc.

Furthermore, give the dimensions of the equipment used.

Example:
I.D. = 0.485 inch ±0.003 inch
Active porous filter length = 22.5 inches ±0.1 inch

Somewhere you state the tubes you used were 1/2 inch inside diameter. How do you know? No tube I have received is exactly the listed dimension. For example, when I received my 1/2-inch tube from Mott I took an inside

micrometer and measured the ID at different depths into each end of the
tube and in several locations along the internal perimeter. My
measurements range from 0.480 inch to 0.487 inch, with an average of 0.485
inch and a standard deviation of 0.003 inch. When you discuss hardware
and give dimensions as I just discussed it gives the reader a lot of
confidence that you were careful about doing things accurately and
indicates the level of certainty one can expect from an experiment.

1. Page 3, Introduction, 1st Paragraph, 1st Sentence: Frank Tiller needs a reference. **Done**

2. Page 3, Introduction, 2nd Paragraph, 3rd Sentence: Reference [7] is out of order. Need to reference 1-6 first. **Malay/Firat provide correct sequence of citations**

3. Page 3, Introduction, 3rd Paragraph, last Sentence: Does this mean that particles > 10 microns cannot become part of the filter cake. What evidence is there of this fact? **Hank**

4. Page 3, Introduction, 4th Paragraph, last Sentence: Do not understand what is meant by "relative" insignificance. How is insignificance determined? **Done**

    a. **Compressibility, particle concentration, and cake rheology must be important to filtrate flux and permeability. How can they be insignificant?**

5. Page 4, Lu, Ju, and Hwang, 1st Paragraph, 4th Sentence: How does particle distribution with an average of a diameter of 10 micron be consisted of mostly 1-micron sized particles? **Hank**

6. Page 4, Lu, Ju, and Hwang, 1st Paragraph, 5th Sentence: This sentence means that the largest particle size retained became smaller with time? **Hank**

7. Page 4, Lu, Ju, and Hwang, 2nd Paragraph, 3rd Sentence: This sentence implies the researchers, i.e., Foust, et al., did not develop any model, but used the one found in Reference 1.   Is this true? This must be clearly stated. Not sure where this statement is.

8. Page 4, Lu, Ju, and Hwang, 2nd Paragraph, 4th Sentence: Doesn't shear stress increase when "bulk" velocity increase? How can you disassociate the two?

9. Page 4, Lu, Ju, and Hwang, 3rd Paragraph, 2nd Sentence: How is Beta sub c measured? Measurement of interparticle forces, friction coefficient between particles, and an equation for the force balance determines Beta©.

10. Page 4, Lu, Ju, and Hwang, 4th Paragraph, 1st Sentence: Reference for Tiller and for Kozeny are not given in the reference section and the style of references is not consistent with the rest of the report.

11. Page 4, Lu, Ju, and Hwang, 4th Paragraph, Last Sentence: How is it known that smaller particles are in the upper layers?

Typically, filtration starts at a high bulk phase velocity and TMP, which results in a large permeate flux. These conditions for particles that aren't too big results in the first

layers having a wide PSD and including larger particles. As the process goes on, the permeate flux decreases and with this the size of particles captured decreases (see Figure 1). Eventually, there are no particles small enough and a quasi-steady state begins.

Incorporated

12. Page 5, Lu, Ju, and Hwang, 5th Paragraph, 2nd Sentence: What are Brownian forces? Brownian motion is understood.

13. Page 5, Lu, Ju, and Hwang, 6th Paragraph, Last Sentence: When the cake is more porous ("higher" porosity) does that lead to a higher filtrate flux? What is meant by a "lower packing porosity?" **Utilizing Kozeny's equation for spherical particles and a given average size of particles within the cake, a higher packing porosity results in a lower specific resistance.**

14. **Incorporated**

15. Page 5, Lu, Ju, and Hwang, 7th Paragraph, 2nd Sentence: Stated is a range of 0.5 to 10 microns, but previously a range of 1 to 20 microns was stated for the same work. This is inconsistent. **These are two different studies**.

16. Page 5, Lu, Ju, and Hwang, 7th Paragraph, 3rd Sentence: Stated is the term "filtration pressure," is this axial pressure drop or TMP? Be clear. **Done**

17. Page 5, Lu, Ju, and Hwang, 5th Paragraph, Last Sentence: Here it is stated that cake compressibility is significant but in the Introduction it was stated to be insignificant. This is inconsistent. **Done**

18. Page 5, Lu, Ju, and Hwang, 6th Paragraph, Last Sentence: How does higher axial velocities reduce the average particle size?

19. **Given**

20. $Q_p = \frac{.425fu^2}{\phi v tan\theta} d_p - \frac{(\rho_p - \rho_s)}{18\mu\phi} gd_p^2 + \frac{15.25f^2u^4}{4608v^3} d_p^3$

21. $Q_p = \frac{\Delta P}{\mu(R_m + R_c)} = c_1 d_p - c_2 d_p^2 + c_3 d_p^3$

22. **For a given permeate flux, increasing u, axial velocity, results in lowering dp.**

23.

24. Page 6, Song and Elimelech, Last Paragraph, 3rd Sentence: What is the difference between "solid" and "particle?"

25. Page 6, Song and Elimelech, Last Paragraph, Last Sentence: What are Brownian particles? Particles with a colloidal size range.

26. Page 6, Tien et al., 1st Paragraph, 1st Sentence: "…broad are" should be "…broad area" **Done**

27. Page 7, Foley, Malone, and MacLoughlin, 1st Paragraph, Last Sentence: Since the paragraph discusses cake layers do your words, "cake thickness" in this last sentence mean a layer of cake or the entire cake (all the layer)? **Entire cake**.

28. Page 7, Foley, Malone, and MacLoughlin, 1st & 2nd Paragraphs, and throughout report: What is the difference among d_p, a_p, α_p, R_{max}? Need consistency throughout, independent of what other authors use so the reader can follow the rationale. **List of nomenclature will be provided.**

29. Page 7, Foley, Malone, and MacLoughlin, 2nd Paragraph: I do not follow the logic. 0 microns is $d_p(0)$. How can particle that does not exist have any effect on filtration? **Dp(0) simply refers to the cut-off diameter of the first layer of the cake.**

30. Page 7, Sharma and Civan, 1st Paragraph, 3rd Sentence: "These equations were…" Only a single equation, Eq. 5, is shown. **Done**

31. Page 7, Sharma and Civan, 1st Paragraph, Last Sentence: What is meant by"…critical permeability of the membrane?" I assume you are not discussing the cake. The question they were asking is the following: is there a critical permeability of the membrane below which a cake will not form?

32. Page 8, Implications for filtration…, 1st Paragraph, 1st Sentence: While assuming that "all are at or above 1 micron," may make your problem easier to solve is it realistic? **Yes. In Duignan (2003), most of the PSD presented were in the range of 1 to 10 micron**.

33. Page 8, Implications for filtration…, 1st Paragraph, 1st Sentence: Reference as (Lu and Ju, 1989; Foley et al., 1995) are not consistent with the rest of the paper [1] [10].

34. Page 8, Implications for filtration…, 1st Paragraph, 1st Sentence: How was Eq. 7 derived. I seems to come from nowhere and therefore not understandable.

35. Page 8, Implications for filtration…, Equation 7: What is Q_p?

36. Permeate flux, change made. Done

37. Page 9, Implications for filtration…: All the symbols need defining. **Done**

38. Page 10, Operating Procedure, Item No. 1: It would be very useful to show a diagram, picture, or both of the experimental test equipment to understand this section. **Done**

39. Page 10, Operating Procedure, Item No. 2: You previous mentioned about modeling with radioactive waste. Why are your tests with water acceptable? Explain you goal. **A**

DI/Microsphere slurry was utilized for safety reasons and to provide accuracy in modeling the specific resistance of each cake layer.

40. Page 10, Operating Procedure, Item No. 2: You can send a sample of your material to many different labs to obtain physical property data. **This was not done**.

41. Page 10, Operating Procedure, Item No. 3: **Mention if the particles can be damage by that action of the pump. It's possible and analysis should have been conducted to visually inspect the particles, but this was not done. It was simply assumed that particles remained spherical.**

42. Page 10, Operating Procedure, Item No. 4: Why not directly return the filtrate to the slurry tank instead of having batches? **With batches your concentration goes up and then it takes a big drop and the cycle repeats. See Drawings.**

43. Page 10, Operating Procedure, Item Nos. 7, 8, and 9: Who is doing the providing and who is doing the receiving? **Those performing the experiments, which were myself, Malay, Bijeta Prasai, and one other student notes problems, ran experiments to procedure, and carefully recorded results. There were verbal procedures for cooling, cleaning, and measuring.**

44. Page 10, Experimental Design, 2nd Paragraph, 1st Sentence: "Details are given below" of tubes. Table 1 does not give any details of the tubes. The details and pictures would be very informative. **Done**

45. Page 11, Friction factor experiments, Under Equation 15: What is the Reynolds number based on, the tube diameters or particle sizes? **Tube diameter**.

46. Page 11, Friction factor experiments, 3rd Paragraph, Only Sentence: You state 200 grams of the larger spheres." Larger than what? You do not talk about specific sizes until Page 14, therefore you should discuss the size difference for the ready to understand the impact.

47. **Two different types of microspheres were utilized**

48. **.4 to .6 microns**

49. **3 to 10 microns**

50. Page 11, Friction factor experiments, 4th Paragraph: included in your burger dots you should describe how TMP is measured, its accuracy and any limitations. **Done**

51. Page 12, Friction factor experiments, 4th Paragraph, Second to last Sentence: You state ",which have a narrow PSD. That PSD should be shown. **Done**

52. Page 12, Determination of concentration of solids in cake, 1st Paragraph, 2nd Sentence: You state a mass of solids has the symbol w. A list of symbols in the report will help immensely. **Malay/Firat**

53. Page 12, Determination of concentration of solids in cake, 2nd Paragraph, 1st Sentence: I believe the past tense of tare is tared. **Done**

54. Page 12, Determination of concentration of solids in cake, Eqs 18 and 19: How is 19 obtained from 18. **Should be clear now**.

55. Page 13, Determination of concentration of solids in cake, before Eq. 21: Why are spherical particles used? **Done**

56. Page 13, Filter Cleaning: Why is a basic and not an acid used to clean the filters. Describe your success with cleaning and a return to clean fluxes. **Malay**

57. Page 13, Experimental Results: Should this section be called Appendix A?

58. Page 15, Figure 1: **Show the TMP range and temperature, too Firat/Malay**

59. Page 15, Determination of friction factor for filter system + Various Slurries, Equation 22: Isn't this equation actually Equation 15? **I repeated it**.

60. Page 15/16, Figure 2: What is meant by for Tubes 1, 4, and DI? Do you mean Tube 1 with a certain slurry, Tube 4 with a certain slurry, and some unknown tube that just used water?

61. Page 17, Table 2: You should state the measurement uncertainty. **I don't know if we can do much with this issue**. Ideas?

62. Page 18, Experimental Validation of Permeate Rates, 1st Paragraph: You state that, "When a slightly lower values… brings in question the results." This is unclear and you need to be clear at what you are telling the reader.

63. Page 18, Genetic Algorithms, 1st Paragraph, 1st Sentence, "…genetic algorithms …was beyond the scope of this project." I thought that was the purpose of your work, to develop a genetice algorithm to better model experimental data. If I am wrong please make this clear in the Introduction of the report. **I'll make sure this is stated up front**.

64. Page 19, Perspective, Burger Dots. This issues need to be discussed up front in the procedures/experimental portion to give a heads-up to the problems expected/encountered and what was done, if anything about them, and how they could of effected the results. **I'll make sure this is stated up front**.

65. Page 20, Perspective, 4th Paragraph, 1st Sentence: Why should the physics of existing models "not be questioned?" Science is about questioning at all times and when good answers do not result such models fall to the wayside. **Done**

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