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Title:

Down-stream spatial distribution of antibiotic resistance along metal contaminated stream reaches

Running Head:

Spatial patterns of aminoglycoside resistance in sediments

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1 **Abstract**

2 Sediment bacteria samples were collected from three streams in South Carolina,
3 two contaminated with multiple metals (Four Mile Creek and Castor Creek), one
4 uncontaminated (Meyers Branch), and another metal contaminated stream (Lampert
5 Creek) in northern Washington State. Growth plates inoculated with Four Mile Creek
6 sample extracts show bacteria colony growth after incubation on plates containing either
7 one of two aminoglycosides (kanamycin or streptomycin), tetracycline or
8 chloramphenicol. This study analyzes the spatial pattern of antibiotic resistance in
9 culturable sediment bacteria in all four streams that may be due to metal contamination.
10 We summarize the two aminoglycoside resistance measures and the 10 metals
11 concentrations by Principal Components Analysis. Respectively, 63% and 58% of the
12 variability was explained in the 1st principal component of each variable set. We used the
13 respective multivariate summary metrics (i.e. 1st principal component scores) as input
14 measures for exploring the spatial correlation between antibiotic resistance and metal
15 concentration for each stream reach sampled. Results show a significant and negative
16 correlation between metals scores versus aminoglycoside resistance scores and suggest
17 that selection for metal tolerance among sediment bacteria may influence selection for
18 antibiotic resistance differently than previously supposed.. In addition, we borrow a
19 method from geostatistics (variography) wherein a spatial cross-correlation analysis
20 shows that decreasing metal concentrations scores are associated with increasing
21 aminoglycoside resistance scores as the separation distance between sediment samples
22 decreases, but for contaminated streams only. Since these results were counter to our
23 initial expectation and to other experimental evidence for water column bacteria, we

24 suspect our field results are influenced by metal bioavailability in the sediments and by a
25 contaminant promoted interaction or “cocktail effect” from complex combinations of
26 pollution mediated selection agents.

27

28 **Introduction**

29 All living organisms are spatially dispersed in the environment. Typical spatial
30 distribution patterns include uniform, random, or aggregated and are conferred by a
31 multitude of environmental (biotic and abiotic) factor combinations. Microorganisms are
32 no exception. However many microbiologists still adhere to the “everything is
33 everywhere, for which the environment selects” mindset. This means that representatives
34 of all groups of microorganisms can be found everywhere but that the environment favors
35 certain groups over others. Those not favored remain rare and not easily detected until
36 such times when evolutionary preadaptations among them are favored due to
37 environmental change. However certain species of bacteria may be more “plastic” or
38 facultative than others, because of various strains or ecotypes and thus the species
39 composition of the bacterial community may not differ substantially along the
40 environmental gradients although some strains may be predominant along the gradient
41 because of differential selection.

42 McArthur and Tuckfield [1] argued that the distribution of bacteria and or their
43 genes should follow predictable patterns in stream ecosystems. Freshwater streams are
44 particularly suitable for studying spatial relationships of microbial traits because of the
45 one-way transport vector for stream inputs, whether natural or anthropogenic. Specific
46 genes or combinations of genes that are adaptive under one set of environmental

47 conditions may not be so under a different set. Since stream ecosystems often change
48 dramatically in physicochemical attributes, often over fairly short geographic distances,
49 the distribution of bacteria and bacterial genes are predicted to change similarly and
50 certain genes may be adaptive or maintained over longer reaches of stream than other
51 genes [1]. This ecological perspective fits the microbiological perspective as well if
52 evolutionary adaptation is occurring both among bacterial strains and bacterial species.

53 McArthur and Tuckfield [2] sequentially sampled stream sediment bacteria from a
54 historically metal contaminated stream to assess the relationship of a bacterial trait, e.g.,
55 prevalence of antibiotic resistance, with downstream distance. They discovered similar
56 spatial relationships in the prevalence of both streptomycin and kanamycin resistance
57 among stream sediment bacteria with downstream distance in a contaminated stream.
58 The highest levels of antibiotic resistance were reached near the confluence of a stream
59 with historical Cd and Hg contamination. No matching spatial patterns among sediment
60 bacteria were detected in an uncontaminated stream although the prevalence of antibiotic
61 resistance was substantial. They also showed that the prevalence of streptomycin
62 resistance was positively correlated with Hg sediment concentration within a historically
63 metal contaminated tributary. However, they did not estimate the maximum stream
64 distance over which these antibiotic resistance traits were maintained, nor did they
65 conduct a metals analysis on the corresponding stream sediment samples.

66 Bacteria in aquatic environments with known heavy metal contamination often
67 demonstrate resistance to antibiotics as well to heavy metals [3-14]. The common
68 explanation for such phenomena is horizontal gene transfer via the plasmid mediated
69 exchange of genetic material among bacteria [15]. It is not uncommon to find genetic

70 elements for antibiotic and metal resistance on the same the same plasmid. In fact, there
71 are well known integrons that contain both [16]. However, no prior field study has
72 examined the spatial relationship or correlation between either of these phenotypic traits
73 and the increased selection pressure from a known point source of metal contamination in
74 the same aquatic environments. Geostatistical variograms are often used to identify
75 patterns of spatial relationships for a variety of ecological variables in lakes [17], streams
76 [18-21], groundwater [22, 23] and among birds, barnacles, arthropods, shrubs and trees
77 [24-30], with equal utility for exploring the same patterns among microbes in sediment
78 samples as well.

79 The purpose of this study was to demonstrate the applicability of using
80 geostatistical methods to describe the spatial distribution of bacterial phenotypic traits
81 within streams. We sought to determine through field collections whether phenotypic
82 traits were spatially correlated. Specifically, we sought to determine whether or not
83 anthropogenically (metal) contaminated streams would show a spatial downstream
84 pattern in antibiotic resistance among culturable sediment as a function of metal
85 concentration, which pattern should not exist within an uncontaminated stream.

86 **Materials and Methods**

87 *Study area and streams*

88 The Savannah River Site (SRS) is an 802 km² manufacturing facility bordering
89 Georgia and South Carolina that has contributed to the production of nuclear defense
90 materials for approximately 50 years. The actual Savannah River is the western site
91 boundary for SRS and receives discharge from several contaminated and uncontaminated

92 streams. We collected 67 sediment samples along a 13 km reach of Four Mile Creek
93 (Fig. 1) during the summer of 1998 and 62 samples along a 12 km reach of Meyers
94 Branch in the summer of 1999 (see [2]). In addition 22 sediment samples along a 2 km
95 reach of Castor Creek in 1999 and 14 sediment samples along a 1.5 km reach of Lampert
96 Creek that same year. The first three streams are part of the SRS watershed.

97 Four Mile Creek (FMC) is a third order upper coastal plain stream draining a
98 5,894 ha watershed. Annual stream temperatures range from 9.0 to 25 °C and pH from
99 5.10 to 8.10, (median = 6.09). FMC received thermal effluent (>50 °C) from reactor
100 operation until 1987 at flows 10 times higher than ambient (40 m⁻³ to over 400 m⁻³).
101 These flows caused major geomorphological changes within the stream essentially
102 scouring the channel of all organic matter and in-stream structure. All riparian vegetation
103 was killed. In addition, several chemical seepage basins were established near the
104 headwater reaches of the stream and used continuously for over 30 years. These basins
105 received chemical effluent composed of tritium, nitrate, organic solvents, and various
106 metals. Although the basins have been capped, leachate continues to seep into the
107 stream. The stream has been undergoing natural recovery since cessation of thermal
108 inputs in 1987.

109 Castor Creek (CC) is a third order upper coastal plain stream that flows into FMC
110 with an environmental profile similar to FMC. The catchment for CC drains runoff and
111 effluent from the SRS C-Reactor facility.

112 Meyers Branch (MB) is a third order, relatively pristine blackwater stream on the
113 SRS, set-aside for ecological research. MB drains an approximately 5,085 ha watershed.
114 It originates in the sand hills of the upper coastal plain and has an extensive riparian

115 floodplain. Annual stream temperature ranges between 0.1 and 25.5 °C and pH ranges
116 from 5.8 to 8.3 with a median of 6.9. This stream has for the past 50 years had no known
117 metal contamination by anthropogenic input and is more remote to human activity at SRS
118 than FMC or CC.

119 Lampert Creek (LC) is a fourth order montane stream in Ferry Co., Washington
120 immediately south of the Canadian border on the eastern slope of the Kettle mountain
121 range (in the Belcher Mine drainage, Section 8, Township 37N, Range 34E, Willamette
122 Meridian, WA) whose catchment feeds into Curlew Creek and Curlew lake and
123 eventually empties into the Sanpoil River which leads to the Columbia River. LC
124 receives runoff from an abandoned precious metals mine immediately adjacent to the
125 midreaches of that stream and is therefore contaminated with elevated concentrations of
126 several heavy metals.

127 *Sediment sampling and laboratory analysis*

128 Sediment samples were collected from all four streams during summer months –
129 June 1998 (FMC), and May, June and August 1999 (MB, CC, and LC, respectively).
130 Sampling locations were established 200m (+/- 1.5m) apart within the main stream
131 channel of both FMC and MB using Geographic Information System (GIS) technology.
132 Points were identified using interpolation of coordinates from the UTM North American
133 Datum 1927 projections. The Global Positioning System (GPS) coordinates were
134 generated for all sampling points in these two streams and portable GPS equipment used
135 to locate them.

136 Sampling locations in both CC and LC streams were not obtained by GPS
137 telemetry but were located approximately 100m apart. Twenty two locations in the

138 former were paced upstream from the confluence of FMC for nearly 2 km. Fourteen
139 locations in the latter were obtained by first locating the source of the mine drainage and
140 following the stream bed to its confluence with a continuously flowing stream and
141 collecting samples for approximately 1.5 km downstream thereafter. Each sampling
142 location regardless of stream also possessed linear coordinates as well, a number from 1
143 to N (N = # of sampling locations) as the northing coordinate and a constant value of 1
144 for the easting coordinate. Linear coordinates were used in spatial statistics methods (see
145 below).

146 At each stream sampling location, one 10 cm x 2.5 cm diameter core was taken
147 from near-bank bottom sediments, placed on ice in a sterile bag, and immediately
148 transported to the laboratory. At each sampling location the side of the stream to sample
149 was chosen randomly.

150 For FMC, a direct plating method as was used wherein 10mL sterile saline
151 (0.85% sodium chloride) was added to approximately 5g wet weight of sediment and
152 sonicated for five minutes in a Bransonic Water Bath sonicator to detach bacteria. Next,
153 250 μ L of the resulting slurry was spread onto each of five plates: control, kanamycin,
154 streptomycin, tetracycline and chloramphenicol. Control plates consisted of half strength
155 nutrient broth agar with 100 μ g ml⁻¹ of cycloheximide added to control fungal growth.
156 The remaining plates were identical to the control plates except the addition of 100 μ g
157 ml⁻¹ of kanamycin, streptomycin, tetracycline, or chloramphenicol. This concentration
158 was used for each antibiotic since the minimum inhibitory concentrations for these
159 bacteria were unknown. Each set of five plates was inoculated from a single sediment
160 sample. Remaining sediments were dried (60 °C) and weighed. Colony counts were

161 made after 6 d incubation at room temperature (~20 °C). Each count was adjusted for
162 sample volume by adding 1 to the count and dividing by the corresponding sediment dry
163 weight.

164 For all other streams, samples were processed similarly with the following
165 exceptions that a) only the control plates were inoculated and only the aminoglycosides
166 (kanamycin and streptomycin) were used as experimental treatments and b) serial
167 dilutions (0, 10^{-1} , 10^{-2}) were made. 250 μ l of liquid were drawn from each of the three
168 dilutions and spread on control plates. Bacteria colonies were counted on the control
169 plates after 6 days incubation at 20 °C. The plate with the "best" colony growth (~50-100
170 colonies/plate) was selected as the control plate. These control plates were then used as
171 a replica plating source (Bel-Art Products, Pequannock, NJ) for two other plates each
172 containing 100 μ g ml⁻¹ of either streptomycin or kanamycin. Bacteria colonies were
173 counted on the replica plates after 3-4 days incubation at 25 °C.

174 Concentrations for 10 metals (ppm) (Hg in ppb) were obtained from all sediment
175 samples by ICP (Inductively Coupled Plasma) methods from services provided by the
176 inorganic chemistry laboratories of the University of Georgia. Metal concentration
177 measurements reported below the laboratory detection limit (DL) were replaced by DL/2
178 prior to data analysis, a typical environmental data analysis practice [31].

179 *Statistical methods*

180 A common log transformation of bacteria colony counts was performed as a
181 variance stabilizing method and to preserve the statistical assumption of normality prior
182 to statistical modeling. A Sharpiro-Wilk W-test [32] was then performed to ensure that
183 the transformed data adequately fit a normal distribution and justify parametric statistical

184 methods. Specifically, the prevalence of antibiotic resistance (*pAR*) was defined as the
185 logarithm of the ratio of the adjusted antibiotic resistant bacteria colony count to the
186 adjusted control colony count, for each antibiotic and sample. An errors-in-variables (i.e.
187 orthogonal) linear regression [33] was performed to quantify the relationship between the
188 *pAR* for kanamycin and streptomycin as well as between the principal component scores
189 (see below) for aminoglycoside resistance and the metals principal component scores.
190 An analysis of variance (ANOVA) was performed on the *pAR* data among streams.
191 Stream means were compared using the method of linear contrasts [34].

192 All pairwise correlations between each measure of aminoglycoside antibiotic
193 resistance and each of the 10 metals concentrations were calculated by a parametric
194 statistical (Pearson's *r*) correlation method [35] on the common logarithms of these
195 measures since colony counts often crossed more than one order of magnitude.

196 Each sediment sample produced a multivariate response vector, with two
197 measures of antibiotic resistance and 10 measures of metal concentration. Principal
198 Component (PC) Analysis [36] was used as a dimensionality reduction technique in order
199 to obtain a summarized univariate measure of both antibiotic resistance and metal
200 concentration. Data from all streams were combined and PCs generated based on the
201 correlation matrix, as opposed to the variance-covariance matrix. This was done to
202 preserve the relative contribution (i.e. eigen vector coefficients) of each univariate
203 response measure to the information contained in each antibiotic resistance or metal PC.
204 Since PCs were calculated from the correlation matrix, the number of dimensions
205 summarized in a PC is represented by the corresponding eigen value. The PC score is the

206 linear combination of measurement values for all variables in the PC weighted by the
 207 corresponding eigen vector coefficients.

208 The metals data were also subjected to a Discriminant Function (DF) analysis
 209 [36]. DFs allowed the classification of individual stream samples based on all metals
 210 data. DFs also indicate the relative contribution of each metal to the discrimination
 211 among streams.

212 All univariate and multivariate data analyses were performed with the JMP[®] 5.21
 213 statistical computing software from SAS Institute Inc. Box-and-whisker plots were
 214 produced by S-PLUS 6.1 and JMP[®] 5.21.

215 Geostatistical methods [37] were used to estimate the amount of spatial
 216 correlation for measures of antibiotic resistance and metal concentration within streams
 217 by means of the well known variogram, given by

$$218 \quad \gamma(\mathbf{h}) = \frac{1}{2N(\mathbf{h})} \sum_{i=1}^{N(\mathbf{h})} [z(\mathbf{x}_i) - z(\mathbf{x}_i + \mathbf{h})]^2 \quad (1)$$

219 where $N(\mathbf{h})$ is the number of pairs of stream sample locations with a between-location or
 220 spatial separation distance \mathbf{h} , $z(\mathbf{x}_i)$ and $z(\mathbf{x}_i + \mathbf{h})$ is the response measures (such as *iAR*)
 221 for the i^{th} easting (x_e) and northing (x_n) coordinates for sampling location
 222 $\mathbf{x}_i = (x_e, x_n)_i$ within the stream and its paired location $+\mathbf{h}$ distance units away,
 223 respectively. Variogram ($\gamma(\mathbf{h})$) and cross-variogram ($\gamma_{12}(\mathbf{h})$) model fits were performed
 224 using the 1st PC scores (log scale) for metals and for aminoglycosides as response
 225 measures. The emboldened letter \mathbf{h} indicates a separation vector; that is, a Euclidean
 226 distance between sampling locations in 2-space, or a linear distance along the stream

227 channel. The variogram range (r_v) parameter is defined as the minimum \mathbf{h} between
228 sampling locations such that the correlation between measurements for each successive
229 measurement pair is effectively zero, and the standardized variogram $\gamma_s(\mathbf{h})$ approaches
230 1.0 [38]. Since $\gamma(\mathbf{h})$ increases with \mathbf{h} , the estimate of r_v is obtained by plotting
231 $\gamma(\mathbf{h})$ versus \mathbf{h} . When \mathbf{h} is sufficiently large, $\gamma(\mathbf{h})$ is asymptotic and the value of the pAR
232 measurement at \mathbf{x}_i is no longer a useful predictor of the pAR value at $\mathbf{x}_i + \mathbf{h}$. Thus, the
233 variation among all pAR measurement differences at paired sampling locations $\geq r_v$ units
234 apart is strictly random. A geostatistical variogram can be modeled to estimate r_v for a
235 given spatial response measure such as the pAR or for metal concentration. Standardized
236 variograms and cross-variograms were fit to pAR PC scores and to metals concentration
237 (logarithms) PC scores using a spherical model [38] to obtain estimates of r_v for each
238 stream. Cross-variograms demonstrate the degree of spatial correlation between different
239 response measures for the same pair of sampling locations, in this case the pAR PC scores
240 and the metals concentrations PC scores, as \mathbf{h} increases between sampling locations. All
241 model fits were obtained by the VARIOWIN software [38].

242 **Results**

243 The prevalence of antibiotic resistance (pAR) among antibiotics and streams are
244 presented as a series of box plots in Figure 1. The pAR for each of the four antibiotics
245 used in the initial treatment of FMC sediment bacteria (Fig. 1A) show markedly higher
246 resistance to the two aminoglycosides, kanamycin and streptomycin, compared to
247 chloramphenicol and tetracycline. Table 1 shows the strongly positive and statistically
248 significant ($p < .05$) pairwise correlations among these four pAR measures. The magnitude

249 of the antibiotic resistance response was much smaller, i.e. the bacterial sensitivity was
250 much higher for tetracycline and chloramphenicol. In a majority of samples, there were
251 few or zero bacteria resistant to the latter two antibiotics. Thus, because of the
252 substantial loss of information and paucity of data, only the responses of the two
253 aminoglycosides were used in subsequent spatial pattern analyses for a bacterial trait.

254 *Metals and antibiotic resistance correlations*

255 A correlation analysis between the *pAR* for streptomycin vs kanamycin without
256 regard to stream indicates a positive ($r = 0.25$) relationship ($p < 0.05$). The 1st PC
257 between these two *pAR* measures explains 63% of the *pAR* variability among all stream
258 samples or 1.25 of these 2 dimensions. In addition, both kanamycin and streptomycin
259 contribute equally to this PC. ANOVA results of the 1st PC scores among streams
260 indicate no significant differences among streams. The largest *pAR* PC score mean
261 occurred in CC but no pairwise linear contrast with any other stream mean was
262 statistically significant.

263 Metal concentration results are presented in Figure 2. Three metals (Al, Fe, and
264 Mn) show consistently higher concentrations compared to the other seven in all four
265 streams. The pattern of relative magnitude in median (geometric mean) metal
266 concentration among all four streams was largely the same for 8 of 10 metals. The
267 exceptions are Hg and Cu. There are much lower concentrations of Hg in MB (the
268 uncontaminated stream) relative to the other three streams. Over 70% of the Hg
269 measurements in MB were $< 10^{-4}$ ppm. The highest median Hg concentration (approx.
270 20 ppb) occurred in CC relative the other streams. In addition, the median Cu
271 concentration was higher in LC than FMC.

272 All pairwise correlations among metal concentrations on the log scale, regardless
273 of stream, were positive and all but two (i.e., Cr vs. Cd, and Cr vs Hg) of the 45 pairs
274 were significant ($p < 0.05$) (Table 2). Pairwise correlations between an individual metal
275 and each of the two *pAR* measures were all negative. For 7 of 10 metals (Al, Cd, Cu, Fe,
276 Mn, Pb, and Zn), there is strong evidence ($p < 0.05$) of negative correlation with
277 streptomycin resistance, while there is only weak evidence ($p < 0.10$) of negative
278 correlation for 3 of 10 metals (Al, Mn, and Zn) with kanamycin resistance.

279 The 1st PC calculated for all metals explains 58% of the total variability among
280 $N=165$ sediment sample measurements. In descending order, Zn, Fe, Al and Cd had the
281 largest relative contribution to this PC (coefficient values not shown). The eigen value
282 for the 1st PC was 5.8 and indicates that this PC represents nearly 6 of the 10 metal
283 dimensions in one summary measure. ANOVA results using the 1st PC scores for metals
284 concentrations among streams shows a statistically significant ($p < .05$) stream effect.
285 Linear contrasts among stream means show that MB PC scores are significantly ($p < .01$)
286 lower than either FMC or LC.

287 Orthogonal regression of *pAR* PC scores vs. metal PC scores for each of the four
288 streams (Fig. 3) shows a statistically significant ($p < 0.05$) and negative correlation
289 between these summary measures for FMC and LC ($r = -0.33$, $r = -0.61$, respectively) but
290 not for CC or MB.

291 Figure 4 is a scatter-plot of the 1st and 2nd DF scores for the *pAR* measures (Fig.
292 4A) and metal concentrations (Fig. 4B) among $N = 165$ sediment samples. The
293 distinctness of each stream is shown by bounding each set of scores by a 50% normal
294 contour around the stream centroid. The DF analysis of the *pAR* measurements shows

295 that 93% of the variability among these data can be explained by the 1st DF. Greatest
296 discrimination occurred between Lampert Creek and the other three streams along the 1st
297 DF axis. The three SRS streams are relatively indistinguishable. For metals, the 1st DF
298 accounted for 53%, while the 2nd DF accounted for an additional 31% of the variation
299 among metals. Copper contributed most to discrimination along the 1st DF axis whereas
300 Mn and Fe contributed most to discrimination in the 2nd DF. The uncontaminated stream
301 (MB) separates distinctly from the contaminated streams along the 1st DF axis whereas
302 the latter separate along the 2nd DF axis. In addition, only 19 of the 165 sediment
303 samples were misclassified to a stream identity based on their metals data, and 10 of
304 these 19 were between CC and FMC where the former is a tributary of and flows into the
305 latter.

306 *Spatial Pattern Analysis*

307 Each contaminated stream (FMC, CC, and LC) shows a comparatively similar
308 spatial pattern in that as the concentration of metals decreases with downstream distance,
309 the *pAR* among sediment microbes appears to increase. These *spatial patterns* are clearly
310 manifested by plotting the difference between the metal and antibiotic resistance PC
311 scores vs. downstream distance (Fig. 5). In all streams, the difference pattern can be fit
312 by a 2nd order polynomial that largely supports a negative relationship between metals
313 concentration and antibiotic resistance, but suggests that this relationship may be stream
314 reach dependent. However this curvilinearity is statistically significant ($p < .05$) in only
315 CC, FMC, and MB. The relationship between metals concentration and antibiotic
316 resistance is strictly linear and negative ($p < .05$) for LC.

317 *Spatial Statistics Analysis*

318 There is also strong evidence for a *spatial correlation* and *cross-correlation*
319 among PC scores for metals and antibiotics (Fig. 6) that decreases with increasing
320 separation distance (**h**) between sampling locations for the three contaminated streams
321 (CC, FMC, and LC). This decrease in spatial correlation is manifest by the increase in
322 $\gamma_s(\mathbf{h})$ (y axis) to its maximum value at which point the spatial correlation is effectively
323 zero (upper panels of Figure 6). For these comparisons the estimated range parameter r_v
324 (the intersection of vertical dotted line and **h** axis, Fig. 6) is much larger than the distance
325 between sampling locations (100 to 200 m). At each of these streams, r_v is approximately
326 the same distance for both antibiotic resistance and metals concentrations. For example,
327 in FMC $r_v \cong 6500\text{m}$ for antibiotic resistance and $r_v \cong 7300\text{m}$ for metal concentrations and
328 indicates that these variables are spatially correlated for up to approximately 7 km of
329 stream channel length between sample measurements. In CC and LC, there is spatial
330 correlation over a much smaller distance from $\sim 500\text{m}$ in LC to $>800\text{m}$ in CC. However,
331 MB shows only a spatial correlation for the metals PC scores and not *pAR* PC scores (Fig
332 6D). Finally the $\gamma_{s_{12}}(\mathbf{h})$ between the *pAR* and metals PC scores indicate negative spatial
333 correlation which decreases with increasing separation distance (lower panels of Fig. 6A-
334 C). No model fit could be obtained to the MB cross-variogram data (lower panel of Fig.
335 6 D).

336 **Discussion**

337 The distribution of bacteria in stream ecosystems should be strongly influenced
338 by the major unidirectional flow of water. Given the time most freshwater lotic systems
339 have been in existence we might expect a uniform geographic distribution of bacteria and
340 or their genes for various traits along the stream continuum [39]. Depending on the

341 stream, somewhere between 10^4 and 10^6 bacteria per ml of water are continually
342 imported into lower reaches. Point sources of contamination alter the natural variation in
343 stream environmental conditions and thus the selection pressures. In this study we
344 examined the spatial distribution of a specific class of antibiotic resistances among
345 sediment bacteria along four streams in relationship to heavy metal contamination in
346 those sediments. The evidence suggests that there is a downstream spatial pattern in the
347 prevalence of resistance to two aminoglycosides that is a function of metal concentration
348 in three streams with known metal contamination, whereas such a pattern does not exist
349 for the uncontaminated stream. One criticism of these observations is that the
350 concentration of the antibiotics themselves was not measured. It could be that the
351 contaminated streams actually had higher concentrations of the antibiotics as selection
352 agents for higher bacterial resistance. FMC does have known seep line inputs from
353 previously unlined seepage basins upstream and CC is part of a catchment drainage
354 system to the C-reactor area both with potential and unknown anthropogenic inputs in
355 their entirety. However, LC which shows a similar spatial pattern (Fig. 6) to the other
356 two metal contaminated streams is a high elevation montane stream and mining drainage
357 catchment, defunct for more than two decades and unlikely to have had substantial or
358 continuous aminoglycoside inputs from human activity. Nonetheless, measuring
359 antibiotic concentrations in the sediments is requisite to future research.

360 For each sample collected in this study 12 variables were measured that included
361 resistance to two antibiotics and the sediment concentrations of 10 heavy metals.
362 Because of the large number of measurements, we sought a method to reduce the
363 dimensionality of the per sample response vector by the use of PC Analysis. The PCs

364 therefore are not phenotypic traits, but as summary measures that behave as do the traits,
365 correlative relationships can be explored between them and other summary statistics that
366 would otherwise require multiple analyses of the individual variables or traits, i.e., each
367 metal concentration and aminoglycoside resistance, separately. We found that ~60% of
368 the total variation among metal concentrations can be explained by the 1st PC which,
369 therefore, serves as a summary variable in 1 dimension from among 10. All pairwise
370 correlations among metals concentrations were positive and with only three exceptions
371 were also statistically significant. This means that large PC scores are indicative of large
372 metal concentrations and vice versa. Likewise, our evidence suggests that *pAR* PC scores
373 can be viewed as a 1 dimensional summary measure of antibiotic resistance traits. Larger
374 PC scores in this instance indicate a greater prevalence of aminoglycoside resistance.

375 The strong negative relationship between the 1st PC scores for metals and the 1st PC
376 scores for antibiotic resistance for FMC and LC (Fig. 3) is interesting because it is
377 counter to results from other recent studies [40-43]. Our data indicate that as heavy metal
378 concentrations increase the prevalence of antibiotic resistance decreases. Although these
379 two streams are from geographically different regions of the country (western montane
380 and southeastern blackwater streams), they demonstrate a similar spatial pattern (Fig. 6).

381 Discriminant function analysis of the antibiotic resistance data and the metals data
382 (Fig. 4) indicates that the streams can be reliably classified based on these variables. For
383 example the patterns of aminoglycoside resistance in LC are distinctly different from that
384 found in the southeastern streams (Fig. 4A). This difference was primarily due to higher
385 levels of kanamycin resistance in LC and higher levels of streptomycin resistance in
386 FMC and CC. While all streams had measurable levels of the ten metals, the

387 uncontaminated stream (MB), can be discriminated from the three contaminated streams
388 (Fig. 4B). We would expect from this evidence and the ANOVA results on PC scores
389 that selection pressure for metal toxicity resistance traits is lower in ~~this stream~~ MB and
390 perhaps insufficient to produce spatial patterns or relationships with other associated and
391 indirectly linked bacterial traits.

392 The most important finding of this study is that spatial cross-correlations, like the
393 statistical correlations, between measures of antibiotic resistance and metal concentration
394 are negative in contaminated streams as indicated by the cross-variogram $\gamma_{s_{12}}(\mathbf{h})$ model
395 fits in the lower panels of Figure 6A, B and C. Regarding the interpretation of cross-
396 variogram models, Journel and Huijbregts [44] state

397 “A cross-semi-variogram [i.e. cross-variogram] $\gamma_{k'k}(h)$ can take on negative
398 values, whereas a direct semi-variogram [$\gamma(h)$] is always positive. A negative
399 value of the cross-semi-variogram indicates that a positive increase in one of the
400 variables (k') corresponds, on average, to a decrease in the other (k).”

401 Note that $\gamma_{s_{12}}(\mathbf{h})$ values with increasing \mathbf{h} (Fig. 6A, B and C) are all negative. Note also
402 the stronger negative spatial correlation between the antibiotic resistance and metal PC
403 scores when sampling locations are nearer each other than at larger separation distances \mathbf{h}
404 $< r_v$.

405 A puzzling study outcome is the evidence in MB for strong spatial correlation for
406 metals, but the absence of such for antibiotic resistance (Fig. 6D). There are just as many
407 metal species in MB as the other streams and ~~the~~ the only metal which is substantially
408 lower in MB than all other streams was Hg (Fig. 4). Nonetheless, a strong spatial pattern
409 and correlation persists for metal concentrations in MB for up to 9000 m (Fig. 6D). As

410 an ancillary observation, recent research [45-47] has demonstrated the importance of
411 metals bioavailability on bacterial toxicity. Bioavailability of various metals can be
412 influenced by the amount of organic matter. We found that the average ash free dry
413 weight (measure of organic matter content) of all sediment samples was 6.8 mg/kg in
414 FMC and 13.9 mg/kg in MB, a statistically significant difference ($p < 0.001$). Perhaps
415 the bioavailability for some metals may be substantially reduced due to the chelating or
416 binding capacity of larger amounts of organic matter in the uncontaminated stream.

417 Spatial semivariance and correlation are dependent on the sampling scale, i.e. the
418 separation distance h between sampling locations. We have shown relatively large range
419 parameter estimates for metals correlation ($r_{v_met} \cong 7,800m$) and antibiotic resistance PC
420 scores ($r_{v_abr} \cong 6,300m$) in FMC compared to both CC and LC ($r_{v_met} \cong 650m$ r_{v_abr}
421 $\cong 460m$, and $r_{v_met} \cong 820m$ $r_{v_abr} \cong 880m$, respectively). Hubberten et al. [48] also
422 reported large variogram ranges up to 55 km as well as considerably large nugget
423 variances in a study of spatial variation among diatom assemblages in a large Siberian
424 lake. Note also that range parameter estimates between FMC or MB and CC or LC differ
425 by nearly an order of magnitude (Fig. 6). FMC and MB were sampled every 200m for
426 nearly 13km each whereas CC and LC were sampled every 100m for 2.2km and 1.4km
427 each. This indicates that either the biological processes in CC are dissimilar from FMC
428 based on stream length, or that spatial patterns can be detected at more than one scale
429 along the total length of stream. Stream processes will certainly dominate at the
430 ecological scale of downstream transport and deposition [39]. The contaminant
431 deposition process as well as water flow rate and water chemistry within the stream are
432 likely therefore to govern the spatial distribution of bacteria.

433 Although previous studies have investigated the density of bacteria in transport
434 [48], no attempts have been made to determine the spatial distribution of transported
435 bacterial traits. We have shown spatial correlation among samples taken at either 100m
436 or 200m intervals. Decreasing the sampling intervals to say 10m between sampling
437 locations may reveal a smoother elevation in the fitted variogram from nugget to sill, but
438 we predict that the scale of spatial correlation should not change.

439 Our samples, though spatially numerous, represent only a single snapshot in time.
440 Should we expect to find similar spatial trends and correlations if we were to sample
441 these sites on some temporal basis? Dent and Grimm [19] have shown a spatial as well
442 as a temporal pattern in the variogram range parameter for nutrient concentration in a
443 desert stream. In our study, spatial correlation and range parameter estimates are likely to
444 change temporally only when there is substantial sediment turnover and transport. If, for
445 example, a flood event were to occur simultaneously in both FMC and MB we would
446 predict a measurable temporal progression toward and an eventual return to a variogram
447 range value near to the one attained prior to the flood event in FMC due to continued
448 contaminant inputs from the upstream seepage basin. MB on the other hand should
449 demonstrate no such temporal progression or return capacity. Meteorological flood
450 events do occur on the SRS watershed but perhaps more important is the past history of
451 scouring in CC due to nuclear reactor secondary cooling water releases into this stream.
452 Although it has been nearly 2 decades since such occurrences, metal contamination has
453 been washed downstream to low lying wetland areas near its confluence with FMC. In
454 fact, a post hoc linear contrast of metals PC score means shows that CC and MB are not
455 statistically different while both are each significantly ($p < .05$) smaller than either LC or

456 FMC, the two most metal contaminated streams.

457 Another potential explanation for our counter-predictive results may be called the
458 “cocktail effect”. In addition to the multiple high concentrations of metals in FMC, this
459 stream receives other input from a seepage basin near its headwaters on the SRS. These
460 inputs include polycyclic aromatic and chlorinated hydrocarbons known also to be
461 associated with antibiotic resistance traits among microorganisms [49]. One at a time, a
462 specific metal or a hydrocarbon may indirectly select for increased antibiotic resistance.
463 The interaction among two or more of these challenge agents introduces a complexity of
464 selection that may produce nonadditive, interfering, and unexpected results. It should be
465 noted that the “cocktail effect” hypothesis does not necessarily require pollutant mixtures
466 of both metals and hydrocarbons. This is because the CC and LC streams both show the
467 same spatial pattern as FMC. The former has no record of having received polycyclic
468 aromatic and chlorinated hydrocarbon pollutants while the latter is unlikely to have had
469 such.

470 In summary, we have found intriguing evidence for recurrent spatial pattern and
471 negative correlation between metal contamination and resistance to two antibiotics
472 among sediment bacteria in certain streams. It is intriguing because it is counter to our
473 prediction from recently published evidence on water column bacteria where levels of
474 exposure to heavy metals is positively correlated with resistance to multiple antibiotics
475 [40-42]. It is highly unlikely that the obvious and statistically significant downstream
476 pattern in antibiotic resistance and metal concentration *in all four study streams* as well as
477 the statistically significant product moment correlations between these same two
478 variables are due to random mutations among exposed microbes. It is plausible that

479 resistance to these antibiotics is related to the environmental exposure. We initially used
480 three distinct classes of antibiotics in our monitoring of FMC sediments with similar and
481 positively correlated results suggesting that environmental exposure is related to
482 distributional patterns of unrelated bacterial traits. Correlations between metal
483 concentrations and antibiotic resistance are generally accepted even though few studies
484 have collected sufficient samples to determine spatial patterns. Our data is indicative of
485 the complex interactions between bacteria, their natural environs, and anthropogenic
486 inputs that affect a multitude of outcomes over various temporal and spatial scales. We
487 have provided evidence that a seemingly unrelated phenotypic trait in stream sediment
488 bacteria, is likely a spatially correlated response to anthropogenic inputs.

489 In summary, we present a statistical perspective for interpreting multivariate
490 response measures on unknown bacteria collected as a function of their environment. It
491 is a study that is retrospective not prospective, observational not experimental. It is a
492 field study not a lab study and as such, the standard precaution against concluding
493 causality from correlation should be invoked. However, our findings elucidate intriguing
494 spatial patterns consistent with prior research linking the occurrence of antibiotic
495 resistance with elevated metal concentrations in the environment, although the
496 directionality of that relationship in this case is unexpectedly inverted. These findings
497 simply beg the question regarding which microorganisms, plasmids, and genes are at
498 issue and which are the province of controlled and future laboratory experimentation.
499 Such experiments should confirm the suggested linkage between metal concentrations (or
500 PC scores) and the prevalence of antibiotic resistance by first showing that elevated
501 metals concentrations (or PC scores) are correlated with an increased prevalence of metal

502 resistance among bacteria in contaminated microcosms, but not in uncontaminated
503 microcosms or in those where metals bioavailability has been compromised. This will
504 also confirm the utility of the PC scores method for quantifying the relationship between
505 these two variables. PC scores are used here as measure of explanation, not prediction.

506 Finally, we note a recent call for more research on the distribution of bacteria at
507 the biogeographic scale [50]. We would argue however, that small scale and local
508 ecological investigation of microbes will provide important and prerequisite knowledge
509 for large scale insight.

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653 **Figure Legends**

654 Figure 1. Box-and-whisker plots of (A) the prevalence of antibiotic resistance (*pAR*) of
655 antibiotic resistant bacteria colony count divided by the control colony count, for
656 each of the four antibiotics used in the initial experimental treatment of Four Mile
657 Creek stream sediment bacteria, and (B) the *pAR* data for each of the four study
658 streams and for the two aminoglycosides only for comparison. The center line
659 and point within each box plot indicates the average value. All points outside the
660 upper whiskers indicate nonparametric outliers beyond the 75th percentile +
661 1.5*(inner quartile range). Each horizontal dashed line runs through the
662 arithmetic average *pAR* value of each aminoglycosides for Meyers Branch to
663 allow comparison to the averages of the other three streams.

664 Figure 2. Box-and-whisker plots of all stream sediment sample concentration
665 measurements for each of the 10 metals analyzed per sample among all four study
666 streams (CC, FMC, LC, and MB) which as a set, are gradient shaded in grayscale
667 for comparison among metals. The center line and point within each box indicate
668 the median value. All points outside the upper whiskers indicate nonparametric
669 outliers beyond the 75th percentile + 1.5*(inner quartile range).

670 Figure 3. Scatter-plot of the 1st principal component (PC) scores for antibiotic resistance
671 vs the 1st PC scores for metals. Plot symbols and gray scale colors distinguish
672 each set of study stream data. Also shown is the parametric statistical correlation
673 (*r*) between these two variables within each stream. **Bold** orthogonal regression
674 lines indicate the statistically significant ($p < .05$) relationships among streams.

675 Figure 4. Scatter-plot of the 1st and 2nd Discriminant function scores for the two
676 incidences of antibiotic resistance measured, kanamycin and streptomycin (A),
677 and the 10 different metals analyzed (B) per sediment sample for each study
678 stream. Plot symbols distinguish each set of study stream data. Encapsulating
679 circles indicate 50% normal contours around the group (stream) centroid.

680 Figure 5. Scatter-plots and statistical model fits of the differences between the 1st
681 principal component (PC) scores for metals concentration and aminoglycoside
682 resistance (difference=former-latter) versus downstream distance in each of the
683 four study streams Four Mile Creek (FMC), Castor Creek (CC), Lampert Creek
684 (LC) and Meyers Branch (MB).

685 Figure 6. Geostatistical variograms (upper two panels) and cross variograms (lower
686 panel) of the 1st principal component scores for both antibiotic resistance and
687 metals concentration and in each of the four study streams Four Mile Creek (A),
688 Lampert Creek (B), Castor Creek (C), and Meyers Branch (D). Vertical dotted
689 lines from the fitted curve to the ordinate axis indicate estimates of the variogram
690 range (r_v) parameter. All direct or cross-variograms are standardized. Negative
691 values of the cross variograms indicate negative spatial correlation between metal
692 concentration and the prevalence of antibiotic resistance.

Table 1. Statistically significant ($p < 0.05$) and positive pairwise correlations between the incidences of antibiotic resistance defined as the common logarithms of the ratio of antibiotic resistance colony counts to the total (control) colony counts among four antibiotics – kanamycin (KRatio), streptomycin (SRatio), tetracycline (TRatio), and chloramphenicol (CRatio) – for sediment bacteria obtained from Four Mile Creek in 1998. Note that each of the \log_{10} X Ratios presented in this table is equivalent to an pAR measurement for that corresponding antibiotic (X) as defined in this paper.

	\log_{10} KRatio	\log_{10} SRatio	\log_{10} TRatio	\log_{10} CRatio
\log_{10} KRatio	1.0000	0.4975	0.5524	0.5494
\log_{10} SRatio		1.0000	0.4798	0.4789
\log_{10} TRatio			1.0000	0.6688
\log_{10} CRatio				1.0000

Table 2. Statistically significant ($p < .05$) and positive pairwise correlations among the common log transformed concentrations of 10 metals measured from the same sediment samples corresponding to bacterial colony plating experiments for antibiotic resistance. Only two of the 45 correlations were not significant, viz., Cd vs Cr, and Hg vs Cr. Hg was measured on the ppb scale.

	log ₁₀ Al	log ₁₀ Cd	log ₁₀ Cr	log ₁₀ Cu	log ₁₀ Fe	log ₁₀ Hg	log ₁₀ Mn	log ₁₀ Ni	log ₁₀ Pb	log ₁₀ Zn
log ₁₀ Al	1.0000	0.6567	0.2950	0.7308	0.7058	0.6314	0.6159	0.5515	0.6086	0.7278
log ₁₀ Cd		1.0000	0.1185	0.6406	0.7599	0.5986	0.6227	0.6264	0.3766	0.8240
log ₁₀ Cr			1.0000	0.2434	0.2343	0.1327	0.3275	0.2685	0.3147	0.2518
log ₁₀ Cu				1.0000	0.7072	0.6385	0.3943	0.4536	0.4708	0.6500
log ₁₀ Fe					1.0000	0.5131	0.7580	0.5866	0.4528	0.8490
log ₁₀ Hg						1.0000	0.2463	0.2950	0.3144	0.5035
log ₁₀ Mn							1.0000	0.6083	0.3435	0.8444
log ₁₀ Ni								1.0000	0.3862	0.6566
log ₁₀ Pb									1.0000	0.4100
log ₁₀ Zn										1.0000